



2016 S.L. Gimbel Foundation Fund Grant Application

Internal Use Only:
 Grant: 20160634
 QUANTIFIED: 22108
 \$16,000

Organization / Agency Information


Organization/Agency Name: University of California Davis Center for Equine Health			<i>*FUNDS CANNOT BE USED FOR HONORARIUM</i>
Physical Address: 1130 Equine Lane			Davis/ Ca./95616
Mailing Address: One Shields Ave, School of Veterinary Medicine Davis/ Ca./95616			
CEO or Director: Claudia Sonder, DVM		Title: Director	
Phone: 530-752-6433	Fax: 530-752-9379	Email: csonder@ucdavis.edu	
Contact Person: Claudia Sonder DVM			Title: Director
Phone:	Fax:	Email:	
Web Site Address: www.vetmed.ucdavis/ceh			Tax ID: 94-603-6494

Program / Grant Information

Interest Area: Animal Protection Education Environment Health Human Dignity

Program/Project Name: Animals in Disasters: Community Preparedness and Response Training Program			Amount of Grant Requested: \$25,000
Total Organization Budget:	Per 990, Percentage of Program Service Expenses (Column B / Column A x 100): NOT APPLICABLE SEE NOTE PAGE 5	Per 990, Percentage of Management & General Expenses Only (Column C / Column A x 100):	Per 990, Percentage of Management & General Expenses and Fundraising (Column C+D / Column A x 100):
Purpose of Grant Request (one sentence): We are requesting funds to develop and disseminate a disaster preparedness training program to support counties in complying with federal and state emergency response laws by providing individuals at a local community level an avenue of education and certification to work with their county Office of Emergency Services in evacuating and sheltering animals during a disaster.			
Gimbel Grants Received: List Year(s) and Award Amount(s)			

Signatures

Board President / Chair: (Print name and Title) Claudia Sonder, DVM Director	Signature: 	Date: 3/16/16
Executive Director/President: (Print name and Title) Claudia Sonder, DVM Director	Signature:	Date:

I. Organization Background; Target Population:

A) History and mission

The University of California, Davis (UC Davis) Center for Equine Health (CEH) was established in 1973 in response to the need for research to improve the health of California's horse population. CEH has become the administrative and academic umbrella under which most of the equine research is conducted in the School of Veterinary Medicine (SVM). Our mission is to advance the health, welfare, performance and veterinary care of horses through research and education. We accomplish this by providing academic leadership and by serving as the organizational umbrella under which equine research and outreach is funded.

B) Past organizational accomplishments

CEH has worked with the SVM faculty and units to fund and facilitate research that has advanced preventative, diagnostic and therapeutic options for horses culminating in:

- 170 researchers and teams working daily to improve equine care
- 13 million dollar endowment to sustain equine research in areas such as infectious disease, genetic basis of disease, neurologic disease, neonatology, regenerative medicine

C) Key programs and activities

The CEH is committed to communicating our findings in-state, nationally and internationally to horse enthusiasts and the veterinary profession in a timely and accessible manner:

- Website with cutting edge updates and a library of educational documents and videos
- Publications include *The Horse Report* (award-winning quarterly for professionals and horse owners); *Research Review* (bi-annual research summary in layman terms); periodic specialty issues such as *Minimum Standards of Horse Care*
- Youth Challenge Program provides equine care presentations to youth
- Animal Science undergraduate internships provide valuable research and care experience
- Veterinary student Equine Medicine Club equine examination hands-on workshops
- Animal Control Officer trainings to expose staff to proper horse handling and assessment
- Performance Horse Wellness Outreach updates owners on research and answer questions

Specifically related to this grant proposal, CEH has recently:

- Distributed an issue of *The Horse Report* focused on disaster preparedness
- Collaborated with IAWTI to distribute 'all hazards, all species' disaster preparedness training courses for first responders, veterinarians and volunteers.
- Held a Sonoma County Stakeholder meeting to assess county disaster preparedness and options for county collaboration with Napa and Lake Counties.
- Held First Responder Guidelines to Equine Emergencies Course to train first responders how to handle and move horses in emergency and disaster situations.
- Held Veterinary Integration into Multi-Agency Response Course to train veterinarians to respond to disasters and to act within the Incident Command System chain of command.

II. Project Information:

A) Statement of need

Disasters are occurring at an alarming rate and recent fires have highlighted our communities' need for education and training to be better prepared to evacuate, respond, and recover. This need is especially true for animal owners because pet ownership and the human-animal bond is increasing, and animal welfare is a common theme in the news. Animal owners are recognized in

as a vulnerable population and animal ownership is associated with up to 50% of evacuation failures, which lead to injury, and death of animal owners, animals, and first responders.

While our focus is animals, we have a strong commitment to protecting the safety of first responders and volunteers. When animals are considered in emergency planning, it is possible to increase evacuation and sheltering rates while improving safety and public health outcomes for first responders and community members. Loose animals create physical and mental public health hazards. Mental health issues are a consequence of unpreparedness in disasters due to human distress from logistic confusion and concern for animals. Sheltering under the supervision of qualified teams is needed for animals as well as for people.

The National Response Framework (guideline for emergencies) recommends community engagement and states the private sector has “talents and experience that can be tapped.” We witnessed this talent during our recent deployment. However, maximizing positive outcomes is jeopardized by lack of training and volunteer inability to interface with authorities.

The Pet Evacuation Transportation Standards Act (2006) requires jurisdictions to specify how they will accommodate households with pets. In 2012, California Department of Food and Agriculture (CDFA), California Veterinary Medical Association (CVMA), and California Office of Emergency Services (Cal OES) assessed the California Animal Response Emergency System (CARES). The report recognized written materials won't fill the need for plan implementation.

Four years later, there is a continued lag in the implementation of functional plans and a lack of trained personnel and volunteers. Every county should have a detailed plan with a Disaster Service Worker roster to aid animals and owners and to reserve resources (shelters and supplies). While some communities have taken the initiative to organize themselves, the majority are without teams with authority to respond. Our training program aims to fill this gap by providing access to online educational modules, hands-on training, certification and registration.

B) Project Goal, Objectives and Methodology

1. Project goal

A disaster training program will provide individuals at a local community level an avenue of education and certification to work with their OES in animal evacuation and sheltering. This will fulfill community volunteers' desire to participate, provide certified disaster service teams and support counties in complying with federal and state laws.

2. Objectives

Objective I: Offer widespread distribution ongoing animal disaster response training course within two months from start of project consisting of 6-12 online modules.

Activities I: Update existing IAWTI educational courses and produce content for online delivery system of a series of modules including examination. Utilize fee-based professional delivery system such as World Continuing Education Alliance (WCEA) or Coursera.

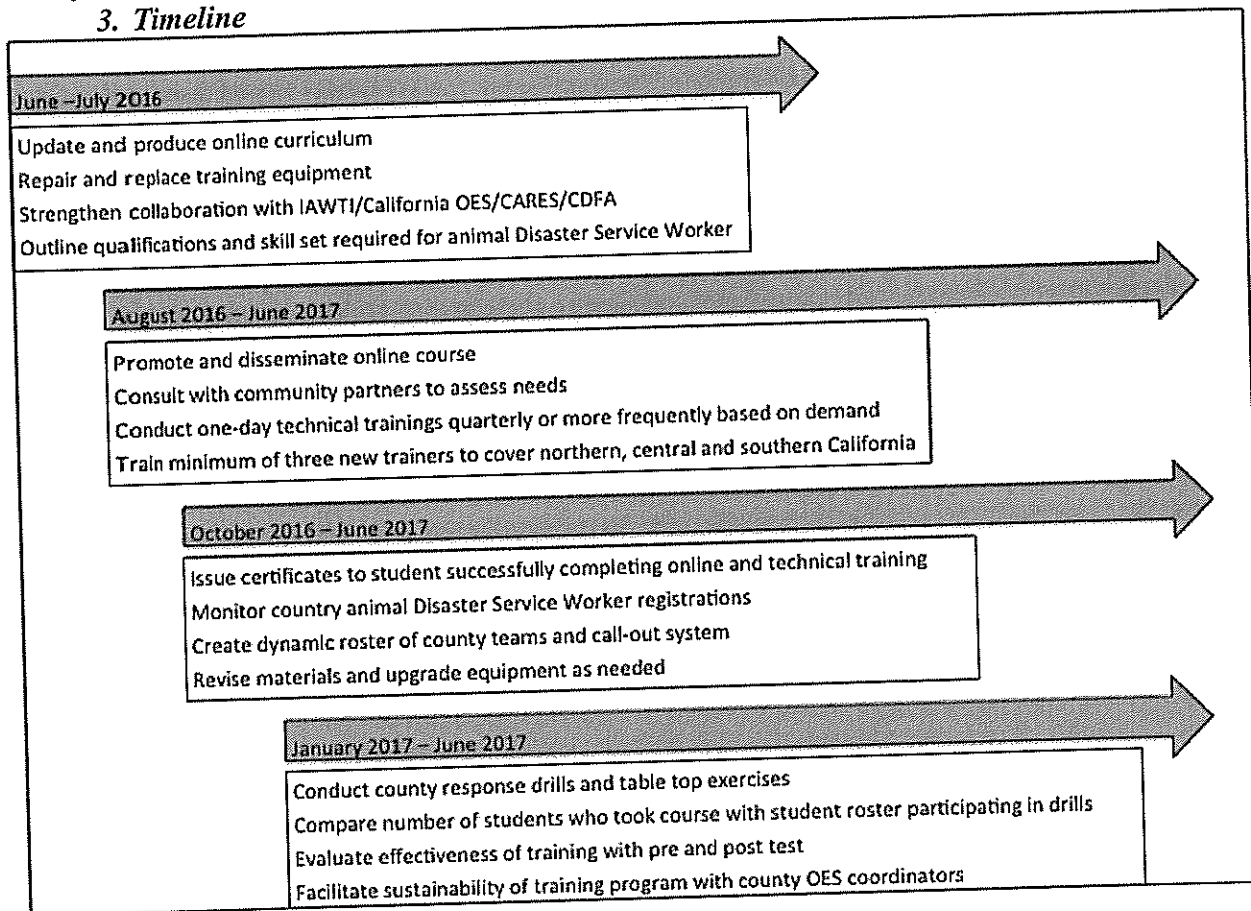
Objective II: Provide ongoing fee-based one-day technical trainings as follow-up to online modules to teach skill sets necessary for animal Disaster Service Worker.

Activities II: Update existing IAWTI training materials and equipment. Train new trainers. Conduct tabletop and hands-on workshops in alignment with online learning module content.

Objective III: Award certificates to students who successfully pass online course series and technical training. Certificates will be used by students to be sworn in as animal Disaster Service Workers in their county verifying they are prepared to respond.

Activities III: Collaborate with state and local OES to outline skill set criteria for animal Disaster Service Worker registration. Create tracking system of registered Disaster Service Workers by county. Assist OES in creating a dynamic roster and call-out system.

3. Timeline



4. Target population

Training will be offered statewide to first responders, veterinarians, veterinary students, animal care providers, and community volunteers. Surveys (unpublished) have shown 75% of respondents desire animal disaster training. We anticipate reaching over 1500 online participants and 800 attendees for hands-on technical trainings. A trained animal response team will impact the lives of countless animals and people. Pet ownership is estimated at 60% of households (American Veterinary Medical Association 2012 pet demographics survey) with an average of 1.6 dogs and 2.1 cats and California is home to over 700,000 horses and 7 million cattle.

5. Community partners

CEH, IAWTI, Cal OES and CDFA stakeholders have agreed to form a CARES implementation coalition. Our training program is a key component of ensuring resources are available for CARES to function and to our knowledge, there is no other entity serving this capacity.

C) Project Outcomes and Evaluation

1. Anticipated outcomes of the project and impact on participants

Participants will learn disaster response skills, receive course completion certification and register as Disaster Service Workers. They will volunteer to serve their county and gain a strong sense of community loyalty while contributing to overall community safety and development.

2. Achievement the expected outcomes

We will monitor the number of students participating in courses and registering as Disaster Service Workers. Cal OES will provide updates on emergency plans and resource identification.

3. Tracking progress of objectives and measurement of outcomes

Progress will be tracked by percentage of students moving forward. Positive outcome measured as more than 50% of students passing technical training after the online course; more than 50% of students sworn in as Disaster Service Worker after completing the technical training.

D) Use of the grant funds

- Production/delivery of training modules (voice-over power points, WCEA or Coursera)
- Fees and travel expenses for trainers; venue fees; transport of equipment
- Production of printed materials, repair and replace equipment for technical training
- Personnel time to track student enrollment, schedule trainings, distribute certificates

III. Project Future

A) Future project support

Fees charged for training will support continuation of program. Further funding will be sought from CDFA and private donors. Recent fires brought preparedness to the forefront of donor interest and funding will be leveraged from existing pledges.

IV. Governance, Executive Leadership and Key Personnel/Staff Qualifications

A) Board of directors/committees

A Scientific Review Committee of UC Davis faculty, CDFA and private practitioners provides oversight to ensure projects are funded based on scientific merit and clinical relevance.

B) Key personnel/staff responsible for the project

Claudia Sonder DVM Director, Center for Equine Health

Dr. Sonder is responsible for CEH oversight and serves as liaison between the equine industry and the SVM. She has worked as an equine practitioner in Napa county for 20 years, and was involved in the Valley Fire disaster response (with Veterinary Emergency Response Team).

John Madigan DVM, MS Director, International Animal Welfare Training Institute (IAWTI)
Diplomate American College of Internal Medicine and American College of Animal Welfare
Faculty UC Davis School of Veterinary Medicine, Distinguished Professor

Dr. Madigan has responded to disasters in California and nationally. He is the founder of IAWTI and develops curricula and equipment to train emergency personnel, veterinarians and animal owners in safe and humane animal rescue and sheltering.

Patricia Andrade DVM, MPVM Associate, International Animal Welfare Training Institute
Coordinator, Veterinary Emergency Response Team/Medical Reserve Corps

Dr. Andrade returned to UC Davis after 25 years in practice to complete her Master of Preventive Veterinary Medicine (community development and project management). She has presented at disaster conferences and conducted trainings in California, Japan, and Israel.

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V. Project Budget and Narrative

Line Item Request	Line Item Explanation	Support From Your Agency	Support From Other Funders	Requested Amount From TCF	Line Item Total of Project
Personnel: Project coordinator	10 hours/week x \$20/hour x 50 weeks = \$10,000	\$2000		\$8,000	\$10,000
Education: Module upgrade and production	8 hours x \$150/hour x 12 modules = \$14,400	\$2400	\$6000	\$6000	\$14,400
Education: Delivery system of online modules	Base fee \$5000	\$5000			\$5000
Materials: Repair and replace training equipment	6 animal rescue model packages x \$1400 = \$8400		\$6400	\$2000	\$8400
Materials: Printed goods and supplies for technical training	\$7/student x 800 students = \$5600		\$5600		\$5600
Venue Facility Fees	\$400/event x 15 events = \$6000		\$6000		\$6000
Training: Honoraria for technical trainers	2 trainers x \$800/training x 15 technical training events = \$ 24,000		\$15,000	\$9,000	\$24,000
TOTALS:		\$9400	\$39,000	\$25,000	\$73,400

Budget Narrative:

1. Personnel: Project Coordinator will coordinate all activities of training program, such as communications and facilitating meetings/trainings with collaborators, trainers, students and donors. This includes county needs assessments and outlining qualifications for animal Disaster Service Worker. Responsible for preparation/distribution of all printed materials including marketing, handouts, certificates. Total 10 hours/week x \$20/hour x 50 weeks = \$10,000.
2. Education: Module upgrade and production involves review of existing materials, revision and voice over of power points by IAWTI certified trainer @ 8 hours x \$150/hour x 12 modules = \$14,400.
3. Education: Delivery system of online modules refers to Coursera or WCEA base fee. Agreements are currently being considered and negotiated with the university. These are estimated at \$5000.
4. Materials: Repair and replace training equipment includes redesign and fabrication of euthanasia head models, repair of equine mannequins, acquisition of new rescue straps and hardware. 6 animal rescue model packages x \$1400 = \$8400.
5. Materials: Handouts for technical training (necessary for students during training and for future reference for field work and drills), certificates/paper goods @ \$7/student x 800 students = \$5600.
6. Venue facility cost is \$400 for the day and covers cleaning fee and day use of live animals for 15 events totaling \$6000.
7. Training: Honoraria for technical trainers is needed to assure quality instruction of technical skills. Each training event requires minimum of 2 trainers x \$800/training x 15 technical training events = \$ 24,000.

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VI. Sources of Funding: Please list your current sources of funding and amounts.

Secured/Awarded

Name of Funder: Foundation, Corporation, Government	Amount
Private donors to Center for Equine Health Disaster Program	\$55,000.00

Pending

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total Revenue	Funding Source	Amount	% of Total Revenue
Contributions	\$250,000.00	6%	Program Fees	\$1,720,000.00	45%
Fundraising/Special Events	\$		Interest Income	\$791,000.00	21%
Corp/Foundation Grants	\$110,000.00	3%	Other:	\$650,000.00	17%
Government Grants	\$		Other:	\$337,000.00	9%

Notes: The above figures pertain to Center for Equine Health, as part of the UC Davis School of Veterinary Medicine budget.

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VII. Financial Analysis

Agency Name: University of California, Davis
 Most Current Fiscal Year (Dates): From 2014 To: 2015

Provide all the information requested on your **entire organization**.

NOTE: The University is not required to complete an IRS 990 form because we are a public-benefit non-profit corporation. The financial document attached is the latest version for the UC Davis campus, it is the latest version and it is the only one that is audited.

Form 990, Part IX: Statement of Functional Expenses

1) Transfer the totals for each of the columns, Line 25- Total functional expenses (page 10)

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
\$	\$	\$	\$

2) Calculate the percentages of Columns B, C, and D, over A (per totals above)

- Program services (B) – A general rule is that at least 75% of total expenses should be used to support programs
- Management & general administration (C) – A general rule is that no more than 15% of total expenses should be used for management & general expenses
- Fundraising (D) – A general rule is that no more than 10% of total expenses should be used for fundraising

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
	Columns B / A x 100	Columns C / A x 100	Columns D / A x 100
Must equal 100%	%	%	%

3) Calculate the difference between your **CURRENT** year budget for management & general expenses and your previous management & general expenses per your 990 (Column C)

Percentage of Organization's <u>Current</u> Total Budget used for Administration	Column C, Management & general expenses per 990 above	Differential
%	%	%

If the differential is above (+) or below (-) **10%**, provide an explanation:

**CENTER FOR EQUINE HEALTH 2016
SCIENTIFIC REVIEW COMMITTEE (Board) and AFFILIATIONS**

Dr. Claudia Sonder, Committee President

Director, Center for Equine Health
Office: 530-752-6433
csonder@ucdavis.edu

Dr. Carrie Finno

Assistant Professor, Veterinary Genetics, School of Veterinary Medicine, UC Davis
Office: 530-752-2739
cjfinno@ucdavis.edu

Dr. Joe Dowd

Veterinarian
Arcadia CA 91077
Cell: 818-400-7498
jpdowddvm@gmail.com

Dr. Katie Flynn

California Department of Food & Agriculture (CDFA), Animal Health Branch
Office: 916-657-5045
Katherine.flynn@cdfa.ca.gov

Dr. Larry Galuppo

Surgery and Radiological Sciences, School of Veterinary Medicine, UC Davis
Office: 530-752-3599
ldgaluppo@ucdavis.edu

Dr. Heather Knych

Equine Analytical Lab, School of Veterinary Medicine, UC Davis
Office: 530-754-5603
hkknych@ucdavis.edu

Dr. Irwin Liu

Population Health and Reproduction, Emeritus, School of Veterinary Medicine, UC Davis
Office: 530-752-6076
ikliu@ucdavis.edu

Dr. Derek Cissel

Surgical and Radiological Sciences, School of Veterinary Medicine, UC Davis

Office: 530-754-1075

ddcissel@ucdavis.edu

Dr. Nicola Pusterla

Medicine and Epidemiology, School of Veterinary Medicine, UC Davis

Office: 530-754-5523

npuster@ucdavis.edu

Dr. Fern Tablin

Anatomy, Physiology, and Cell Biology, School of Veterinary Medicine, UC Davis

Office: 530-752-8259

ftablin@ucdavis.edu

Dr. Johanna Watson

Medicine and Epidemiology, School of Veterinary Medicine, UC Davis

Office: 530-754-8693

jlwatson@ucdavis.edu

Dr. Clare E. Yellowley

Anatomy, Physiology, and Cell Biology, School of Veterinary Medicine, UC Davis

Office: 530-754-6513

cyellowley@ucdavis.edu

Dr. Gregory Ferraro

Center for Equine Health

Office: 530-752-6433

glferraro@ucdavis.edu

Isaac Pessah, Ph.D.

Associate Dean of Research and Graduate Education

School of Veterinary Medicine, University of California-Davis

Deputy Director, UC Davis Center for Children's Environmental Health

Professor of Toxicology, Department of Molecular Biosciences

Office: 530-752-6696

inpessah@ucdavis.edu

Internal Revenue Service

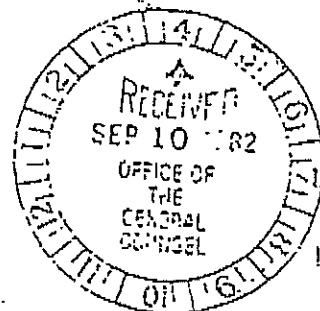
Department of the Treasury

District Director

450 Golden Gate Ave.
San Francisco, Calif. 94102

The Regents of the University
of California
c/o The Office of the General
Counsel
590 University Hall
2200 University Avenue
Berkeley, CA 94720

Person to Contact:
Desk Officer
Telephone Number:
(415) 556-5353
Refer Reply to:
EP/EO:l
Date:



8 SEP 1982

Re: Request for Confirmation of Exempt
Status under Section 501(c)(3) of
the Internal Revenue Code of 1954

Federal Tax ID: 94-6036494

Dear Regents:

This is in reference to your letter of July 13, 1982 requesting confirmation of the exempt status of The Regents of the University of California under section 501(c)(3) of the Internal Revenue Code of 1954.

In our letter of September 14, 1939, Code IT:P:T:l CQ, it was held that you were entitled to exemption under the provisions of section 101(6) of the Revenue Act of 1938.

A determination or ruling letter issued to an organization granting exemption under the Internal Revenue Code of 1954 or under a prior or subsequent Revenue Act remains in effect until exempt status has been terminated, revoked or modified.

Our records indicate that you are the regularly constituted state university of the State of California originally created by a state legislature act of 1868; that you are engaged in educational activities; that your income is derived from investments, endowments, tuition, and miscellaneous sources; and that your income is used in furtherance of your educational activities.

In addition, it appears from our records (including the information submitted with your letter of July 13, 1982), that there has been no change in your organization's exempt status. Accordingly, our letter of September 14, 1939 is still in effect. This letter does not constitute a no change examination letter.

Because section 501(c)(3) of the Internal Revenue Code of 1954 was derived from and continues, without substantive change, the language of section 101(6) of the Revenue Act of 1938 ("1939 Code") and because our letter of September 14, 1939 is still in effect, it appears that you and your constituent units are entitled to exemption as an organization described in section 501(c)(3) of the 1954 Code.

Donors may deduct contributions to you as provided in section 170 of the Internal Revenue Code of 1954 (formerly section 23(0) of the Internal

The Regents of the University of California

Revenue Code of 1938). Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes as provided in the applicable provisions of sections 2055, 2106 and 2522 of the Internal Revenue Code of 1954.

You are not required to file Form 990, Return of Organization Exempt from Income Tax, because you are a State institution, the income of which is excluded from gross income under section 115(a) of the Internal Revenue Code of 1954.

You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T. This office has not been asked to consider and therefore in this letter, we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Internal Revenue Code of 1954.

Because this letter could help resolve any questions about your exempt status, you should keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone are shown in the heading of this letter.

Sincerely,

Michael Sassi

District Director

COPY

TREASURY DEPARTMENT
Washington

Office of
Commissioner of Internal Revenue

SEP 14 1939

Address Reply to
Commissioner of Internal Revenue
and refer to
IT:P:T:1
CQ

The Regents of the University of California,
Berkeley, California.

Attention: Luther A. Nichols,
Comptroller.

Sirs:

Reference is made to the information furnished by you for use in determining your status for Federal income tax purposes. The question of your exemption arose in connection with the Wilmerding School of Industrial Art, San Francisco, California.

The evidence discloses that you are the regularly constituted state university of the State of California created by a state legislative act in 1868; that you are engaged in educational activities; that your income is derived from investments, endowments, tuition, and miscellaneous sources; and that your income is used to defray general maintenance and operating expenses. The Wilmerding School of Industrial Art is under your control and is administered as a preparatory school and junior college.

It appears that you and your constituent schools are entitled to exemption under the provisions of section 101(6) of the Revenue Act of 1938 and the corresponding provisions of prior revenue acts. You are not, therefore, required to file returns for 1938 and prior years. Inasmuch as section 101(6) of Internal Revenue Code (53 Stat., Part 1) is similar to section 101(6) of the Revenue Act of 1938, returns of income will not be required for 1939 and subsequent years so long as there is no change in your organization, your purposes or your method of operation.

Any changes in your form of organization or method of operation, as shown by the evidence submitted, must be immediately reported to the collector of internal revenue for your district in order that the effect of such changes upon your present exempt status may be determined.

The exemption referred to in this letter does not apply to taxes levied under other titles or provision of the Revenue Act of

The Regents of the University of California

1938 and the corresponding provisions of prior revenue acts, or the Internal Revenue Code except insofar as exemption is granted expressly under these provisions to organizations enumerated in section 101(6) of the Revenue Act of 1938 and the corresponding provision of prior revenue acts or in section 1010 of the Internal Revenue Code.

Contributions made to you by individual donors are deductible by such individuals in arriving at their taxable net income in the manner and to the extent provided by section 23 (o) of the Revenue Act of 1938 and the corresponding provisions of prior revenue acts, section 23(o) of Internal Revenue Code and section 23 (o) of Internal Revenue Code as amended by section 224 of the Revenue Act of 1939. The deductibility of contributions by corporation is governed by section 239(q) of the Revenue Acts of 1937 and 1938, section 239(q) of Internal Revenue Code and Section 23(q) of Internal Revenue Code as amended by section 225 of the Revenue Act of 1939.

A copy of this letter is being transmitted to the collector of internal revenue for your district.

By direction of the Commissioner.

Respectfully,

/s/ F. MOONEY
F. MOONEY

Deputy Commissioner.

TAX-EXEMPT STATUS

Department of the Treasury

Internal Revenue Service

Where applicable, please refer to the appropriate revenue procedure

Date: In reply refer to:

23 NOV 1973

T:MS:EO:R:1:3

The Regents of the University
of California
Suite 689, University Hall
2200 University Avenue
Berkeley, California 94720

EIN: 94-6036494



EIN

DO 94

Gentlemen:

Based on the information you submitted, we have classified you as an organization that is not a private foundation as defined in section 509(a)(1) of the Internal Revenue Code.

Your classification is based on the assumption that your operations will be as stated in your notification. Any changes in your purposes, character, or method of operation must be reported to your District Director, San Francisco, which is your key district for exempt organization matters.

We are notifying your key District Director of this action.

Sincerely yours,

Milton Cerny

Milton Cerny
Chief, Rulings Section I
Exempt Organizations Branch



Strengthening Inland Southern California through Philanthropy



BOARD OF DIRECTORS

September 23, 2016

S. L. Gimbel Foundation Fund

Philip Savage IV
Chair of the Board

Claudia Sonder, DVM
Director

Sean Varner
Vice Chair of the Board

UC Regents / University of California, Davis
Center for Equine Health

Pat Spafford, CPA
Chief Financial Officer

One Shields Avenue, School of Vet. Med.
Davis, CA 95616

Sergio Bohon
Secretary of the Board

Dr. Paulette Brown-Hinds

Dear Dr. Sonder:

Rabbi Hillel Cohn

Congratulations! A grant has been approved for the UC Regents / University of California, Davis, Center for Equine Health in the amount of \$16,000.00 from the S.L. Gimbel Foundation. The performance period for this grant is October 1, 2016 to September 30, 2017. Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

James Cuevas

Immediate Past Board Chair

Paul Granillo

Stanley Grube

Kirk Harns

Dr. Fred Jandt

*To support Animals in Disasters: Community Preparedness and Response Training Program. *Note: Funds cannot be used for honorarium.*

Andrew Jaramillo

Dr. Albert Karnig

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, please sign and date the agreement and return with original signature to The Community Foundation by Friday, October 7, 2016. Be sure to copy the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

D. Matthew Pim

Teresa Rhyne

Kathleen Sawa

Dr. Henry Shannon

Tamara Sipos

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. The Grant Evaluation is due by October 15, 2017 and a copy will be available online.

Beverly Stephenson

Randall Tagami

Diane Valenzuela

We wish you great success and look forward to working with you during the grant performance period. If you have any questions, please call me at 951-241-7777, ext. 114, or email me at ccudiamat@thecommunityfoundation.net.

Dr. Jonathan Lorenzo Yorba
President and CEO

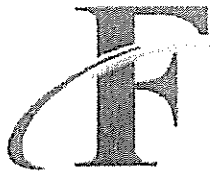
Sincerely,

Celia Cudiamat
Executive Vice President of Programs

22708 UC Regents / University of California, Davis, Center for Equine Health — 20160634

GIMB-2R





The
Community
Foundation

Strengthening Inland Southern California through Philanthropy

3700 Sixth Street, Suite 200
Riverside, CA 92501
P: 951-241-7777 F: 951-684-1911
www.thecommunityfoundation.net

GMBZ

2016 S.L. Gimbel Foundation Fund
Grant Agreement

Organization: UC Regents / University of California, Davis Center for Equine Health
Grant Amount: \$16,000 Grant Number: 20160634
Grant Period: October 1, 2016 to September 30, 2017
Purpose: To support Animals in Disasters: Community Preparedness and Response Training Program. *Note: Funds cannot be used for honorarium.

1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its tax-exempt status, and shall notify the Foundation immediately of any such change.

4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request.

6. Publicity

The Community Foundation recommends publicity for the grant and acknowledging The Community Foundation in internal correspondence, brochures as appropriate; newsletters, annual reports and email blasts or e-newsletters.

The credit line of "Made possible in part by a grant from the "S.L. Gimbel Foundation Advised Fund at The Community Foundation – Inland Southern California" is suggested. When your donors are listed in printed materials, include the S.L. Gimbel Foundation Advised Fund at The Community Foundation in the appropriate contribution size category. When publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching our logo is also appreciated. Our logo can be downloaded from our website at www.thecommunityfoundation.net.

Email our Marketing & Communications Officer, Charee Gillins, at cgillins@thecommunityfoundation.net with any publicity questions as well as any copies of any printed or publicity materials that highlight the grant.

7. Indemnification

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission by Grantee, its employees, or agents in applying for or accepting the Grant, in expending or applying the Grant funds or in carrying out any project or program supported by the Grant, except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

8. Termination

The Community Foundation may terminate this agreement, withhold payments, or both at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement.

9. Limitation of Support

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

I have read and agree to the terms and conditions of the Grant Agreement.



Signature

10/14/16
Date

Ahmad Hakim-Elahi, Ph.D., J.D.
Executive Director, Research Administration
Printed Name Title

Organization: 22708 UC Regents / University of California, Davis, Center for Equine Health
Grant Number: 20160634

The Community Foundation


11/18/16

2016 S. L. Gimbel Foundation Fund / Docket 2

11/29/16
AV

BOARD OF DIRECTORS

December 5, 2016

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Director

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Vice Chair of the Board

UC Regents / University of California, Davis
Center for Equine Health

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Chief Financial Officer

One Shields Avenue, School of Vet. Med.
Davis, CA 95616

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Secretary of the Board

Dr. Paulette Brown-Hinds

Dear Dr. Sonder:

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Immediate Past Board Chair

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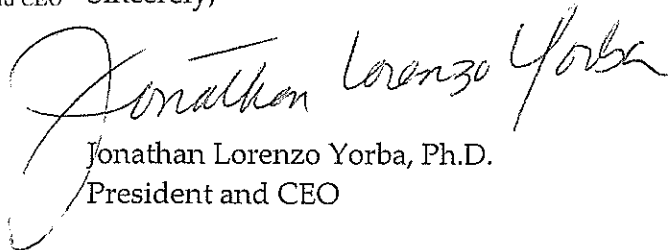
The Community Foundation is pleased to enclose a grant check for \$16,000 from the S. L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the grant check, you are agreeing to the conditions stated under the Terms of Grant which you have signed and returned.

The completed Grant Evaluation form is due by October 15, 2017 and will be available online on The Community Foundations website under Grants/Forms. Please note that any grant variances or extensions must be requested in writing and in advance. Any remaining grant funds must be returned to The Community Foundation at the end of the grant period.

We greatly appreciate any help you can give us in publicizing the grant. Please use the following credit in any grant announcements or materials funded by the grant: "The (name of project/program) is supported by a grant from The S. L. Gimbel Foundation." You may send copies of articles printed in local papers, stories in your agency newsletter, annual report, press releases, and other publications for our files.

Please feel free to contact Celia Cudiamat, Executive Vice President of Programs, at 951-241-7777, ext. 114, if you have any questions.

Sincerely,



Jonathan Lorenzo Yorba, Ph.D.
President and CEO

20160634

41645

GIMB2



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

The Community Foundation
 Strengthening Inland Southern California through Philanthropy
 3700 SIXTH STREET, SUITE 200
 RIVERSIDE, CA 92501
 951-241-7777 / FAX 951-684-1911

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E-Z-Link® Check Fraud Protection for Business

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TO THE ORDER OF

DATE 12/02/2016 AMOUNT \$****16,000.00

UC Regents / University of California, Davis
 Center for Equine Health
 One Shields Avenue, School of Vet. Med.
 Davis, CA 95616

AUTHORIZED SIGNATURE

⑈04 2645⑈ ⑆122234249⑆ 244 24437⑈

The Community Foundation			41645
22708	UC Regents / University of California, Davis	12/02/2016	041645
20160634	08/08/2016 Animals in Disasters: Community Preparedness and		16,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		16,000.00

CHECK TOTAL: \$****16,000.00

The Community Foundation			41645
22708	UC Regents / University of California, Davis	12/02/2016	041645
20160634	08/08/2016 Animals in Disasters: Community Preparedness and		16,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		16,000.00

CHECK TOTAL: \$****16,000.00