



Strengthening Inland Southern California through Philanthropy

**2018 S.L. Gimbel  
Foundation Fund  
Grant Application**

Internal Use Only:

Grant  
No:

20180164

GRANT F.D. 2018  
2016 1st DOCKET RESUBMIT

**Organization / Agency Information**

|  |             |                                     |
|--|-------------|-------------------------------------|
| <b>Organization/Agency Name:</b><br>Rural Community Assistance                         |             |                                     |
| <b>Physical Address:</b><br>3120 Freeboard Drive, Suite 201, West Sacramento, CA 95691 |             |                                     |
| <b>Mailing Address:</b><br>3120 Freeboard Drive, Suite 201, West Sacramento CA 95691   |             |                                     |
| <b>CEO or Director:</b> Mr. Stanley Keasling<br><b>Title:</b> Chief Executive Officer  |             |                                     |
| <b>Phone:</b><br>(916) 447-9832 ext. 1008  | <b>Fax:</b> | <b>Email:</b><br>SKeasling@rcac.org |
| <b>Contact Person:</b><br>Sharen Butrum  |             | <b>Title:</b><br>Fund Developer II  |
| <b>Phone:</b><br>(916) 447-9832  | <b>Fax:</b> | <b>Email:</b><br>sbutrum@rcac.org   |
| <b>Web Site Address:</b><br><a href="http://www.rcac.org">http://www.rcac.org</a>      |             | <b>Tax ID:</b><br>94-2512284        |

**Program / Grant Information**

**Interest Area:** ☐ Animal Protection ☐ Education ☒ Environment ☐ Health ☐ Human Dignity

|   |   |  |   |
|---|---|--|---|
| <b>Program/Project Name:</b><br>Agua4All  |   |  | <b>Amount of Grant Requested:</b><br>\$50,000 42 4/16/18  |
| <b>Total Organization Budget:</b><br>\$22,191,000   | <b>Per 990, Percentage of Program Service Expenses (Column B/ Column A x 100):</b><br>79% | <b>Per 990, Percentage of Management &amp; General Expenses Only (Column C / Column A x 100):</b><br>18% | <b>Per 990, Percentage of Management &amp; General Expenses and Fundraising (Column C+D / Column A x 100):</b><br>20% |
| <b>Purpose of Grant Request (one sentence):</b><br>To increase access to and consumption of safe drinking water for members of seven Tribes in southern California. |   |  |   |
| <b>Program Start Date (Month and Year):</b><br>5/1/2018   |   | <b>Program End Date (Month and Year):</b><br>4/30/2019   |   |
| <b>Gimbel Grants Received: List Year(s) and Award Amount(s)</b><br>2015: \$25,000<br>2015: \$25,000   |   |  |   |

## Celia Cudiamat

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**From:** Sharen Butrum <sbutrum@rcac.org>  
**Sent:** Tuesday, March 06, 2018 12:06 PM  
**To:** Celia Cudiamat  
**Cc:** Julia Helmreich  
**Subject:** FW: Your 2017 S. L. Gimbel Foundation Fund Grant Application

Hi Celia,

I was able to find a way around the bold red font issue by e-mailing a copy of the application to myself and then changing the font in the e-mail, which I am now forwarding to you.

I have also resubmitted the application online.

The following is an explanation of RCAC's fringe benefit rate:

RCAC's fringe benefits include FICA and Medicare, worker's compensation, health, vision, life, disability and dental insurance, retirement, holidays and paid time off. Some organizations direct charge holiday and PTO as they happen, but RCAC accrues these expenses as part of the fringe benefit cost pool of which they represent 32 percent. RCAC's fringe benefit expense is prorated based on salary and is 44.9 percent.

I hope this addresses all the issues. We look forward to the Trustees' response.

Best regards,

Sharen

**From:** The Community Foundation [mailto:mail@grantapplication.com]  
**Sent:** Tuesday, March 06, 2018 11:49 AM  
**To:** Sharen Butrum <sbutrum@rcac.org>  
**Subject:** Your 2017 S. L. Gimbel Foundation Fund Grant Application

Your 2017 S. L. Gimbel Foundation Fund Grant Application has been successfully saved, and the tracking number is 22082. You can return to your application in progress through the following link:

[https://www.GrantRequest.com/SID\\_5728?SA=AM](https://www.GrantRequest.com/SID_5728?SA=AM)

You can also e-mail a copy of this application through the link provided on the application header. For your records, here is a copy of the contents of your application:

**2017 S. L. Gimbel Foundation Fund Grant Application**

**Welcome Page**

**Welcome to the 2017 S. L. Gimbel Foundation Fund Grant Application**

Thank you for applying to the S. L. Gimbel Foundation Fund Grant. The mission of the S.L. Gimbel Foundation is to enhance the quality of life for people and animals by providing effective support in the Founder's specific fields of interest: Animal Protection, Education, Environmental Protection and Enhancement, Health, and Human Dignity. Please take a moment to review the grant application guidelines before continuing the process (use "Guidelines" link above). After you have read and understood the guidelines, please proceed with the grant application process. Should you have any questions, please check the "FAQs" link above or refer to "Application Procedures" on our website. If your questions are not answered via FAQs or Application Procedures, contact us using the "Contact Us" link above.

### Organization Information

**NOTE: If you have already started an online application and would like to resume, please [CLICK HERE](#) to login to your Applicant Account Page.**

#### Organization/Agency Information

##### Organization/Agency Name

(If operating as a DBA, enter DBA name here)



Rural Community Assistance Corporation (RCAC)

##### Legal Name

If different from DBA/Organization/Agency Name



Rural Community Assistance

##### Tax ID



94-2512284

##### Tax Status



501(c)3

##### Organization Mailing Address

Street Address or P.O. Box (No residential addresses, please)

3120 Freeboard Drive, Suite 201

##### City



West Sacramento

##### State



CA

**Zip Code**

95691

**Organization Physical Address**Street No. and Name, City, State, Zip (*No residential addresses, please*)3120 Freeboard Drive, Suite 201  
West Sacramento, CA 95691**Website Address**

www.rcac.org

**Organizational Leadership** (Please list CEO, President, Board Chair, Executive Director)**Prefix**

Mr.

**First Name**

Stanley

**Last Name**

Keasling

**Title**

Chief Executive Officer

**Work Phone**

(xxx-xxx-xxxx)



916-447-9832

**Extension**

1008

**Cell Phone**

(xxx-xxx-xxxx)



**Fax**

(xxx-xxx-xxxx)



916-447-2878

**E-mail Address**

SKeasling@rcac.org

**Program/Project Contact Person**

**Check this box if the Program/Project Contact Person is the same as the organization's primary contact listed above**

Fields in this section will auto-fill.

No

**Prefix**



Mrs.

**First Name**



Sharen

**Last Name**



Butrum

**Title**

Fund Developer II

**Work Phone**

(xxx-xxx-xxxx)



916-447-9832

**Extension**



1035

## Office Fax



## E-mail Address

sbutrum@rcac.org

## Organization/Agency Background

### Organization Background

What is the history, mission, and purpose of your organization?

RCAC's mission is to provide training, technical and financial resources and advocacy so rural communities can achieve their goals and visions. RCAC assists low-income rural communities where unemployment rates are high, housing is often substandard and poverty is commonplace. Many of these communities also face daunting challenges to access safe, clean drinking water and to develop other vital infrastructure.

RCAC began its work on October 1, 1978, but the seeds for the organization's development were planted during the Great Depression of the 1930s when the United States Department of Agriculture (USDA) began to address the problems faced by small farmers and farmworkers in the West and the "dust bowl refugees" who had joined them. RCAC's roots lie within the Office of Economic Opportunity's Migrant Division. The Migrant Division's mission was to improve the plight of farmworkers who, though they worked from dawn to dusk, lived on incomes well below the nation's poverty level. The division either directly established or funded many of the rural development agencies RCAC serves today.

### Length of Service (number of years)

How long has the organization been providing programs and services to the community?

39

### Organizational Accomplishments

What are some of your past organizational accomplishments in the last 3 years?

**Agua4All Pilot:** In partnership with The California Endowment, RCAC launched the Agua4All program in 2014 to increase access to and consumption of safe drinking water in low-income rural areas. Agua4All raises awareness about the unsafe water crisis; builds community partnerships to install safe water taps in schools and neighborhoods where they are most needed; identifies funding sources; and develops long-term solutions for California's water quality and access problems.

An Agua4All pilot program was successfully concluded in early 2016, and since then, the program has continued to expand. To date, RCAC has installed 362 water bottle filling stations in 82 schools and 30 other public places in 26 rural communities in eight California counties. Where needed, the water dispensers are equipped with Point of Use (POU) filters to remove arsenic and other contaminants, and reusable water bottles are provided when funding is available. The pilot demonstrated the program's scalability and developed proven processes and procedures that can be replicated in rural communities throughout the West. Pilot evaluation results show students and residents of Agua4All communities are drinking more water following bottle filling station installations and have an increased understanding that doing so is good for them. Evaluation of drinking water habits at several schools shows an overall increase in water consumption. At Saul Martinez Elementary School in Mecca, the number of ounces of water consumed per student per day more than doubled and, in some cases, more than tripled.

**Drinking Water Advocates Coalition:** RCAC was a leader in the advocacy campaign that led to the inclusion of a \$10 million additional investment in the 2016-2017 state budget to increase Californians' access to clean, safe and affordable drinking water. The Drinking Water Advocates Coalition represents

rural water advocates, urban water districts, education and children's organizations, and environmental justice, health and equity organizations.

### Programs and Activities

What are some of your current key programs and activities? Describe the communities you serve. Include populations and geographic locations.

RCAC's programs and services are directed toward moderate- to low-income rural communities with populations of 50,000 or less in 13 western states and the Pacific Islands. Targeted populations include Native communities, Colonias residents and agricultural workers. Key program areas include affordable housing development, environmental infrastructure development and community development finance, as well as leadership and economic development training.

RCAC provides capacity building and technical assistance services; develops and delivers trainings; and conducts organizational and program assessment. In addition, RCAC's Loan Fund offers financing for affordable housing development, environmental infrastructure, community facilities and small business in rural areas that conventional markets traditionally neglect.

## Program/Project Information

### Program / Project Information

#### Interest Area



Environmental

#### Program or Project Name



Agua4All

#### Amount of Grant Requested

Use whole dollars, do not use \$ sign, comma, or decimal



50000

#### Total Organization Annual Operating Budget

Use whole dollars, do not use \$ sign, comma, or decimal



22191000

#### Per 990, Percentage of Program Service Expenses

Column B divided by Column A, x 100 (Please enter no more than 2 digits, i.e., 7.9)



79

#### Per 990, Percentage of Management & General Expenses Only

Column C divided by Column A, x 100 (Please enter no more than 2 digits, i.e., 7.9)



18

### **Per 990, Percentage of Management & General Expenses and Fundraising**

Column C + Column D, divided by Column A, x 100 (Please enter no more than 2 digits, i.e., 7.9)

20

### **Purpose of Grant Request**

Please summarize the project (in 25 words or less).

To increase access to and consumption of safe drinking water for members of seven Tribes in southern California.

### **Use of Grant Funds**

How will you use the grant funds?

Grant funds will be used to purchase seven water bottle filling stations and 3,300 reusable water bottles, and to implement RCAC's Agua4All educational curriculum.

### **Project Start Date**

Month and Year



5/1/2018

### **Project End Date**

Month and Year



4/30/2019

### **Gimbel Grants Received**

List previous years with award amounts, if applicable

2015: \$25,000

### **Statement of Need**

Specify the community need you want to address and are seeking funds for.

RCAC proposes to work with the leadership of the La Posta Band of Mission Indians to bring the Agua4All program to a consortium of seven tribes served by the Southern Indian Health Council in eastern San Diego County: the Barona; Campo; Ewiiapaayp; Jamul; La Posta; Manzanita and Viejas tribes. In common with many disadvantaged rural California communities, these Tribes do not have the infrastructure necessary to provide ready access to safe drinking water for their members.

As a result, low-income Tribal families often spend more than 10 percent of their earnings to buy bottled water. Many also choose to consume sugar-sweetened beverages instead of water. This is a serious health concern, particularly because diabetes in California has increased by 35 percent during the last 10 years. A UCLA Center for Health and Policy Research study found that nearly half of all California adults are pre-diabetic. This health risk is particularly prevalent among Hispanic and Native American communities.

### **Project Description**

Describe your project. How will this project enable this organization to better meet community needs? What is unique and innovative about this project?



RCAC is requesting a \$50,000 grant from the S.L. Gimbel Foundation to assist with the purchase of seven water bottle filling stations to be installed at five locations on and off the La Posta Reservation. These locations will be three health clinics operated by the Southern Indian Health Council; the La Posta Boys and Girls Club; and the La Posta tribal office building. Combined, these locations provide services to approximately 5,700 individuals. The grant will also pay for the purchase and distribution of 3,300 reusable water bottles to Tribal members, as well as implementation of RCAC's Agua4All educational curriculum. Costs associated with the installation of the filling stations will be covered by the La Posta Tribe.

The Agua4All program is unique and innovative in that it uses the existing partnerships between RCAC and local community organizations to build relationships with local water systems, school districts, parks departments, nonprofits and other entities to address the urgent need to provide safe drinking water in rural areas throughout California. Agua4All raises awareness about the state's drinking water crisis; builds community partnerships to install water bottle filling stations in schools and neighborhoods where they are needed most; identifies funding sources; and develops long-term solutions for California's water quality and access problems.

**Project Goal, Objectives, Activities, Expected Outcomes, and Evaluation:** In fields below, state in the following order: **1. ONE Project Goal** that is a broad, aspirational statement of purpose for the project and **ONE Objective** that is a specific, measurable, verifiable, action-oriented, realistic, and time-specific statement intended to guide your organization's activities toward achieving the goal. **2. Specify the Project Activities** you will undertake to meet the objective and number of participants for each activity. **3. Expected Outcomes** are the individual, organizational, or community-level changes that can reasonably occur during the grant period as a result of the proposed activities or services. What are the key anticipated outcomes of the project and impact on participants? State in quantifiable and verifiable terms. **4. Evaluation** How will progress towards the objectives be tracked and outcomes measured? Provide specific information on how you will collect relevant data and statistics that meet your objective and validate your expected outcomes in a quantifiable manner as you describe your evaluation process.

### 1. Project Goal and Objective



**Goal:** Improve the health of approximately 3,300 low-income members of seven Native American tribes in Southern California by increasing their access to and consumption of safe drinking water. RCAC will work with the leadership of the La Posta Band of Mission Indians to bring the Agua4All program to a consortium of seven tribes served by the Southern Indian Health Council. Beneficiaries will be 3,300 members of the Barona, Campo, Ewiiapaayp, Jamul, La Posta, Manzanita and Viejas tribes, and approximately 2,400 other low-income local residents who also use the facilities where filling stations will be located.

**Objective:** Increase access to safe drinking water for 3,300 tribal members and an additional 2,400 local residents by installing seven water bottle filling stations in five locations on and in proximity to the La Posta Reservation, and increase water consumption by providing reusable water bottles to 3,300 tribal members.

### 2. Project Activities



- Site location scoping and community relationship building
- Perform site assessments at all proposed locations
- Purchase water bottle filling stations from selected vendors
- Begin water bottle filling station installations

- Order reusable bottles
- Installations finalized at all sites
- Distribute reusable bottles
- Work with tribal leadership to develop educational materials and an outreach plan
- Conduct all water promotion and educational activities
- Plan and host a community celebration and media event to promote the program and engage the community

### 3. Expected Outcomes



1. Increased access to free safe drinking water for 3,300 tribal members and 2,400 other low-income rural residents
2. Increased consumption of water by 3,300 tribal members and 2,400 other low-income rural residents
3. Increased understanding of the health benefits associated with drinking water among 3,300 tribal members and 2,400 other low-income rural residents
3. Reduced solid waste generation following distribution of 3,300 reusable water bottles

### 4. Evaluation



**RCAC will conduct pre- and post-water bottle filling station installation surveys with up to 10 representatives from each of the seven tribes, and 10 other low-income individuals this project will serve. These 80 individuals will constitute a representative sampling of the opinions of 3,300 tribal members and 2,400 rural residents.**

**Surveys will determine the following:**

- The level of increased access to safe drinking water for 3,300 tribal members and 2,400 other low-income rural residents
- Changes in perceptions, attitudes, and other social barriers to drinking tap water that have resulted in increased consumption of water by 3,300 tribal members and 2,400 other low-income rural residents that may have occurred
- Any increase in understanding of the health benefits associated with drinking water among 3,300 tribal members and 2,400 other low-income rural residents
- The amount of reduction in solid waste generation as a result of distribution of 3,300 reusable water bottles

**RCAC will compile the data collected and present the results to the S.L. Gimbel Foundation in a final report at the completion of the project.**

**\*Below are some examples of how to list your Goals, Objectives, Activities, Expected Outcomes, and Evaluation: Objective, Outcome, and Evaluation should align and should be written in a linear format, using actual numbers and data that are quantifiable and verifiable.**

#### **STATE ONE GOAL, ONE OBJECTIVE, ONE OUTCOME:**

- **GOAL:** House all homeless youth ages 18-24 in Mariposa County who are physically, mentally, and legally able to work within 24 hours and help them become self-sufficient in 90 days.

- **OBJECTIVE:** House up to 145 homeless youth referred or who contact us within 24 hours.
- **OUTCOME:** We expect to provide rapid rehousing to over 45 homeless youth in 2017. **EVALUATION:** Using Build Futures' Salesforce database client management and tracking system, generate reports on the number of clients served and housed. Track our role in housing 145 youth. Account for additional success or lower numbers of youth in the program.

### **Target Population**

Who will this grant serve? How many people will be impacted? Provide a breakdown: Number of children, youth, adults, seniors, animals.

This grant will serve approximately 5,670 individuals who use the facilities where water bottle filling stations will be installed. This includes 1,050 children; 520 youth; 2,500 adults; and 1,600 seniors. Of the total individuals served, approximately 3,300 will be Tribal members.

### **Project Timeline**

Provide a timeline for implementing the project. Include timeframes for specific activities, as appropriate.

#### **1st Quarter (May 2018 - July 2018)**

- **Site location scoping and community relationship building**
- **Perform site assessments at all proposed locations**

#### **2nd Quarter (August 2018 - October 2018)**

- **Purchase water bottle filling stations from selected vendors**
- **Begin water bottle filling station installations**

#### **3rd Quarter (November 2018 - January 2019)**

- **Order reusable bottles**
- **Installations finalized at all sites**
- **Distribute reusable bottles**

#### **4th Quarter (February 2019 - April 2019)**

- **Work with tribal leadership to develop educational materials and an outreach plan**
- **Conduct all water promotion and educational activities**
- **Plan and host a community celebration and media event to promote the program and engage the community**

### **Projects in the Community**

How does this project relate to other existing projects in the community? Who else in the community is providing this service or has a similar project? Who are your community partners, if any? How are you utilizing volunteers?

The innovative Agua4All program will be the first of its kind for the La Posta Band of Mission Indians. Tribal leaders will assist RCAC to develop culturally appropriate community outreach and education materials and to implement the program. RCAC has a strong relationship with the San Diego County Department of Health, which is assisting in promoting Agua4All as part of a campaign to encourage good nutrition and healthy lifestyles in addition to increased water consumption. RCAC places special emphasis on working with Native American communities and is currently implementing Agua4All with nine other Tribes in California.

### **Project Future**

#### **Project Future / Sustainability**

Explain how you will support the project after the grant performance period. Include plans for fundraising or increasing financial support designated for the project.

The California Endowment is currently Agua4All's major funder. Fundraising for expansion of the program throughout rural California is ongoing and includes multiple potential partners. TCE will continue to assist with financial and logistical support, and requests will be made to the many banks, corporations and foundations with which RCAC maintains strong relationships. In addition to the \$10 million in funding to increase Californians' access to safe drinking water included in the 2016-2017

budget, there is also strong potential for ongoing state and federal support as advocacy for and awareness of the program continues.

## **Governance, Executive Leadership, and Management: Key Personnel / Staff Qualifications**

### **Governance and Executive Leadership**

Describe your Board of Directors and the role it plays in the organization. What committees exist within your Board? How does your Board make decisions?

RCAC's board of directors consists of between nine and 13 members who must live or work in states within RCAC's service area, and must be or represent low-income rural residents and/or have the appropriate technical background in RCAC activities. The board strives to keep the ethnic and gender distribution of its members in balance with the population RCAC serves. The board develops RCAC's five-year Strategic Plan and meets quarterly to oversee and ensure its implementation. Members serve on four committees: Audit, Finance, Governance, and Loan.

### **Management**

Describe the qualifications of key personnel/staff responsible for implementing the project.

Stephanie Villegas, Project Manager

Ms. Villegas supervises all aspects of Agua4All in the field. She manages contracts and budgets in collaboration with RCAC's Grants and Contracts Administration department, and maintains relationships with communities, vendors, subcontractors and funders. Ms. Villegas was previously Director of Programs with the Yolo Food Bank where she tracked and communicated objectives and outcomes to the Board of Directors and funders, and worked in partnership with the Executive Director to provide leadership and management.

Amber Guerra, Project Manager

Ms. Guerra prepares written reports on program activities, builds and maintains relationships with partner organizations, and responds to program inquiries. She also provides field support, assists with contract preparation and management, and delivers direct technical assistance on drinking water issues. Ms. Guerra has six years' professional experience in the natural resources field, working with state, federal and nonprofit organizations. She is Water Distribution System certified.

Kelvin Singh, Program Analyst

Mr. Singh provides ongoing grant and contract management in support of the Community and Environmental services department. He reviews, tracks, and analyzes environmental contract deliverables, budget expenditures and required program and financial reports. Mr. Singh has a Bachelor of Science degree in Business Administration from California State University, Chico.

## **Attachments**

### **Attachments**

**REQUIRED .PDF ATTACHMENTS:** The maximum size for all attachments combined is 25 MB. Please note that files with certain extensions such as ".exe", ".com", ".vbs", or ".bat" cannot be uploaded. You must **1. BROWSE FILES** on your computer, **2. SELECT FILES**, then **3. CLICK UPLOAD** to attach files. **\*IMPORTANT:** For each set of required documents below, please scan all pages of each set into one .pdf, as only a single document upload is permitted for each item being requested.

### **1. Project Budget and Budget Narrative**

Please provide a detailed line-item budget for your **entire** project. Download the **V. Project Budget and Narrative Worksheet**, complete, and **Upload**. SEE **SAMPLE Budget & Narratives Worksheet**, 2017-Gimbel-App-Project-Budget-and-Narrative.pdf

## **2. Sources of Funding**

Please list your current sources of funding and amounts. Download the **VI. Sources of Funding Worksheet**, complete, and **Upload**.

2017-Gimbel-App-Sources-of-Funding.pdf

## **3. Financial Analysis**

Please provide all information requested for your **entire organization**. Included any notes that may explain any extraordinary circumstances. Download the **VII. Financial Analysis Worksheet**, complete, and **Upload**.

2017-Gimbel-App-Financial-Analysis.pdf

## **4. Current Year Operating Budget**

Please provide a copy of your current year's operating budget

FY 18 Board Approved Budget Final.pdf

## **5. Budget Comparison**

Download the **SAMPLE Budget Comparison Worksheet**, complete, and **Upload**.

Budget Comparison.pdf

## **6. Part IX only of the 990 form**

Statement of Functional Expenses (one page). If you completed a 990-EZ, Download the **Part IX Functional Expenses sample** of the 990 form using figures from your 990 EZ, complete, and **Upload**.

Part IX Functional Expenses.pdf

## **7. Financial Statements**

Please provide a copy of your most recent year-end financial statements (audited, if possible)

RCAC AFS 9.30.17.pdf

## **8. Most Recent 990**

Please provide a copy of your most recent 990 form

990-FYE-2016.pdf

## **9. Tax Exemption Letter**

Please provide an electronic copy of your IRS 501 (c) (3) determination letter.

501-c3-determination-letter-7.30.15.pdf

## **10. Board of Directors List**

Please provide a list of your current Board of Directors, including their name, position on the board, and professional affiliation(s).

Board List\_updated 12-2017.pdf

## **11. Evaluation & Expenditure Report**

For past grantees, provide a copy of your most recent final evaluation report, including your most recent expenditure report.

final report narrative\_rcac\_agua4all\_gimbel\_foundation.docx

## 2017 S.L. Gimbel Foundation APPLICATION

### V. Project Budget and Narrative (Do not delete these instructions on your completed form).

A) **Budget Table:** Provide a detailed line-item budget for your entire project by completing the table below. Requested line items should be limited to Ten (10) line items. The less the better.

**A breakdown of specific line item requests and attendant costs should include:**

- 1) Line item requests for materials, supplies, equipment and others:
  - a. Identify and list the type of materials, supplies, equipment, etc.
  - b. **Specify the unit cost, number of units, and total cost**
  - c. Use a formula/equation as applicable. (i.e. 40 books @ \$100 each = \$4000)
- 2) Line item requests for staff compensation, benefits: **Do not use FTE percentages.**
  - a. Identify the position; for each position request, **specify the hourly rate and the number of hours** (i.e. \$20/hr x 20 hours/week x 20 weeks = \$8,000)
  - b. For benefits, provide the formula and calculation (i.e. \$8,000 x 25% = \$2,000)
- 3) Line items on Salaries/Personnel included in budget (contribution or in-kind) but NOT requested from the Gimbel Foundation must be broken down per number 2) above:  
Provide rate of pay per hour and number of hours.

| Line Item Request   | Line Item Explanation                        | Support From Your Agency | Support From Other Funders | Requested Amount From Gimbel/TCF | Line Item Total of Project |
|---------------------|--|--------------------------|----------------------------|----------------------------------|----------------------------|
| Regional Manager    | 24 hours x \$40.82/hour = \$979.68           |                          |                            | \$979.68                         | \$979.68                   |
| Project Manager     | 111 hours x \$33.70/hour = \$3,740.70        |                          |                            | \$3,740.70                       | \$3,740.70                 |
| Project Coordinator | 81 hours x \$21.32/hour = \$1,726.92         |                          |                            | \$1,726.92                       | \$1,726.92                 |
| Program Analyst     | 36 hours x \$24.13/hour = \$869.04           |                          |                            | \$869.04                         | \$869.04                   |
| Fringe Benefits     | Fringe allocation is 44.9%                   |                          |                            | \$3,285.04                       | \$3,285.04                 |
| Filling Stations    | 7 filling stations @ \$2,500 each = \$17,500 |                          |                            | \$17,500                         | \$17,500                   |
| Water Bottles       | 3,300 water bottles @ \$5 each = \$16,500    |                          |                            | \$16,500                         | \$16,500                   |
| Travel              | 4 trips each for 2 people @ \$988.08 each    |                          |                            | \$3,952.31                       | \$3,952.31                 |
| Other               | See narrative                                |                          |                            | \$1,446.31                       | \$1,446.31                 |
| <b>TOTALS:</b>      |  |                          |                            | <b>\$50,000</b>                  | <b>\$50,000</b>            |

B) **Narrative:** The budget narrative is the justification of “how” and/or “why” a line item helps to meet the project deliverables. Provide a description for each line item request as necessary. Explain how the line item relates to the project. If you are requesting funds to pay for staff, list the specific duties of each position. See attached SAMPLE Project Budget and Budget Narrative

|  |            |
|--|------------|
| 1. Regional Manager  |            |
| Oversees the Agua4All program and supervises Agua4All staff.   |            |
| 24 hours x \$40.82 = \$979.68  |            |
| 2. Project Manager   |            |
| Supervises all aspects of Agua4All in the field; manages contracts and budgets in collaboration with Grants & Contracts Administration; maintains relationships with Agua4All communities, vendors, subcontractors and funders.  |            |
| 111 hours x \$33.70 = \$3,740.70   |            |
| 3. Project Coordinator   |            |
| Prepares reports on program activities; builds and maintains relationships with partner organizations; provides field support; assists with contract preparation; delivers technical assistance on drinking water issues.  |            |
| 81 hours x \$21.32 = \$1,726.92  |            |
| 4. Program Analyst   |            |
| Provides grant and contract management; reviews, track and analyzes contract deliverables; budget expenditures; and required program and financial reports.  |            |
| 36 hours x \$24.14 = \$869.04  |            |
| 5. Fringe Benefits. RCAC's fringe benefits include FICA and Medicare, worker's compensation, health, vision, life, disability and dental insurance, retirement, holidays and paid time off. Some organizations direct charge holiday and PTO pay as they happen, but RCAC accrues these expenses as part of the fringe benefit cost pool of which they represent 32 percent. The fringe benefit expense is prorated based on salary. RCAC's fringe benefit rate is 44.9 percent. |            |
| \$7,316.34 x 44.9% = \$3,285.04  |            |
| 6. Water Bottle Filling Stations (purchase & installation costs)   |            |
| 7 filling stations @ \$2,500 each = \$17,500   |            |
| 7. Reusable water bottles  |            |
| 3,300 bottles @ \$5 each = \$16,500  |            |
| 8. Travel  |            |
| 4 trips each for 2 people (Project Manager & Project Coordinator) from West Sacramento to the La Posta Reservation in southern California  |            |
| 8 trips @ \$494.04 each = \$3,952.32   |            |
| 9. Other   |            |
| Supplies   | 249.67     |
| Telephone & Postage  | 230.05     |
| Office Space   | 520.43     |
| Equipment  | 131.16     |
| Printing (educational materials)   | 315.00     |
|  |            |
|  | \$1,446.31 |

## 2017 S.L. Gimbel Foundation APPLICATION

**VI. Sources of Funding:** Please list your current sources of funding and amounts.

## Secured/Awarded

| <b>Name of Funder: Foundation, Corporation, Government</b> | <b>Amount</b> |
|--|---------------|
| New sources of funding in FY17:                            |               |
| Kaiser Permanente  | 25,000        |
| San Joaquin Valley Health Fund                             | 20,000        |
| State Water Resources Control Board                        | 500,000       |
| Dignity Health   | 50,000        |
| San Joaquin Valley Health Fund                             | 20,000        |
|  |               |
|  |               |

*Pending*

[illegible]

**Diversity of Funding Sources:** A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

| Funding Source             | Amount           | % of Total Revenue | Funding Source  | Amount       | % of Total Revenue |
|----------------------------|------------------|--------------------|-----------------|--------------|--------------------|
| Contributions              | \$ 0             | 0                  | Program Fees    | \$ 0         | 0                  |
| Fundraising/Special Events | \$ 0             | 0                  | Interest Income | \$ 3,219,829 | 19.8               |
| Corp/Foundation Grants     | \$ 825,207.00    | 4.92               | Other:          | \$ 817,407   | 4.87               |
| Government Grants          | \$ 11,920,560.00 | 71.03              | Other:          | \$ 0         | 0                  |

**Notes:**

|  |
|--|
|  |
|--|



## 2017 S.L. Gimbel Foundation APPLICATION

### VII. Financial Analysis

Agency Name: Rural Community Assistance Corporation

Most Current Fiscal Year (Dates): From 10/1/2015 To: 10/1/2015

This section presents an overview of an applicant organization's financial health and will be reviewed along with the grant proposal. Provide all the information requested on your **entire organization**. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. **Double check your figures!**

#### Form 990, Part IX: Statement of Functional Expenses

**1) Transfer the totals for each of the columns, Line 25- Total functional expenses (page 10)**

| (A)<br>Total Expenses | (B)<br>Program service<br>expenses | (C)<br>Management &<br>general expenses | (D)<br>Fundraising expenses |
|-----------------------|------------------------------------|---|-----------------------------|
| \$ 16,110,145         | \$ 12,843,400                      | \$ 3,007,984                            | \$ 258,761                  |

**2) Calculate the percentages of Columns B, C, and D, over A (per totals above)**

- Program services (B) – A general rule is that at least 75% of total expenses should be used to support programs
- Management & general administration (C) – A general rule is that no more than 15% of total expenses should be used for management & general expenses
- Fundraising (D) – A general rule is that no more than 10% of total expenses should be used for fundraising

| (A)<br>Total Expenses | (B)<br>Program service<br>expenses | (C)<br>Management &<br>general expenses | (D)<br>Fundraising expenses |
|-----------------------|------------------------------------|---|-----------------------------|
|                       | Columns B / A x 100                | Columns C / A x 100                     | Columns D / A x 100         |
| Must equal 100%       | 79.7 %                             | 18.7 %                                  | 1.6 %                       |

**3) Calculate the difference between your CURRENT year budget for management & general expenses and your previous management & general expenses per your 990 (Column C)**

| Percentage of Organization's<br><u>Current</u> Total Budget used for<br>Administration | Column C, Management & general<br>expenses per 990 above | Differential |
|--|--|--------------|
| 13.5 %   | 18.7 %   | - 5.2 %      |

If the differential is above (+) or below (-) 10%, provide an explanation:

## **2017 S.L. Gimbel Foundation APPLICATION**

**Quick Ratio:** Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

|              |                               |                             |                      |
|--------------|-------------------------------|-----------------------------|----------------------|
| <b>Cash</b>  | <b>+ Accounts Receivables</b> | <b>/Current Liabilities</b> | <b>= Quick Ratio</b> |
| \$ 9,603,713 | \$ 482,701                    | \$6,787,000                 | 1.49                 |

**Excess or Deficit for the Year:**

| Excess or (Deficit)<br>Most recent fiscal year end | Excess or (Deficit)<br>Prior fiscal year end |
|--|--|
| \$ 672,858   | \$ 2,680,528                                 |

**Notes:**

[illegible]

**RURAL COMMUNITY ASSISTANCE CORPORATION**  
**FY 2018 Corporate Budget**  
**October 1, 2017 to September 30, 2018**  
**Approved by Board of Directors August 3, 2017**

|  | COMMUNITY &<br>Environmental | HOUSING          | LOAN FUND        | OTHER            | INDIRECT         | FY 18 TOTAL       | FY 17 BOD Apprvd  | FY 17 Forecast<br>(June '17) |
|--|------------------------------|------------------|------------------|------------------|------------------|-------------------|-------------------|------------------------------|
| Grants and contracts                           | 10,724,000                   | 4,048,000        | 160,000          | 252,000          | -                | 15,184,000        | 14,649,000        | 12,869,754                   |
| Loan fees revenue                              | -                            | -                | 350,000          | -                | -                | 350,000           | 375,000           | 296,681                      |
| Loan servicing fees revenue                    | -                            | -                | 365,000          | -                | -                | 365,000           | 270,000           | 293,453                      |
| Interest on loans                              | -                            | -                | 2,035,000        | -                | -                | 2,035,000         | 2,350,000         | 1,807,889                    |
| Investment income                              | -                            | -                | 45,000           | 15,000           | -                | 60,000            | 40,000            | 20,723                       |
| Revenue from partnership\Gurantee fee          | -                            | 100,000          | 20,000           | -                | -                | 120,000           | 42,000            | -                            |
| Rental and other income                        | -                            | -                | -                | 71,000           | -                | 71,000            | 42,000            | 346,818                      |
| Net assets released from restrictions          | 447,000                      | 305,000          | 1,297,000        | 233,000          | -                | 2,282,000         | 3,535,500         | 3,724,626                    |
| <b>TOTAL UNRESTRICTED REVENUE</b>              | <b>11,171,000</b>            | <b>4,453,000</b> | <b>4,272,000</b> | <b>571,000</b>   |                  | <b>20,467,000</b> | <b>21,303,500</b> | <b>19,359,944</b>            |
| Grants and contracts                           | 807,000                      | 130,000          | 1,500,000        | 97,000           | -                | 2,534,000         | 3,260,000         | 3,556,988                    |
| Interest on loans                              | -                            | -                | 1,100,000        | -                | -                | 1,100,000         | 1,052,000         | 1,069,853                    |
| Investment income                              | -                            | -                | 115,000          | -                | -                | 115,000           | 111,000           | 223,042                      |
| Net assets released from restrictions          | (447,000)                    | (305,000)        | (1,297,000)      | (233,000)        | -                | (2,282,000)       | (3,535,500)       | (3,724,626)                  |
| <b>TOTAL TEMP. RESTRICTED REVENUE</b>          | <b>360,000</b>               | <b>(175,000)</b> | <b>1,418,000</b> | <b>(136,000)</b> | <b>-</b>         | <b>1,467,000</b>  | <b>887,500</b>    | <b>1,125,257</b>             |
| <b>TOTAL REVENUE</b>                           | <b>11,531,000</b>            | <b>4,278,000</b> | <b>5,690,000</b> | <b>435,000</b>   | <b>-</b>         | <b>21,934,000</b> | <b>22,191,000</b> | <b>20,485,201</b>            |
| Direct salaries                                | 4,040,000                    | 1,400,000        | 1,090,000        | 308,000          | 1,700,000        | 8,538,000         | 8,290,000         | 7,868,834                    |
| Fringe benefits                                | 1,814,000                    | 629,000          | 489,000          | 138,000          | 763,000          | 3,833,000         | 3,688,100         | 3,579,532                    |
| Bank service charges                           | -                            | 500              | 6,500            | 6,500            | 500              | 14,000            | 10,300            | 13,717                       |
| Consultant                                     | 31,000                       | 250,000          | 95,000           | 130,000          | 250,000          | 756,000           | 671,500           | 771,352                      |
| Consumable supplies                            | 147,000                      | 51,000           | 40,000           | 11,000           | -                | 249,000           | 222,200           | 210,885                      |
| Grant specific expense -supplies               | 300,000                      | 3,000            | 500              | 2,000            | 2,000            | 307,500           | 172,700           | 353,729                      |
| Telephone                                      | 117,000                      | 41,000           | 32,000           | 9,000            | -                | 199,000           | 218,900           | 206,950                      |
| Grant specific expense -telephone              | 10,000                       | 4,000            | 1,000            | 1,000            | 3,000            | 19,000            | 20,500            | 18,566                       |
| Postage  | 13,000                       | 5,000            | 4,000            | 1,000            | -                | 23,000            | 20,100            | 18,885                       |
| Grant specific expense -postage                | 40,000                       | 15,000           | 5,000            | 1,000            | 2,000            | 63,000            | 58,000            | 57,649                       |
| Office Space                                   | 301,000                      | 104,000          | 81,000           | 23,000           | -                | 509,000           | 500,200           | 474,491                      |
| Equipment rental/maintenance                   | 83,000                       | 29,000           | 22,000           | 6,000            | -                | 140,000           | 77,000            | 73,180                       |
| Printing and copying                           | 95,000                       | 13,000           | 3,000            | 7,000            | 3,000            | 121,000           | 116,000           | 118,829                      |
| Training Costs                                 | 111,500                      | 40,000           | 9,000            | 25,000           | 81,000           | 266,500           | 213,500           | 250,389                      |
| Travel   | 843,000                      | 300,000          | 65,000           | 60,000           | 225,000          | 1,493,000         | 1,632,000         | 1,457,190                    |
| Interest and bond expense                      | -                            | -                | 700,000          | 60,000           | -                | 760,000           | 859,000           | 697,563                      |
| Depreciation                                   | 2,500                        | 3,500            | 3,500            | 195,000          | 100,000          | 304,500           | 313,500           | 321,092                      |
| Insurance                                      | -                            | -                | 1,000            | 26,000           | 68,000           | 95,000            | 95,000            | 97,909                       |
| Dues and subscriptions                         | 15,000                       | 2,000            | 6,000            | 75,000           | 10,000           | 108,000           | 112,000           | 48,172                       |
| Conference registration and staff training     | 25,000                       | 35,000           | 3,500            | 7,000            | 25,000           | 95,500            | 103,500           | 87,624                       |
| Allowance for doubtful accounts                | -                            | -                | 300,000          | -                | -                | 300,000           | 600,000           | 241,568                      |
| Taxes, licenses and fees                       | -                            | 2,000            | 37,000           | 3,000            | 5,000            | 47,000            | 55,000            | 50,058                       |
| Recruitment                                    | 2,000                        | 500              | -                | -                | 20,500           | 23,000            | 22,500            | 34,181                       |
| Contributions                                  | -                            | 4,000            | 8,000            | 16,000           | -                | 28,000            | 17,000            | 26,691                       |
| Grants and pass-through expenditures           | 1,200,000                    | 550,000          | -                | -                | -                | 1,750,000         | 1,950,000         | 1,121,329                    |
| Scholarship                                    | -                            | -                | -                | -                | -                | -                 | -                 | 215,480                      |
| Other building management                      | -                            | -                | -                | 145,000          | -                | 145,000           | 145,000           | 150,000                      |
| Owner occupancy allocated to office space      | -                            | -                | -                | (307,000)        | -                | (307,000)         | (307,000)         | (326,496)                    |
| <b>Total Direct Costs</b>                      | <b>9,190,000</b>             | <b>3,481,500</b> | <b>3,002,000</b> | <b>948,500</b>   | <b>3,258,000</b> | <b>19,880,000</b> | <b>19,876,500</b> | <b>18,239,349</b>            |
| Indirect Allocation                            | 1,961,000                    | 702,000          | 568,000          | 243,000          | (3,474,000)      | -                 | -                 | -                            |
| <b>TOTAL EXPENSES</b>                          | <b>11,151,000</b>            | <b>4,183,500</b> | <b>3,570,000</b> | <b>1,191,500</b> | <b>(216,000)</b> | <b>19,880,000</b> | <b>19,876,500</b> | <b>18,239,349</b>            |
| <b>NET ASSETS-UNRESTRICTED</b>                 | <b>20,000</b>                | <b>269,500</b>   | <b>702,000</b>   | <b>(620,500)</b> | <b>216,000</b>   | <b>587,000</b>    | <b>1,427,000</b>  | <b>1,120,595</b>             |
| <b>NET ASSETS-TEMP RESTRICTED</b>              | <b>360,000</b>               | <b>(175,000)</b> | <b>1,418,000</b> | <b>(136,000)</b> | <b>-</b>         | <b>1,467,000</b>  | <b>887,500</b>    | <b>1,125,257</b>             |
| <b>FULL TIME EQUIVALENTS (FTEs) -FY 18</b>     | <b>63</b>                    | <b>21</b>        | <b>17</b>        | <b>4</b>         | <b>24</b>        | <b>129</b>        | <b>n/a</b>        | <b>n/a</b>                   |
| <b>FULL TIME EQUIVALENTS - Current (FY 17)</b> | <b>53</b>                    | <b>20</b>        | <b>16</b>        | <b>4</b>         | <b>23</b>        | <b>n/a</b>        | <b>126</b>        | <b>125</b>                   |



**Rural Community Assistance Corporation**  
**Consolidated Statement of Activities and Changes in Net Assets**  
**For the year ended September 30, 2016**

|                                       | <u>Unrestricted</u> | <u>Temporarily<br/>Restricted</u> | <u>Totals</u> |
|---------------------------------------|---------------------|-----------------------------------|---------------|
| <u>Revenue:</u>                       |                     |                                   |               |
| Grants and contracts                  | \$ 11,548,501       | \$ 1,197,266                      | \$ 12,745,767 |
| Loan fees revenue                     | 251,149             | -                                 | 251,149       |
| Loan servicing fees revenue           | 259,346             | -                                 | 259,346       |
| Interest on loans                     | 2,198,900           | 1,020,929                         | 3,219,829     |
| Investment income (Note 3)            | (57,394)            | 259,896                           | 202,502       |
| Premium on Sale of Loans              | 111,285             | -                                 | 111,285       |
| Loss on Sale of Assets                | (71,045)            | -                                 | (71,045)      |
| Rental and other income               | 64,170              | -                                 | 64,170        |
| Net assets released from restrictions | 6,425,665           | (6,425,665)                       | -             |
|                                       | <hr/>               | <hr/>                             | <hr/>         |
| Total revenue                         | 20,730,577          | (3,947,574)                       | 16,783,003    |
|                                       | <hr/>               | <hr/>                             | <hr/>         |
| <u>Expenses:</u>                      |                     |                                   |               |
| Program expenses:                     |                     |                                   |               |
| Loan fund                             | 2,292,750           | -                                 | 2,292,750     |
| Housing                               | 2,787,604           | -                                 | 2,787,604     |
| Community & Environmental             | 6,779,196           | -                                 | 6,779,196     |
| Other                                 | 834,275             | -                                 | 834,275       |
|                                       | <hr/>               | <hr/>                             | <hr/>         |
| Total program expenses                | 12,693,825          | -                                 | 12,693,825    |
|                                       | <hr/>               | <hr/>                             | <hr/>         |
| Fundraising                           | 258,761             | -                                 | 258,761       |
| Management and general                | 3,007,984           | -                                 | 3,007,984     |
| Rental operations                     | 149,575             | -                                 | 149,575       |
|                                       | <hr/>               | <hr/>                             | <hr/>         |
| Total expenses                        | 16,110,145          | -                                 | 16,110,145    |
|                                       | <hr/>               | <hr/>                             | <hr/>         |
| Increase in net assets                | 4,620,432           | (3,947,574)                       | 672,858       |
|                                       | <hr/>               | <hr/>                             | <hr/>         |
| Net assets at October 1, 2015         | 22,258,868          | \$ 11,634,225                     | 33,893,093    |
|                                       | <hr/>               | <hr/>                             | <hr/>         |
| Net assets at September 30, 2016      | \$ 26,879,300       | \$ 7,686,651                      | \$ 34,565,951 |
|                                       | <hr/>               | <hr/>                             | <hr/>         |

The accompanying notes are an integral part of these consolidated financial statements.

| RURAL COMMUNITY ASSISTANCE CORPORATION<br>FY 2017 Corporate Budget<br>October 1, 2016 to September 30, 2017 |                              |                  |                  |                  |                  |                   |                     |                              |
|---|------------------------------|------------------|------------------|------------------|------------------|-------------------|---------------------|------------------------------|
|   | COMMUNITY &<br>ENVIRONMENTAL | HOUSING          | LOAN FUND        | OTHER            | INDIRECT         | FY 17 TOTAL       | FY 16 BOD<br>Appr'd | FY 16 Forecast<br>(June '16) |
| Grants and contracts  | 10,354,000                   | 3,600,000        | 435,000          | 260,000          | -                | 14,649,000        | 12,947,000          | 11,864,769                   |
| Loan fees revenue   | -                            | -                | 375,000          | -                | -                | 375,000           | 300,000             | 409,000                      |
| Loan servicing fees revenue   | -                            | -                | 270,000          | -                | -                | 270,000           | 260,000             | 265,000                      |
| Interest on loans   | -                            | -                | 2,350,000        | -                | -                | 2,350,000         | 1,850,000           | 1,900,000                    |
| Investment income   | -                            | -                | 40,000           | -                | -                | 40,000            | 66,000              | 33,500                       |
| Revenue from partnership/Guarantee fee  | -                            | -                | 42,000           | -                | -                | 42,000            | 430,000             | -                            |
| Rental and other income   | -                            | -                | -                | 42,000           | -                | 42,000            | 128,000             | 22,753                       |
| Net assets released from restrictions   | 540,500                      | 701,000          | 2,070,000        | 224,000          | -                | 3,535,500         | 5,344,000           | 6,003,978                    |
| <b>TOTAL UNRESTRICTED REVENUE</b>   | <b>10,894,500</b>            | <b>4,301,000</b> | <b>5,582,000</b> | <b>526,000</b>   | <b>-</b>         | <b>21,303,500</b> | <b>21,325,000</b>   | <b>20,499,000</b>            |
| Grants and contracts  | 161,000                      | 200,000          | 2,755,000        | 144,000          | -                | 3,260,000         | 2,265,000           | 1,908,939                    |
| Interest on loans   | -                            | -                | 1,052,000        | -                | -                | 1,052,000         | 1,052,000           | 1,052,000                    |
| Investment income   | -                            | -                | 111,000          | -                | -                | 111,000           | 111,000             | 111,500                      |
| Net assets released from restrictions   | (540,500)                    | (701,000)        | (2,070,000)      | (224,000)        | -                | (3,535,500)       | (5,344,000)         | (6,003,978)                  |
| <b>TOTAL TEMP. RESTRICTED REVENUE</b>   | <b>(379,500)</b>             | <b>(501,000)</b> | <b>1,848,000</b> | <b>(80,000)</b>  | <b>-</b>         | <b>887,500</b>    | <b>(1,916,000)</b>  | <b>(2,931,539)</b>           |
| <b>TOTAL REVENUE</b>  | <b>10,515,000</b>            | <b>3,800,000</b> | <b>7,430,000</b> | <b>446,000</b>   | <b>-</b>         | <b>22,191,000</b> | <b>19,409,000</b>   | <b>17,567,461</b>            |
| Direct salaries   | 3,990,000                    | 1,350,000        | 1,100,000        | 250,000          | 1,600,000        | 8,290,000         | 7,321,000           | 7,072,171                    |
| Fringe benefits   | 1,775,200                    | 600,500          | 489,400          | 111,000          | 712,000          | 3,688,100         | 3,522,000           | 3,285,025                    |
| Bank service charges  | 300                          | -                | 3,000            | 6,500            | 500              | 10,300            | 10,300              | 7,742                        |
| Consultant  | 80,000                       | 258,000          | 111,000          | 22,500           | 200,000          | 671,500           | 561,500             | 524,238                      |
| Consumable supplies   | 106,900                      | 36,200           | 29,500           | 6,700            | 42,900           | 222,200           | 112,001             | 163,855                      |
| Grant specific expense -supplies  | 160,000                      | 2,000            | 8,000            | 700              | 2,000            | 172,700           | 82,700              | 187,674                      |
| Telephone   | 104,900                      | 35,500           | 28,900           | 6,600            | 43,000           | 218,900           | 182,999             | 234,492                      |
| Grant specific expense -telephone   | 10,000                       | 6,000            | 1,000            | 1,000            | 2,500            | 20,500            | 18,000              | 19,362                       |
| Postage   | 9,600                        | 3,300            | 2,700            | 600              | 3,900            | 20,100            | 16,600              | 21,348                       |
| Grant specific expense -postage   | 50,000                       | 4,000            | 1,000            | 1,000            | 2,000            | 58,000            | 40,000              | 51,289                       |
| Office Space  | 240,600                      | 81,500           | 66,300           | 15,000           | 96,800           | 500,200           | 493,000             | 451,238                      |
| Equipment rental/maintenance  | 37,000                       | 12,600           | 10,200           | 2,300            | 14,900           | 77,000            | 69,000              | 87,377                       |
| Printing and copying  | 100,000                      | 5,000            | 5,000            | 3,000            | 3,000            | 116,000           | 88,000              | 101,163                      |
| Training Costs  | 86,000                       | 45,600           | 5,500            | 20,400           | 56,000           | 213,500           | 188,500             | 180,798                      |
| Travel  | 950,000                      | 375,000          | 45,000           | 62,000           | 200,000          | 1,632,000         | 1,375,000           | 1,442,418                    |
| Interest and bond expense   | -                            | -                | 805,000          | 54,000           | -                | 859,000           | 654,000             | 755,000                      |
| Depreciation  | 4,000                        | 3,500            | 11,000           | 195,000          | 100,000          | 313,500           | 296,000             | 310,748                      |
| Insurance   | -                            | -                | 1,000            | 26,000           | 68,000           | 95,000            | 81,000              | 81,276                       |
| Dues and subscriptions  | 15,000                       | 2,000            | 10,000           | 75,000           | 10,000           | 112,000           | 106,000             | 105,329                      |
| Conference registration and staff training  | 25,000                       | 35,000           | 11,500           | 7,000            | 25,000           | 103,500           | 88,500              | 90,166                       |
| Provision for Loan Loss   | -                            | -                | 600,000          | -                | -                | 600,000           | 300,000             | (37,185)                     |
| Taxes, licenses and fees  | -                            | 2,000            | 45,000           | 3,000            | 5,000            | 55,000            | 41,000              | 54,984                       |
| Recruitment   | 2,000                        | 500              | -                | -                | 20,000           | 22,500            | 22,900              | 23,322                       |
| Contributions   | -                            | 4,000            | 5,000            | 8,000            | -                | 17,000            | 17,000              | 15,571                       |
| Grants and pass-through expenditures  | 1,200,000                    | 550,000          | 200,000          | -                | -                | 1,950,000         | 1,920,000           | 1,634,797                    |
| Scholarship   | -                            | -                | -                | -                | -                | -                 | -                   | 27,419                       |
| Other building management   | -                            | -                | -                | 145,000          | -                | 145,000           | 125,000             | 125,000                      |
| Owner occupancy allocated to office space   | -                            | -                | -                | (307,000)        | -                | (307,000)         | (307,000)           | (309,882)                    |
| <b>Total Direct Costs</b>   | <b>8,946,500</b>             | <b>3,412,200</b> | <b>3,595,000</b> | <b>715,300</b>   | <b>3,207,500</b> | <b>19,876,500</b> | <b>17,425,000</b>   | <b>16,706,735</b>            |
| Indirect Allocation   | 1,938,000                    | 738,800          | 518,000          | 112,700          | (3,307,500)      | -                 | -                   | -                            |
| <b>TOTAL EXPENSES</b>   | <b>10,884,500</b>            | <b>4,151,000</b> | <b>4,113,000</b> | <b>828,000</b>   | <b>(100,000)</b> | <b>19,876,500</b> | <b>17,425,000</b>   | <b>16,706,735</b>            |
| <b>NET ASSETS-UNRESTRICTED</b>  | <b>10,000</b>                | <b>150,000</b>   | <b>1,469,000</b> | <b>(302,000)</b> | <b>100,000</b>   | <b>1,427,000</b>  | <b>3,900,000</b>    | <b>3,792,265</b>             |
| <b>NET ASSETS-TEMP RESTRICTED</b>   | <b>(379,500)</b>             | <b>(501,000)</b> | <b>1,848,000</b> | <b>(80,000)</b>  | <b>-</b>         | <b>887,500</b>    | <b>(1,916,000)</b>  | <b>(2,931,539)</b>           |
| <b>FULL TIME EQUIVALENTS (FTEs) - FY 17</b>   | <b>64</b>                    | <b>19</b>        | <b>17</b>        | <b>4</b>         | <b>22</b>        | <b>126</b>        | <b>n/a</b>          | <b>n/a</b>                   |
| <b>FULL TIME EQUIVALENTS - Current FY</b>   | <b>52</b>                    | <b>16</b>        | <b>14</b>        | <b>8</b>         | <b>23</b>        | <b>n/a</b>        | <b>113</b>          | <b>112</b>                   |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX. ☐

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.   | (A)<br>Total expenses | (B)<br>Program service expenses | (C)<br>Management and general expenses | (D)<br>Fundraising expenses |
|--|-----------------------|---------------------------------|--|-----------------------------|
| 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.  | 1,199,607.            | 1,199,607.                      |  |                             |
| 2 Grants and other assistance to domestic individuals. See Part IV, line 22.   |                       |                                 |  |                             |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.  | 211,412.              | 211,412.                        |  |                             |
| 4 Benefits paid to or for members  |                       |                                 |  |                             |
| 5 Compensation of current officers, directors, trustees, and key employees   | 398,300.              | 135,422.                        | 247,647.                               | 15,231.                     |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)  | 0.                    | 0.                              | 0.                                     | 0.                          |
| 7 Other salaries and wages   | 6,416,326.            | 5,101,319.                      | 1,168,734.                             | 146,273.                    |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)   |                       |                                 |  |                             |
| 9 Other employee benefits  | 3,227,607.            | 2,489,073.                      | 662,999.                               | 75,535.                     |
| 10 Payroll taxes   |                       |                                 |  |                             |
| 11 Fees for services (non-employees):  |                       |                                 |  |                             |
| a Management   |                       |                                 |  |                             |
| b Legal  |                       |                                 |  |                             |
| c Accounting   |                       |                                 |  |                             |
| d Lobbying   |                       |                                 |  |                             |
| e Professional fundraising services. See Part IV, line 17.   |                       |                                 |  |                             |
| f Investment management fees   |                       |                                 |  |                             |
| g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)   |                       |                                 |  |                             |
| 12 Advertising and promotion   |                       |                                 |  |                             |
| 13 Office expenses   | 465,417.              | 356,291.                        | 109,126.                               |                             |
| 14 Information technology  |                       |                                 |  |                             |
| 15 Royalties   |                       |                                 |  |                             |
| 16 Occupancy   | 143,260.              | 37,851.                         | 94,621.                                | 10,788.                     |
| 17 Travel  | 1,346,692.            | 1,166,544.                      | 180,148.                               |                             |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials  |                       |                                 |  |                             |
| 19 Conferences, conventions, and meetings  | 87,512.               | 71,652.                         | 15,860.                                |                             |
| 20 Interest  | 748,014.              | 748,014.                        |  |                             |
| 21 Payments to affiliates  |                       |                                 |  |                             |
| 22 Depreciation, depletion, and amortization   | 308,514.              | 215,255.                        | 93,259.                                |                             |
| 23 Insurance   | 94,619.               | 31,424.                         | 63,195.                                |                             |
| 24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)                                       |                       |                                 |  |                             |
| a <u>CONSULTANT</u>  | 476,013.              | 245,164.                        | 230,849.                               |                             |
| b <u>TRAINING COST</u>   | 190,653.              | 149,244.                        | 41,409.                                |                             |
| c <u>TELEPHONE</u>   | 188,959.              | 145,161.                        | 39,324.                                | 4,474.                      |
| d <u>CONSUMABLE SUPPLIES</u>   | 185,724.              | 142,823.                        | 38,492.                                | 4,409.                      |
| e All other expenses   | 421,516.              | 397,144.                        | 22,321.                                | 2,051.                      |
| 25 Total functional expenses. Add lines 1 through 24e.   | 16,110,145.           | 12,843,400.                     | 3,007,984.                             | 258,761.                    |
| 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). |                       |                                 |  |                             |



**Board of Directors List  
November 2017**

**David E. Provost, RCAC Board  
President**

Corporation for Supportive Housing  
61 Broadway, Suite 2300  
New York, NY 10006  
Work: (212) 986-2966  
Cell: (603) 300-1617  
[david.provost@csh.org](mailto:david.provost@csh.org)  
Fourth Term (Expires 2018)  
Board membership start date: 11/3/06

**Nalani Fujimori Kaina, RCAC Board  
Vice President**

Legal Aid Society of Hawai'i  
Honolulu, HI  
924 Bethel Street  
Honolulu, HI 96813-4304  
Work: (808) 536-4302  
Cell: (808) 265-8887  
[rcac@kaina.us](mailto:rcac@kaina.us)  
Third Term (Expires 2019)  
Board membership start date: 2/04/10

**Frank Bravo, RCAC Secretary**

First Republic Bank  
111 Pine Street  
San Francisco, CA 94111  
Work: (415) 249-1948  
Cell: (661) 436-0432  
Fax: (415) 395-1238  
[fbravo@firstrepublic.com](mailto:fbravo@firstrepublic.com)  
Second term (Expires 2019)  
Board membership start date: 5/2/13

**Rachel Morse, RCAC Board Treasurer**

University of Alaska Anchorage  
3312 Robin Street  
Anchorage, AK 99504  
Work: (907) 786-1278  
Cell: (907) 444-8764  
[rlmorse@hotmail.com](mailto:rlmorse@hotmail.com)  
Second term (Expires 2018)  
Board membership start date: 11/10/12

**Joe Herring**

3681 North 2700 East  
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Home: (208) 733-3145  
Cell: (208) 731-9751  
[Joe.herring@gmail.com](mailto:Joe.herring@gmail.com)  
Fourth Term (Expires 2019)  
Board membership start date: 8/3/07

**Vickie K. Oldman-John**

Seven Sisters Community Development  
Group, LLC  
509 Star Villa Circle SE  
Rio Rancho, NM 87124  
Work: (505) 896-6786  
Cell: (505) 259-3256  
[Vickie@7sistersconsulting.com](mailto:Vickie@7sistersconsulting.com)  
Third Term (Expires 2019)  
Board Membership start date 5/05/10

**John Sheehan**

PO Box 142  
Quincy, CA 95971  
Cell: (530) 953-9124  
[sheehanjohnjo@gmail.com](mailto:sheehanjohnjo@gmail.com)  
Third Term (Expires 2020)  
Board membership start date: 11/5/11

**Gary Severson**

2830 Olympia Lane  
Evergreen, CO 80439  
Cell: (970) 390-1128  
[seversonbreck@msn.com](mailto:seversonbreck@msn.com)  
Second term (Expires 2018)  
Board membership start date: 8/2/12

**Martin Miller**

Office of Rural and Farmworker  
Housing  
1400 Summitview Ave #203  
Yakima, WA 98902  
Work: (509) 248-7014  
Cell: (509) 969-8062  
[martym@orfh.org](mailto:martym@orfh.org)  
Second term (Expires 2018)  
Board membership start date: 11/10/12

**Richard Elias**

Pima County Board of Supervisors  
130 W. Congress Street, 11<sup>th</sup> Floor  
Tucson, AZ 85701  
Work: (520) 724-8126  
Cell: (520) 247-5660  
[richard.elias@pima.gov](mailto:richard.elias@pima.gov)  
First term (Expires 2018)  
Board membership start date: 11/4/15

**Lyle Meeks**

NCI Engineering  
6125 Upper Highwood Creek Road  
Highwood, MT 59450  
Work: (406) 453-5478  
Cell: (406) 781-9154  
[lylemeeks@gmail.com](mailto:lylemeeks@gmail.com)  
First term (Expires 2019)  
Board membership start date: 11/11/16

**Claudia O'Grady**

Utah Housing Corporation  
2479 S Lake Park Blvd.  
West Valley City, UT 84120  
Work #: (801) 902-8248  
Cell #: (801) 450-1029  
[cogrady@uthc.org](mailto:cogrady@uthc.org)  
First term (Expires 2020)  
Board membership start date: 11/02/17

## S. L. Gimbel Final Evaluation Report Questions

Questions 1-15 are required and must be completed

1. Organization name: *Rural Community Assistance Corporation*
2. Grant #: *20150356*
3. Grant Period: *June 1, 2015 to May 30, 2016*
4. Location of Services: *Thermal, Mecca, and Oasis, CA (Eastern Coachella Valley—Riverside County) and Arvin and Lamont (Southern Kern County)*
5. Name and Title of person completing evaluation: *Sarah Buck, Rural development Specialist II*
6. Phone Number: *916-447-9832 ext. 1041 (office); 916-539-4238 (cell)*
7. Email address: *[sbuck@rcac.org](mailto:sbuck@rcac.org)*
8. Total number of clients served through this grant funding: *2,500 students and school staff were directly impacted by this grant funding*
9. Describe the project's key outcomes and results based on the goals and objectives:
  - a. Increased access to safe drinking water in South Kern County and the Eastern Coachella Valley as evidenced by the installation of at least 120 water dispensers in schools and public settings: *RCAC's Agua4All team was able to take the original goal of 120 stations and surpass it—to date 150 water bottle filling stations have been installed, 73 in Kern County and 77 in the Coachella Valley. This increased safe water access for 25,000 students and thousands more school staff and community members. RCAC provided Gimbel funds for the installation of new water bottle filling stations at DiGiorgio School District located in Arvin, which will be installed this summer and directly provide increased access for all of the students and staff at that school.*
  - b. Heightened community awareness of the health benefits associated with drinking water, and subsequent support for the Agua4All program as evidenced by community involvement in identifying potential water dispenser sites and enthusiasm for the program: *Gimbel funds also provided re-usable water bottles to over 2,000 students in two of the lowest income schools in the unincorporated areas of the eastern Coachella Valley - Desert Mirage High School and La Familia High School - increasing student access and likelihood of water consumption. Education and water promotion were also provided during the bottle distribution to ensure safe and respectful use of the bottles and filling stations (stations and materials funded by the California Endowment and Blue Planet Network) and so students understood that water is the healthiest beverage choice and will make that choice more often. The program in both pilot areas created a huge amount of public support. The school administrators and staff saw the benefit provided to the first 10 Coachella Unified schools located in the unincorporated areas and requested that the program expand to their 11 schools in the City of Coachella, which was very successful and has been completed as part of the 150 filling stations. After installing units in all of the four Arvin Union School District schools along with Point of Use Arsenic filters, reusable bottles and extensive education and promotion opportunities, community members in Arvin requested for us to also do this work in the DiGiorgio School District—we took their advice and are about to purchase those new*



units for that school. In January 2015 and April of 2016, large media and community events were held in both Kern and Coachella announcing the program and then finalizing the pilot program which created lots of local community and wider media attention, featuring Agua4All in dozens of media outlets including TV, print and radio.

- c. Measurable increase in willingness among children to drink tap water as evidenced by increased consumption at water dispensers in schools: RCAC is still working with our partners at UCSF to analyze and compile the final evaluation data results, but preliminary results from Coachella show that the new installation of the bottle filling stations increased student consumption in both elementary and middle schools. The first round of evaluation was performed at Saul Martinez Elementary and Toro Canyon Middle School and additional evaluation was performed at Coral Mountain Elementary. At Saul Martinez, the number of ounces of water consumed per student per day more than doubled and, in some cases, more than tripled once the filling stations were installed. At both schools, the number of students per hour using the water sources increased and, at Toro Canyon, the number doubled. Also at Toro Canyon, the number of observed reusable bottles filled throughout the observation day went from zero bottles filled prior to filling station installation to 54 bottles filled. The installation of the stations at Coral Mountain also increased consumption. Water promotion and bottle distribution occurred at Saul Martinez prior to the last round of evaluations and some promotion also occurred at Toro Canyon, which also seemed to boost consumption. Evaluation results for Arvin and Lamont sites are still being analyzed. Note, no evaluation has been completed to date at any high schools.
10. Please describe any challenges/obstacles the organization encountered (if any) in attaining stated goals & objectives: So far, Agua4All has seen its fair share of challenges, all of which the team was able to overcome. From the get go, I think all parties involved (RCAC, the local partners and the California Endowment as the major funder) knew the program would be a new and exciting challenge but nobody expected it would be as challenging (and ultimately as rewarding to overcome those challenges) as it was. For the first portion of the pilot, we hit a number of road blocks when it came to installation which really caused the project to fall behind schedule—but RCAC and its partners wanted to make sure that this pilot got done the right way and that it could really be used to develop a model for statewide expansion. A number of technical challenges, like parks connecting existing drinking fountains to irrigation water rather than potable water, have also been a burden. We encountered some issues with potential site sponsors not being interested in participating which has led to the conclusion that, as we move forward, we need to insure buy-in from potential site sponsors in the community. We have had to learn the ins and outs of approval processes with different entities—every school district, City, parks district, nonprofit, etc. handles this sort of project and procurement differently so mastering the many options and guiding them through the somewhat cumbersome paperwork has been a challenge. We also had two of the original program leads from two of the three partner organizations leave mid-program. This challenge was overcome, with growing pains, but ended up being for the better. When the original team dispersed, only 12 units had been installed and, in the year since the new group took over, the remaining 130 plus units were installed, more than 17,000 bottles purchased and distributed and thousands of students and community members received education on water safety and the importance of water

consumption for better health. The need for treatment also has been a challenge—the state funding for the POU devices in Arvin has been slow with lots of layers of red tape but we are making it through this slowly but surely.

11. How did the organization overcome and/or address the challenges and obstacles? RCAC has really worked to improve upon its original processes and procedures that caused so much administrative delay and has streamlined and simplified a number of processes including the pre-installation assessments done at a site when it is being considered and analyzed as a part of the program and also switched to having RCAC itself purchase the fountains and ship them to the sites versus making the local sites work with the various vendors to order the units. This has saved a lot of time and frustration on the part of the local site sponsors. The technical challenges have been overcome by being much more diligent in our initial assessments, being creative in the type and location of installations and also doing extra fundraising to be able to put in back flow prevention or new potable water piping in parks with unsafe and/or irrigation water. When the new Agua4All team reassembled, we were able to learn from the mistakes of those that led the charge before us and really assign the right type of work to the right team members—having them work within their strengths for maximum efficiency. RCAC is slowly but surely working through the bureaucratic challenges of state funding. We have installed 88 Point of Use filters in Arvin so far but are working out some kinks in our budget before we can move forward and install additional filters.
12. Describe any unintended positive outcomes as a result of the efforts supported by this grant: A number of unexpected but extremely valuable partnerships were developed as a result of this Agua4All program on a statewide, regional and local level. For example, this work was the starting point at which the Safe Drinking Water Coalition was formed in California - which consists of a number of nonprofits around the state that are fighting for legislation and public funding for implementation of the human right to water including \$10 million for safe water in schools. We also have become involved in a national drinking water coalition which is working on a federal level, especially in light of Flint, to require lead testing and remediation in applicable schools across the nation. We also are starting to gain a reputation as one of the experts in the field of installing filling stations in communities and schools and, because of that, an unexpected number of school districts and other partners have approached us for our lessons learned, advice, guidance and/or technical assistance to help them implement successful programs in their communities.
13. Briefly describe the impact this grant has had on the organization and community served: The Agua4All program has really helped to further diversify the work that RCAC does in its support of rural communities. We would love to share this new expertise not only with the rest of California but also with our 12 other states and share it with our sister organizations around the country. This grant really helped make sure the pilot program was a success and has helped us take some of first steps for program expansion. Funding from this grant helped provide thousands of reusable water bottles to low income kids and families in the Eastern Coachella Valley, giving kids the opportunity to drink safe water at school - especially for those that may live in one of the many "Polanco Parks" (small mobile home communities that often have inadequate infrastructure) and may not have safe water at home. The grant also helped to fund the installation of 4 water bottle filling stations encouraging safe water consumption at Digiorgio School, a one school district in rural Kern County. The school has its own well and has safe water

*but some of the students live within the areas served by Arvin CSD or on private wells and may not have safe water at home. The distribution of reusable water bottles, the installation of the filling stations and the outreach and education/promotion of safe tap water has increased community and student awareness and has helped to increase water consumption for better health.*

14. Please provide a narrative on how the funds were used to fulfill grant objectives. Support documents (receipts, expense reports or marketing materials) can be emailed to [grant-info@thecommunityfoundation.net](mailto:grant-info@thecommunityfoundation.net) or faxed to 951-684-1911 or mailed to The Community Foundation, 3700 Sixth St. Suite 200, Riverside, CA 92501:

*\$3,273.38 of Direct Salaries: Funding for staff time allowed Sarah Buck (Program Manager) and Amber Guerra (Project Coordinator) to work with Coachella schools to get bottle counts and collaborate with Nalgene to process and ship water bottle orders as well as to distribute bottles at the schools and provide water promotion and education to increase awareness and water consumption for better health. Staff time also went to doing outreach to DiGiorgio school district, completing pre-installation site assessments, compiling materials for and attending the board meeting for project approval and working with local contractors to get quotes, putting together quotes for and ordering the units and preparing and executing agreements to pass through the funds.*

*\$9,800.00 for Water Bottle Filling Station Installation Costs: This funding was passed through to DiGiorgio School District in Kern County to pay a local contractor who provided the lowest bid to install the four water bottle filling stations and the shade structure for the sun exposed pedestal unit on the playground. These filling stations increase safe water access and also make water consumption more appealing.*

*\$9,999.60 for Reusable Water Bottles: This funding was spent to purchase reusable bottles which were then distributed to high school students in the unincorporated areas of the eastern Coachella Valley. The reusable bottles are cherished by students of all ages and make use of the filling stations easy as well as promoting water consumption, especially that from the tap versus plastic bottled water.*

*The following direct costs are first pooled and then distributed across all cost centers in proportion to direct staff time; thus we cannot provide receipts for the exact amount listed.*

*\$1,523.47 for Fringe Benefits*

*\$83.87 for Telephone Use*

*\$7.95 for Postage*

*\$225.88 for Office Space*

*\$52.47 for Consumable Supplies*

*\$33.38 for Equipment Rental and Maintenance*

15. Please relate a success story: *This funding was able to directly benefit over 2,500 low income students - most of which are students of color - by increasing access to safe and appealing water, and providing reusable bottles and education/promotion to increase water consumption for better health. This Agua4All pilot program has generated interest from throughout both regions as well as around the state. Installing 150 filling stations has been the biggest project to date and, with additional funds, RCAC plans to install at least that many, if not more, in the next two years. LA Unified School District has asked us for our lessons learned so that they can roll out their own project to provide filling stations to all of their students throughout the city.*

*Bakersfield City School District has asked for technical assistance to help them secure funding and purchase units for all of their schools and they are the largest K-8 district in the state. The idea of Agua4All is spreading and we hope that eventually all schools in CA will have filling stations and all students will have reusable water bottles and students, teachers and families alike will be properly hydrated and healthy. We are days away from knowing whether or not we made it into the State of CA FY17 budget to provide \$10 million to school districts around the state to increase safe water access in schools and we have already received the approval of the Assembly Budget Committee. There are so many small and large successes to celebrate and the S. L. Gimbel Foundation helped make that possible.*

**Questions 16-24 are optional questions and relate to demographic information on clients served. This helps us provide a broader picture of your organization and populations being served.**

(Q16-17 optional space to relate additional success stories)

18. Which category best describes the organization. Please choose only one: *Nonprofit*

19. What is the organization's primary program area of interest? *Providing technical assistance, training, funding and advocacy to rural communities throughout the Western US.*

20. Approximate percentage of clients served through grant in each ethnic group category. Total must equal 100%:

*Hispanic: 94%*

*Native American: 2%*

*White/Caucasian: 2%*

*African American: 2%*

21. Approximate percentage of clients served from grant funds in each age category.

*18 and under: 90%*

*Over 18: 10%*

22. Approximate percentage of clients served with disabilities from grant funds: *N/A*

23. Approximate percentage of clients served in each economic group:

*90% of clients served would be considered low income*

24. Approximate percentage of clients served from grant funds in each population category: *N/A*

CINCINNATI OH 45999-0038

In reply refer to: 0248254921  
Aug. 10, 2015 LTR 4168C 0  
94-2512284 000000 00  
00019763  
BODC: TE

RURAL COMMUNITY ASSISTANCE  
3120 FREEBOARD DRIVE  
WEST SACRAMENTO CA 95691



15503

Employer Identification Number: 94-2512284  
Person to Contact: Darlene Evans  
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your July 30, 2015, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in November 1978.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website [www.irs.gov/eo](http://www.irs.gov/eo) for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

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Aug. 10, 2015 LTR 4168C 0  
94-2512284 000000 00  
00019764

RURAL COMMUNITY ASSISTANCE  
3120 FREEBOARD DRIVE  
WEST SACRAMENTO CA 95691

If you have any questions, please call us at the telephone number  
shown in the heading of this letter.

Sincerely yours,

*Doris P. Kenwright*

Doris Kenwright, Operation Mgr.  
Accounts Management Operations 1