



## 2015 S.L. Gimbel Foundation Fund Grant Application

Internal Use Only: Grant: <u>20150834</u>
--

### Organization / Agency Information

Organization/Agency Name: <i>University of California, Riverside (UC Riverside Foundation)</i>		
Physical Address: <i>3148 Hinderaker Hall</i>		City/State/Zip: <i>Riverside, CA 92521</i>
Mailing Address: <i>3148 Hinderaker Hall</i>		City/State/Zip: <i>Riverside, CA 92521</i>
CEO or Director: <i>Kim A. Wilcox</i>		Title: <i>Chancellor</i>
Phone: <i>(951) 827-5633</i>	Fax: <i>(951) 827-7202</i>	Email: <i>bryan.carlson@ucr.edu</i>
Contact Person: <i>Bryan Carlson</i>		Title: <i>Executive Director, Foundation Relations</i>
Phone: <i>(951) 827-4592</i>		Email: <i>bryan.carlson@ucr.edu</i>
Web Site Address: <i>www.ucr.edu</i>		Tax ID: <i>23-7433570</i>

### Program / Grant Information

Interest Area:  Animal Protection  Education  Environment  Health  Human Dignity

Program/Project Name: <i>Guardian Scholars Program at UCR</i>			Amount of Grant Requested: <i>\$25,000</i>
Total Organization Budget: <i>\$8,274,739</i>	Per 990, Percentage of Program Service Expenses (Column B / Column A x 100): <i>94.12%</i>	Per 990, Percentage of Management & General Expenses Only (Column C / Column A x 100): <i>5.9%</i>	Per 990, Percentage of Management & General Expenses and Fundraising (Column C+D / Column A x 100): <i>5.9%</i>
Purpose of Grant Request (one sentence): <i>To support undergraduate students in the Guardian Scholars program at UC Riverside with housing, food, guidance and other assistance as they begin college emancipated from the state foster care system.</i>			
Gimbel Grants Received: <i>List Year(s) and Award Amount(s) None to date</i>			

### Signatures

Board President / Chair: (Print name and Title) <i>Thomas Haider Chair, UCR Foundation Board</i>	Signature: 	Date: <i>8/26/15</i>
Executive Director/President: (Print name and Title) <i>Peter Hayashida, President</i>	Signature: 	Date: <i>8/26/15</i>

## I. UC Riverside's Background and Population

Founded in 1954, the University of California, Riverside is one of ten universities within the prestigious University of California system and the only UC campus located in Inland Southern California. UCR's mission is to serve the needs and enhance the quality of life of the diverse people of California, the nation and the world. UCR was recognized by US News & World Report as the 9<sup>th</sup> most ethnically diverse national university, with current enrollment of more than 21,000 students. UCR offers 101 bachelor degree programs, 52 master's degree programs, 42 Ph.D. programs and 13 California teaching credential programs. Sixty percent of our students are first-generation college students; with many coming from underserved communities. This is especially true for Guardian Scholars.

Distinguished by more than 60 years of high-impact scholarship, UCR's research centers comprise a living laboratory for the exploration of issues critical to growing communities at home and abroad including air, water, energy, transportation, agriculture and more. Recent accolades include the establishment of the School of Public Policy in 2012 and the School of Medicine in 2013. The campus has an annual statewide economic impact of more than \$1 billion.

## II. The Guardian Scholars Program at UCR

**A) Statement of Need:** On behalf of the Guardian Scholars Program, we respectfully request consideration of a \$25,000 grant from the S. L. Gimbel Foundation Fund to help emancipated foster youth obtain their college degree and reach their full potential.

According to a Casey Family Programs study, *Assessing the Effects of Foster Care* (2003), only 50% of foster youth graduate from high school and fewer than 10% enroll in college. Less than 2% of college-bound foster youths will earn a degree. The UCR Guardian Scholars Program focuses on emancipated students from the foster care system and consists of six main elements designed to mitigate the housing barriers and other obstacles by providing: year-round housing in a safe environment; faculty, community and student peer mentors; enrollment and financial aid advising; student and life skill workshops; health and counseling services, and; extracurricular opportunities to enhance networking skills.

UCR's Guardian Scholars program provides this network of services to undergraduate students who have "aged out" or "emancipated" from the state foster care system to assist them in obtaining a bachelor's degree. The program draws on the resources, strength and support of the UCR community to provide scholars with a comprehensive college experience and the opportunity to realize their full potential.

**B) Project Goal, Objectives and Methodology:** Students who age out/emancipate from the foster care system face significant barriers to obtaining success in higher education. According to the California Research Bureau, more than 4,000 youth in California age out of the foster care system each year. Statistics show that former foster youths are four times more likely to receive public assistance and 50% are unemployed. Two thirds of these youths leave the foster care system without a place to live. One fourth of former foster youths will be incarcerated within the first two years after ageing out of the system.

Barriers to success for those rare students attending college include the need for year-round housing, assistance with financial aid processes, emotional support and adequate life skills. It is estimated that even with financial aid and part-time employment, UCR Guardian Scholars fall around \$5,000 short of their actual need each year. Despite the challenges and disruptions while growing up, these students have been able to transcend these obstacles and have excelled in high school in order to meet the stringent UC admission requirements. As we all know, in today's job market a college degree is often a key factor in achieving long-term personal, professional and financial success.

Specific objectives help Guardian Scholars achieve their goal of graduating from UCR with a bachelor's degree:

Objective I: Provide students with financial assistance to guarantee year-round housing in a safe environment. Activities: Actively promote advising on enrollment, housing and financial aid. Supplement the general need-based financial aid package with additional funds to meet participants' funding gap.

Objective II: Provide mentorship and advising to give guidance that other students would be receiving from parents or extended families. Activities: Coordinate faculty advising, community volunteer support and student peer mentorship. Organize student life and life skills workshops as well as counseling services.

Objective III: Create a well-rounded student experience for the students to supplement their academic experience and prepare them for the social and networking aspects of life after the university. Activities: Host extracurricular activities such as outings, birthday recognitions, holiday celebrations, movie nights, networking events, etc.

Currently, UCR has 18 continuing Guardian Scholars and ten new Guardian Scholars will enroll in the fall for a total of at least 28 participants during the 2016 academic year. This number may grow slightly as additional students matriculate for winter or spring quarters, while other emancipated students who enrolled at UCR without knowledge of the Guardian Scholars Program may become aware of its services and join as current students. Regardless of when they become Guardian Scholars, the students can utilize any of the program's activities which include mentorship sessions on student life and life skills; enrollment, housing and financial aid advising; health and counseling services; and extracurricular activities that enhance the students' social experience and build networking skills. Activities include trips in and out of the Inland area to locations such as the beach, mountains, holiday celebrations, etc.

Associate professor of psychology Tuppert Yates led the effort to launch the UCR Guardian Scholars Program in 2008, modeling our program after Cal State Fullerton's program which began in 1998. There are currently 30 colleges and universities around the country offering a Guardian Scholars Program. Dr. Yates continues to serve as the Executive Program Director. This effort on the UCR campus grew out of her research that focuses on youth in foster care, and their risk and resilience as they age out of the system. Dr. Yates, one paid program director, and a five-member steering committee manage the Guardian Scholars Program. Private and family foundations have provided grant support for the program, while community volunteers often serve as mentors to the program's students.

**C) Project Outcomes and Evaluation:** The immediate goal of this program is to provide a network of services previously described for aged-out foster youth attending UCR and allow them to surpass the 2% college completion rate for former foster youth. The longer-term goal is to meet or surpass the almost 70% four-year completion rate for all UCR students in obtaining a bachelor's degree. Achievement of the expected outcome of this grant, and progress toward the program's objectives, will be tracked through the retention and graduation rates of the program's students.

**D) How Grant Funds will be used:** While a number of needs of the program are outlined in the attached budget (including program activities, student outings, administrative support and program supplies), one of the most pressing needs is scholarship support. We propose that the requested amount from the S.L. Gimbel Foundation Fund be used for student aid that will help Guardian Scholars participants fulfill their tuition obligations and remain in safe year-round housing.

### **III. Project Future**

As of June 2015, more than a dozen UCR Guardian Scholars have completed their degrees and all are employed or in graduate school. Campus leadership is dedicated to continuing this essential program. Support from the Office of the Vice Chancellor for Student Affairs has made possible a dedicated staff position as well as a physical home for the program in the Bannockburn Residential Complex on campus in August 2015. This 1,200 square foot location houses a Director's Office, study space, a food pantry, a full kitchen, and classroom/seminar space which can also be used for family-style dinners and game nights. While the opening of this space was a milestone, future support of the program is vital. University Advancement supports the program through the involvement of a Director of Parent and Student Initiatives and Sponsorships who actively seeks support for the program. The Executive Director of Foundation Relations also works to gain support for the Guardian Scholars program each year.

### **IV. Governance, Executive Leadership and Key Personnel**

UCR's chancellor is Kim A. Wilcox. He assumed the post in August 2013 and is assisted in the day-to-day operations of the university by Provost & Executive Vice Chancellor Paul J. D'Anieri. Advisory board assistance is provided by the UC Riverside Foundation, a nonprofit corporation that raises and manages gifts from individuals, corporations, foundations, and other organizations for the benefit of the campus. The UCRF Bylaws call for four standing committees: Executive Committee, Audit Committee, Investment Committee, and Nominations Committee. Current Ad Hoc committees are: Advocacy, Gift Planning, and Stewardship. Dr. Tuppet Yates serves as the Executive Director of the Guardian Scholars Program. The Director, hired in August 2015, is Mr. Kenyon Whitman. Mr. Whitman is close to completing his doctoral degree and joins UCR from the Foster Care Collaborative program at the University of San Diego.

On behalf of the students, faculty and staff of the Guardian Scholars Program, thank you for the opportunity to submit this grant application.

## 2015 S.L. Gimbel Foundation APPLICATION

### V. Project Budget

Provide a detailed line-item budget for your project by completing the table below.

**A breakdown of specific line item requests and attendant costs should include:**

- 1) Line item requests for materials, supplies, equipment and others:
  - a. Identify and list the type of materials, supplies, equipment, etc.
  - b. Specify the unit cost, number of units, and total cost
  - c. Use a formula/equation as applicable. (i.e. 40 books @ \$100 each = \$4000)
- 2) Line item requests for staff, compensation, benefits: **Do not use FTE percentages.**
  - a. Identify the position; for each position request, **specify the hourly rate and the number of hours** (i.e. \$20/hr x 20 hours/week x 20 weeks = \$8,000)
  - b. For benefits, provide the formula and calculation (i.e. \$8,000 x 25% = \$2,000)

Line Item Description	Line Item Explanation	Support From Your Agency	Support From Other Funders	Requested Amount From TCF	Line Item Total of Project
Scholarship Assistance \$84,000	Tuition, fees, housing (\$2,000 - \$4,000 per student)		\$25,718 (additional \$5,000 pending)	\$25,000	\$55,718
Student Outings \$10,000	Transportation and entertainment (bowling, beach trip, holiday party, etc.)		\$10,000 (pending)		\$10,000
Program Activities \$10,000	Gift and grocery cards to assist when campus is closed. Also for birthdays		\$10,000 (pending)		\$10,000
Administrative Support and supplies \$75,000	One paid staff member through the Office of Student Affairs	\$75,000			\$75,000
<b>TOTALS:</b> \$179,000		\$75,000	\$50,718	\$25,000	\$150,718 (funded or pending)

## 2015 S.L. Gimbel Foundation APPLICATION

**VI. Sources of Funding:** Please list your current sources of funding and amounts.

*Secured/Awarded (below are UCR's five largest foundation grants in 2014-15)*

Name of Funder: Foundation, Corporation, Government	Amount
California Community Foundation	\$1,439,515
Maxwell H. Gluck Foundation	\$555,000
Andrew W. Mellon Foundation	\$500,000
Fidelity Charitable Gift Fund	\$500,000
Howard Hughes Medical Institute	\$480,000

*Pending*

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date
<i>Government funding is provided by the State of California through the Regents of the University of California, outlined in the below budget table.</i>		
<i>A variety of fundraising areas, including individual giving, foundation giving and corporate giving, are ongoing in support of many campus programs. Fundraising results are not included in the listing of the permanent budget for the campus.</i>		

**Diversity of Funding Sources:** A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

<b>RIVERSIDE CAMPUS PERMANENT BUDGET INCOME SOURCES</b>
---

FUND GROUP	July 1, 2014	% OF TOTAL
STATE FUNDS/UC GENERAL FUNDS	\$ 223,826,292	36.06%
STATE SPECIFIC & SPECIAL FUNDS	1,723,000	0.28%
STUDENT FEES	266,742,122	42.98%
ENDOWMENTS	1,640,380	0.26%
REGENTS & PRESIDENTS FUNDS	8,191,301	1.32%
SALES & SERVICES OF EDUCATIONAL ACTIVITIES	16,055,407	2.59%
SALES & SERVICES OF AUXILIARY ENTERPRISES	99,376,906	16.01%
OTHER	3,127,911	0.50%
<b>GRAND TOTAL</b>	<b>\$ 620,683,319</b>	<b>100.00%</b>

**Notes:** The above figures reflect the overall campus budget and funding sources. These include proceeds from gifts received and endowments held by the UC Riverside Foundation as well as the UC Regents in addition to state support and auxiliary enterprises.

## 2015 S.L. Gimbel Foundation APPLICATION

### VII. Financial Analysis

Agency Name: UC Riverside Foundation

Most Current Fiscal Year (Dates): From July 1, 2013 To: June 30, 2014

This section presents an overview of an applicant organization's financial health and will be reviewed along with the grant proposal. Provide all the information requested on your **entire organization**. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. **Double check your figures!**

#### Form 990, Part IX: Statement of Functional Expenses

**1) Transfer the totals for each of the columns, Line 25- Total functional expenses (page 10)**

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
\$ 8,274,739	\$ 7,788,791	\$ 485,948	\$ N/A

Fundraising expenses are reflected in the general campus budget whose funding sources include state funds that are used for staff salaries. Additional budget allocations cover the operations of University Advancement such that no fundraising expenses are borne by the UC Riverside Foundation.

**2) Calculate the percentages of Columns B, C, and D, over A (per totals above)**

- Program services (B) – A general rule is that at least 75% of total expenses should be used to support programs
- Management & general administration (C) – A general rule is that no more than 15% of total expenses should be used for management & general expenses
- Fundraising (D) – A general rule is that no more than 10% of total expenses should be used for fundraising

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
	Columns B / A x 100	Columns C / A x 100	Columns D / A x 100
Must equal 100%	94.12%	5.87%	%

**3) Calculate the difference between your CURRENT year budget for management & general expenses and your previous management & general expenses per your 990 (Column C)**

Percentage of Organization's <u>Current</u> Total Budget used for Administration	Column C, Management & general expenses per 990 above	Differential
5.8%	5.8%	0%

If the differential is above (+) or below (-) **10%**, provide an explanation: While not yet calculated./audited, the 5.8% management and general expenses figure is not expected to change substantially this fiscal year.

## 2015 S.L. Gimbel Foundation APPLICATION

**Quick Ratio:** Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$ 8,194,907	\$ 3,131,088	\$ 3,099,861	3.65

**Excess or Deficit for the Year:**

Excess or (Deficit) Most recent fiscal year end	Excess or (Deficit) Prior fiscal year end
\$ 145,491,278 (net assets)	\$ 122,863.704 (net assets)

Notes:

**VIII. Application submission check list:**

	<u>Submit FOUR (4) Copies: 1 ORIGINAL (WITH ORIGINAL SIGNATURES) and 3 copies, collated and stapled together of the following:</u>		<u>Submit ONE (1) Copy:</u>
X	Completed Grant Application Form (cover sheet, narrative (3 pages maximum), budget and sources of funding, financial analysis page	X	A copy of your current 501(c)(3) letter from the IRS
X	A list of your Board members and their affiliations	X	A copy of your most recent year-end financial statements (audited if available; double-sided)
X	Your current operating budget and the previous year's actual expenses	X	A copy of your most recent 990 ( double-sided)
X	Part IX only of the 990 form, Statement of Functional Expenses (one page). If you completed a 990-EZ, fill out the attached Part IX, Functional Expenses of the 990 form using figures from your 990-EZ		
0	For past grantees, a copy of your most recent final report.		



## University of California, Riverside Foundation Board of Trustees 2015–16

### Officers

**Chair:** Thomas Haider, MD, Riverside

**Chair Elect:** Darin Anderson, CEO and Chairman, Salas O'Brien Engineers, Newport Beach

**Treasurer:** Robert Fey, Palm Springs

**Immediate Past Chair:** S. Sue Johnson, Riverside

**President:** Peter A. Hayashida, Vice Chancellor, University Advancement, UCR

**Executive Vice President:** Hieu T. Nguyen, Associate Vice Chancellor for Development, UCR

**Vice President, Finance and Chief Financial Officer:** Jan Wildman, Associate Vice Chancellor for Advancement Administration and Campaign Operations, UCR

**Associate Treasurer:** Elizabeth A. Wottring-Gonzales, Executive Director of Finance & Administration, University Advancement, UCR

**Secretary:** Patricia Kohlmeier, Executive Director of Foundation & Donor Relations, Development, UCR

### Elected Trustees

Edwin H. Allen, '73, Retired, Arlington, VA

Darin P. Anderson, '89, '91, Chairman and CEO, Salas O'Brien Engineers, Newport Beach

Susan Atherton '77, Retired, San Francisco

Gordon L. Bourns, Chairman and CEO, Bourns, Inc., Riverside

Joyce O. Brandman, President, The Saul & Joyce Brandman Foundation, Los Angeles

Rochelle A. Campbell, Retired educator, Redlands

Pamela S. Clute, '71, '78, '82, UCR Assistant Vice Chancellor Emerita, Palm Desert

Henry W. Coil, Jr., Past President, Tilden Coil Constructors, Inc., Riverside

Nicholas J. Coussoulis, President, Coussoulis Development Company, San Bernardino

William D. Dahling Jr., Attorney, Best Best & Krieger, Riverside

Prabha Dhalla, MD, Medical Director, Dhalla Orthopedic Center, Riverside

900 University  
Avenue  
3148 Hinderaker  
Hall  
Riverside, CA  
92521  
Tel (951) 827-  
6291

Harkeerat Dhillon, MD, Orthopedic Surgeon, Riverside Medical Clinic, Riverside

Robert Fey, Founder and Chairman, Fey's Canyon Realtors, Palm Springs

Ruby Frazier, President and Founder, Frazier Group Realty, Riverside

Glen Grayman, MD '70, Medical Director, Centro Medico Cathedral City Medical Center, Rancho Mirage

Timothy Greenleaf, '78, Managing Director, Fairmont Capital, San Marino

Thomas Haider, MD, President and CEO, Haider Spine Center, Riverside

Amy S. Harrison, '72, CEO, ALTUS, Moreno Valley

Mark Hawkins, President and CEO, Altura Credit Union, Riverside

Brian N. Hawley, '90, '91, Co-Founder, Chairman, and CTO, Lumniex Software, Inc., Riverside

Irving G. Hendrick, EdD, Dean & Professor Emeritus, Riverside

S. Sue Johnson, Former Regent of the University of California, Past Chair, Board of Regents, Riverside

Jeffrey A. Klein, MD, '67, Jeffrey Klein, MD Inc., San Juan Capistrano

Robert A. Krieger, '59, President, Krieger & Stewart, Riverside

Harry Kurani, Founder and CEO, JHK Distribution, Inc., Riverside

Steven Larson, MD, Medical Director, Riverside Medical Clinic, Riverside

Wing Lau, CFO, Landtec North American, Inc., Riverside

James Lin, CEO, Unisco Holdings, City of Industry

Collette Lee, Co-owner/Broker, Windermere Tower Properties, Inland Empire, Owner/Broker, Tower Property Management, Inland Empire, Riverside

John Leonard, PhD '77, Senior Vice President, Vaccinex, San Diego

Matt D. Lyons, '87, Global Trading Manager and Senior Vice President Equity Trader, Capital Group Companies, Westlake Village

Agenor Mafra-Neto, PhD, CEO, ISCA Technologies, Inc., Riverside

Pauline M. McGuigan, '62, Co-owner (former), Blue Banner Citrus, Riverside

Corky Mizer, President and CEO, Corky's Pest Control, San Marcos

Duane Phillips, '69, Attorney (retired), Sacramento

Judith Posnikoff, PhD, '83, '85, '93, Managing Director and Founding Partner, Pacific Alternative Asset Management Company

W. Ronald Redmond, DDS, '62, Owner, Redmond Orthodontics and CEO, Schulman Study Group, San Clemente, CA and Washington State

David C. Roberts, '67, Retired, Los Angeles

Duane R. Roberts, Owner, Chairman and CEO, Historic Mission Inn, Entrepreneurial Capital Corporation, Newport Beach

Barbara L. Robinson, Executive Vice President and COO, Security Bank of California, Riverside

Pamela Rubin, Regional Properties, Inc, Beverly Hills

Paul M. Shimoff, Attorney, Shimoff Law Corporation, Redlands

Ronald W. Stovitz, '64, Presiding Judge (retired), State Bar Court of California, San Francisco

Gary Swaner, '71, '74, President, Swaner Hardwood Co., Inc., La Canada

David Tsai, Partner, Perkins Coie, San Francisco

Bruce D. Varner, Regent, University of California; Senior Partner, law firm of Varner & Brandt LLP, Riverside, and Upland

Stephanie Wang, DDS, Newport Coast

Linda Williams, '76, Retired, Pasadena

Katherine Wright, EdD, '81, Retired, Riverside

Drew Zager, Managing Director, Morgan Stanley Company, Los Angeles

### **Ex-officio, Voting Trustees**

Kim A. Wilcox Chancellor, University of California, Riverside

David Fisher, Chair, Citizens University Committee, Riverside & Vice President, Altura Credit Union

Daniel Kim, MD, '89 President, UCR Alumni Association, Yorba Linda & Chair of Medicine, Riverside County Medical Center

### **Emeritus Trustees**

Charles D. Field, '58, Judge (retired), Riverside Superior Court, Riverside

Richard J. Heckmann, Founder and CEO, Nuverra Environmental Solutions, Palm Desert

Concepción Rivera, Riverside

Jack A. Sweeney, Founder and Chairman Emeritus, First Regional Bank, Century City

Jacques S. Yeager, Sr., Partner, Yeager Brothers, Riverside

**RIVERSIDE CAMPUS  
PERMANENT BUDGET  
BUDGET CATEGORY SUMMARY**

BUDGET CATEGORY	JULY 1, 2012	% OF TOTAL	JULY 1, 2013	% OF TOTAL	JULY 1, 2014	% OF TOTAL
ACADEMIC SALARIES	\$ 119,740,252	21.92%	\$ 121,213,872	21.49%	\$ 130,880,448	21.09%
STAFF SALARIES	138,275,459	25.31%	141,567,081	25.10%	151,792,825	24.46%
SUPPLIES AND EXPENSES	246,033,056	45.04%	226,128,683	40.10%	229,990,716	37.05%
EQUIPMENT	5,981,833	1.10%	5,945,747	1.05%	5,991,831	0.97%
EMPLOYEE BENEFITS	71,883,532	13.16%	76,492,487	13.56%	87,158,435	14.04%
OTHER	(35,685,058)	-6.53%	(7,423,476)	-1.32%	14,869,064	2.40%
<b>GRAND TOTAL</b>	<b>\$ 546,229,074</b>	<b>100.00%</b>	<b>\$ 563,924,394</b>	<b>100.00%</b>	<b>\$ 620,683,319</b>	<b>100.00%</b>

*This report does not include non-budgeted items such as: Contracts and Grants and Current Gifts as they are not part of the Permanent Budget*

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21 . . . . .	7,788,791.	7,788,791.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22 . . . . .	0			
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16 . . . . .	0			
4 Benefits paid to or for members . . . . .	0			
5 Compensation of current officers, directors, trustees, and key employees . . . . .	0			
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	0			
7 Other salaries and wages . . . . .	0			
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . .	0			
9 Other employee benefits . . . . .	0			
10 Payroll taxes . . . . .	0			
11 Fees for services (non-employees):				
a Management . . . . .	0			
b Legal . . . . .	19,733.		19,733.	
c Accounting . . . . .	92,850.		92,850.	
d Lobbying . . . . .	0			
e Professional fundraising services. See Part IV, line 17.	0			
f Investment management fees . . . . .	283,262.		283,262.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) . . . . .	4,008.		4,008.	
12 Advertising and promotion . . . . .	0			
13 Office expenses . . . . .	7,336.		7,336.	
14 Information technology . . . . .	0			
15 Royalties . . . . .	0			
16 Occupancy . . . . .	0			
17 Travel . . . . .	0			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .	0			
19 Conferences, conventions, and meetings . . . . .	20,166.		20,166.	
20 Interest . . . . .	0			
21 Payments to affiliates . . . . .	0			
22 Depreciation, depletion, and amortization . . . . .	0			
23 Insurance . . . . .	0			
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a BANK CHARGES/FEES -----	29,622.		29,622.	
b PROPERTY TAXES -----	20,204.		20,204.	
c TRUST PROPERTY HOLDING EXP -----	3,520.		3,520.	
d OTHER EXPENSES -----	5,247.		5,247.	
e All other expenses -----				
25 Total functional expenses. Add lines 1 through 24e	8,274,739.	7,788,791.	485,948.	
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) . . . . .	0			



## Entity Status Letter

Date: 8/29/2012

ESL ID: 6127627793

According to our records, the following entity information is true and accurate as of the date of this letter.

Entity ID: 0727424

Entity Name: U. C. RIVERSIDE FOUNDATION

- 1. The entity is in good standing with the Franchise Tax Board.
- 2. The entity is **not** in good standing with the Franchise Tax Board.
- 3. The entity is currently exempt from tax under Revenue and Taxation Code (R&TC) Section 23701 d.
- 4. We do not have current information about the entity.

The above information does not necessarily reflect:

- The entity's status with any other agency of the State of California, or other government agency.
- If the entity's powers, rights, and privileges were suspended or forfeited at any time in the past, or the entity did business in California at a time when it was not qualified or not registered to do business in California:
  - The status or voidability of any contracts made in California by the entity at a time when the entity was suspended or forfeited (R&TC Sections 23304.1, 23304.5, 23305a, 23305.1).
  - For entities revived under R&TC Section 23305b, any time limitations on the revivor or limitation of the functions that can be performed by the entity.

### Internet and Telephone Assistance

Website: [ftb.ca.gov](http://ftb.ca.gov)

Telephone: 800.852.5711 from within the United States

916.845.6500 from outside the United States

TTY/TDD: 800.822.6268 for persons with hearing or speech impairments

Internal Revenue Service  
Director, Exempt Organizations  
Rulings and Agreements

Department of the Treasury  
P.O. Box 2508  
Cincinnati, Ohio 45201

Date:

FEB 06 2008

UC RIVERSIDE FOUNDATION  
252 HIGHLANDER HALL BLDG A  
RIVERSIDE CA 92521-0001

Employer Identification Number:  
23-7433570  
Person to Contact - ID#:  
Jacob A. McDonald - 31-08661  
Contact Telephone Number:  
877-829-5500 Phone  
Public Charity Status:  
509(a)(1) and 170(b)(1)(A)(iv)

Dear Applicant:

Our letter dated March 1975 stated that you were exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code and classified as a public charity under section 509(a)(3) of the Code.

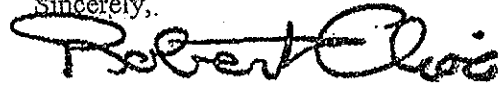
Based on the information you submitted, we have modified your public charity status to the Code section listed in the heading of this letter. Since your exempt status was not under consideration, you continue to be classified as an organization exempt from Federal income tax under section 501(c)(3) of the Code.

Publication 557, Tax-Exempt Status for Your Organization, provides detailed information about your rights and responsibilities as an exempt organization. You may request a copy by calling the toll-free number for forms, 800-829-3676. Information is also available on our Internet Web Site at [www.irs.gov](http://www.irs.gov).

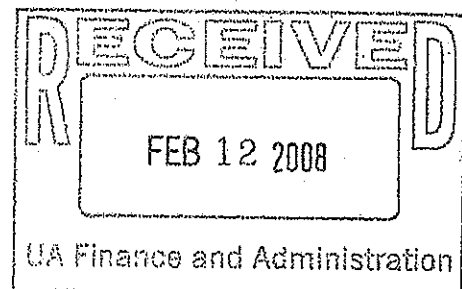
Because this letter could help resolve any questions regarding your exempt status, you should keep it in your permanent records.

If you have any questions, please call our toll free number shown in the heading of this letter.

Sincerely,



Robert Choi  
Director, Exempt Organizations  
Rulings and Agreements





Strengthening Inland Southern California through Philanthropy



BOARD OF DIRECTORS

April 15, 2016

Philip Savage IV  
Chair of the Board

Sean Varner  
Vice Chair of the Board

Pat Spafford, CPA  
Chief Financial Officer

Sergio Bohon  
Secretary of the Board

Glenda Bayless

Dr. Paulette Brown-Hinds

Rabbi Hillel Cohn

James Cuevas  
Immediate Past Board Chair

Paul Granillo

Stanley Grube

Kirk Harns

Dr. Fred Jandt

Andrew Jaramillo

Dr. Albert Karnig

D. Matthew Pim

Teresa Rhyne

Kathleen Sawa

Dr. Henry Shannon

Tamara Sipos

Beverly Stephenson

Randall Tagami

Diane Valenzuela

The Regents of the University of California  
University of California, Riverside – Main  
900 University Ave., Student Services Bldg.  
Riverside, CA 92521

To whom it may concern:

The Community Foundation is pleased to enclose a grant check for \$25,000 from the S. L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the grant check, you are agreeing to the conditions stated under the *Terms of Grant* which you have signed and returned. The completed Grant Evaluation form is due by **October 31, 2016** and will be available online on The Community Foundations website under Grants/Forms. Please note that any grant variances or extensions must be requested in writing and in advance. Any remaining grant funds must be returned to The Community Foundation at the end of the grant period.

We greatly appreciate any help you can give us in publicizing the grant. Please use the following credit in any grant announcements or materials funded by the grant: "The (name of project/program) is supported by a grant from The S. L. Gimbel Foundation." You may send copies of articles printed in local papers, stories in your agency newsletter, annual report, press releases, and other publications for our files.

If you have any questions, please contact me at 951-241-7777.

Sincerely,

Celia Cudiamat  
Executive Vice President of Programs

Dr. Jonathan Lorenzo Yorba  
President and CEO

20150834

40693

GIMB5 (Reissue)



Confirmed in Compliance  
with National Standards for  
U.S. Community Foundations





Strengthening Inland Southern California through Philanthropy

*S. L. Gimbel Foundation Fund*

BOARD OF DIRECTORS

October 29, 2015

Philip Savage IV  
Chair of the Board

Kim Wilcox  
Chancellor  
University of California Riverside  
UC Riverside Foundation  
3148 Hinderaker Hall  
Riverside, CA 92521

Sean Varner  
Vice Chair of the Board

Pat Spafford, CPA  
Chief Financial Officer

Sergio Bohon  
Secretary of the Board

Dear Ms. Wilcox:

Glenda Bayless

Dr. Paulette Brown-Hinds

Rabbi Hillel Cohn

James Cuevas  
Immediate Past Board Chair

Paul Granillo

Stanley Grube

Kirk Harns

Dr. Fred Jandt

Andrew Jaramillo

Dr. Albert Karnig

D. Matthew Pim

Teresa Rhyne

Dr. Henry Shannon

Tamara Sipos

Beverly Stephenson

Randall Tagami

Diane Valenzuela

Dr. Jonathan Lorenzo Yorba  
President and CEO

Congratulations! A grant has been approved for **University of California Riverside** in the amount of **\$25,000** from the S.L. Gimbel Foundation. The **performance period for this grant is November 15, 2015 to October 15, 2016**. Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

*To support undergraduate students in the Guardian Scholars program with housing, food, guidance and other assistance as they begin college emancipated from the state foster care system.*

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, please sign and date the enclosed copy and return the original copy to The Community Foundation within the next two weeks. Please retain a copy of the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. The **Grant Evaluation is due by October 31, 2016** and a copy will be available online.

We wish you great success and look forward to working with you during the grant performance period.

If you have any questions, please call me at 951-241-7777 ext. 114 or email me at [ccudiamat@thecommunityfoundation.net](mailto:ccudiamat@thecommunityfoundation.net).

Sincerely,

Celia Cudiamat  
Executive Vice President of Programs

15881 UCR Foundation 20150834 GIMB5



Confirmed in Compliance  
with National Standards for  
U.S. Community Foundations

3700 Sixth Street, Suite 200 ~ Riverside, California 92501  
P: 951.241.7777 ~ F: 951.684.1911 ~ [www.thecommunityfoundation.net](http://www.thecommunityfoundation.net)



Serving the Counties of Riverside and San Bernardino

3700 Sixth Street, Suite 200  
Riverside, CA 92501  
v) 951-241-7777 f) 951-684-1911  
www.thecommunityfoundation.net

## 2015 S.L. Gimbel Foundation Fund

### Grant Agreement

**Organization:** The Regents of the University of California, on behalf of its Riverside campus  
**Grant Amount:** \$ 25,000 **Grant Number:** 20150834  
**Grant Period:** November 15, 2015 through October 15, 2016  
**Purpose:** To support undergraduate students in the Guardian Scholars program with housing, food, guidance and other assistance as they begin college emancipated from the state foster care system.

#### 1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

#### 2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

#### 3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its tax-exempt status, and shall notify the Foundation immediately of any such change.

#### 4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

#### 5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request.

**Organization:** 15881 UC Regents  
**Grant Number:** 20150834

**6. Publicity**

The Community Foundation recommends publicity for the grant and acknowledging The Community Foundation in internal correspondence, brochures as appropriate; newsletters, annual reports and email blasts or e-newsletters.

The credit line of "Made possible in part by a grant from the "S.L. Gimbel Foundation Advised Fund at The Community Foundation – Inland Southern California" is suggested. When your donors are listed in printed materials, include the S.L. Gimbel Foundation Advised Fund at The Community Foundation in the appropriate contribution size category. When publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching our logo is also appreciated. Our logo can be downloaded from our website at [www.thecommunityfoundation.net](http://www.thecommunityfoundation.net).

**7. Indemnification**

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) arising out of the performance of this Agreement, but only in proportion to and to the extent such claims, liabilities, losses and expenses are caused by or result from the negligent or intentional acts or omissions of Grantee, its officers, agents, or employees; and except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

**8. Termination**

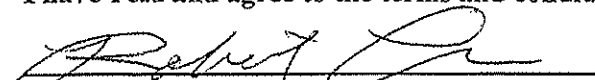
The Community Foundation may terminate this agreement, withhold payments, or both at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not reasonably satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives, provided that "reasonably satisfied" is defined as compliance with the terms of this Agreement, and shall not be based on Foundation's agreement with Grantee's findings, recommendations, or conclusions ; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement.

**9. Limitation of Support**

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

\*\*\*\*\*

I have read and agree to the terms and conditions of the Grant Agreement.

  
Signature

3/08/2016  
Date

Robert Chan  
Printed Name

Pr. Contract & Grant Officer  
Title

The Community Foundation

Strengthening Inland Southern California through Philanthropy  
3700 SIXTH STREET, SUITE 200  
RIVERSIDE, CA 92501  
951-241-7777 / FAX 951-684-1911

CITIZENS BUSINESS BANK  
A Financial Services Company  
3695 Main Street, Riverside, CA 92501  
90-3414/1222

Check Fraud Protection for Business

PAY \* Twenty-Five Thousand and no/100 \*

TO THE ORDER OF

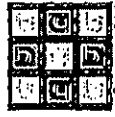
DATE

AMOUNT

04/08/2016

\$\*\*\*\*25,000.00

The Regents of the University of California  
University of California, Riverside - Main  
900 University Ave., Student Services Bldg.  
Riverside, CA 92521



*Jonathan Lozano Yorsa*  
*Colin Chiancato*  
AUTHORIZED SIGNATURE

⑈040693⑈ ⑆122234149⑆ 244124437⑈

The Community Foundation			40693
22342	The Regents of the University of California	04/08/2016	040693
20150834	10/28/2015 Provide housing, food, guidance and other assistance		25,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		25,000.00

CHECK TOTAL: \$\*\*\*\*25,000.00

The Community Foundation			40693
22342	The Regents of the University of California	04/08/2016	040693
20150834	10/28/2015 Provide housing, food, guidance and other assistance		25,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		25,000.00

CHECK TOTAL: \$\*\*\*\*25,000.00