

Organization / Agency Information

Organization/Agency Name: Preble Street		
Physical Address: 38 Preble Street		City/State/Zip: Portland, ME 04101
Mailing Address: same as above		
CEO or Director: Mark R. Swann, MSPA		Title: Executive Director
Phone: (207) 775-0026 x1107	Fax: (207) 842-3614	Email: mswann@preblestreet.org
Contact Person: Elena Schmidt		Title: Chief Development Officer
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Web Site Address: www.preblestreet.org		Tax ID: 01-0418917

Program / Grant Information

Interest Area: ☐ Animal Protection ☐ Education ☐ Environment ☐ Health ☒ Human Dignity

Program/Project Name: Preble Street Teen Services			Amount of Grant Requested: \$25,000
Total Organization Budget:	Per 990, Percentage of Program Service Expenses (Column B/ Column A x 100):	Per 990, Percentage of Management & General Expenses Only (Column C / Column A x 100):	Per 990, Percentage of Management & General Expenses and Fundraising (Column C+D / Column A x 100):
\$13,463,250	89.24%	8.61%	10.76%
Purpose of Grant Request (one sentence):			
The purpose of Preble Street Teen Services is to meet the immediate basic needs of homeless youth and help them establish permanency and stability through comprehensive case management, health, mental health, educational/vocational, and housing services using a positive youth development and empowerment model.			
Program Start Date (Month and Year): January 2018		Program End Date (Month and Year): December 2018	
Gimbel Grants Received: List Year(s) and Award Amount(s)			
None			

Signatures

Board President / Chair: (Print name and Title)	Signature:	Date:
Herb Janick, Board President	<i>[Signature]</i>	8/17/17
Executive Director/President: (Print name and Title)	Signature:	Date:
Mark R. Swann, Executive Director	<i>[Signature]</i>	8/9/17

2017 S.L. Gimbel Foundation Fund Application Narrative

I. Organization Background

A) History, Mission and Purpose

The mission of Preble Street is to provide accessible barrier-free services to empower peoples experiencing problems with homelessness, housing, hunger, and poverty and to advocate for solutions to these problems. Founded as a neighborhood center to empower homeless and low-income people, Preble Street has been the hub of homeless services since 1975, addressing problems that cause and exacerbate instability, such as lack of housing, healthcare, mental health treatment, employment, and other services or necessities.

In the 1990s Preble Street formed a unique collaborative model to meet the immediate and long-term needs of homeless people, consolidating three soup kitchens, a food pantry, a homeless health clinic, a day shelter, social services, and homelessness prevention programs. In the decades since, Preble Street has grown to include comprehensive programs for homeless youth and women, permanent supportive housing, and statewide veterans housing, anti-hunger, and advocacy programs.

B) Organizational Accomplishments

In 2014 Preble Street created **First Place** to meet the long-term housing needs of homeless young adults, integrating housing first principles with an understanding of the specific needs of older youth transitioning to adulthood. Building on Preble Street's experience with low-barrier housing first programs, First Place provides chronically homeless youth with permanent housing and intensive supports, including support and assistance locating suitable housing, and workshops and counseling on basic independent living skills, financial literacy, job readiness, career and education planning, health, and how to maintain an apartment.

Prompted by the lack of awareness and infrastructure to address human trafficking in Maine and to meet the need for a more ambitious and centralized community effort identified by a taskforce co-led by the Portland Police Department, Preble Street, and Sexual Assault Response Services of Southern Maine, Preble Street created the **Preble Street Anti-Trafficking Coalition**, which provides crisis intervention, case management, housing, and treatment support for victims of human trafficking; works collaboratively to identify and refer victims; develops accessible and safe continuums of emergency, short-term, and ongoing services for survivors of human trafficking; and runs community trainings to increase awareness of human trafficking.

Building on its history of collaboration, Preble Street, with Maine Medical Center, launched the **MMC-Preble Street Learning Collaborative**—a landmark partnership bringing improved care and health outcomes to the most vulnerable and underserved population in Portland, and cost savings to the healthcare system. The Learning Collaborative, a teaching clinic, is addressing barriers homeless individuals face when in need of medical or mental health care. In less than six months, the Learning Collaborative served 232 unduplicated individuals through 1,391 encounters, and is seeing up to 28 people a day, while continuing to expand its service scope and identifying emerging needs.

C) Key Programs and Communities Served

Key Programs:

Food Programs: serving nutritious meals 3 times daily/365 for individuals and families at 3 soup kitchens locations and a food pantry.

Shelters: meet urgent needs (showers, clothing, crisis intervention) of homeless youth and women 24/365.

Comprehensive social work: ensuring access to healthcare, legal, mental health, vocational/educational, and housing support for homeless youth and adults.

Residential Services: low-barrier permanent supportive site-based housing for formerly chronically homeless adults and scattered-site apartments for homeless youth.

Veterans Housing Services: ensures stability of veterans and their families throughout Maine.

Advocacy: to end hunger and homelessness in Maine and build healthy, just communities.

Population and Area Served:

Preble Street programs serve Maine's most vulnerable low-income residents and homeless populations including all ages, genders, races and ethnic backgrounds, children, families, teenagers, adults, elders, immigrants, refugees, and asylum seekers. Many suffer from multiple and complex problems, including mental illness, addiction disorders, co-occurring diagnoses, chronic illness, human trafficking, language deficits, learning disabilities, and domestic violence.

The majority of people are served through Preble Street programs in Cumberland County. First Place and PSATC serve all of Southern Maine (York and Cumberland counties); Veterans Housing Services, Maine Hunger Initiative, and Homeless Voices for Justice address poverty, housing, hunger, and the need for additional services throughout the state.

II. Project Information:

A) Statement of Need

Each night between 27 and 36 of the estimated 440 homeless individuals in Portland are unaccompanied or homeless youth (2017 Point in Time) who need a high level of age appropriate services to remain safe and establish a foundation for a stable future. A 2015 survey indicates that 65% of homeless youth in Portland had been away from parents or guardians for at least one year; 32% had been involved with the foster care system; 33% had spent time in a correctional facility; 36% in a mental health facility; 17% in a substance use facility; and 21% indicated they been involved in forced sexual exploitation or human trafficking. Additionally the count showed a dramatic over-representation of youth of color (more than 30%) in predominately white state.

Runaway and homeless youth in Portland experience high rates of abuse and trauma including sexual assaults prior to leaving home and assaults and exploitation after; a range of family problems and challenges; family alcohol and substance use disorders; personal mental health and substance use issues; and high rates of drop-out and academics struggles.

B) Project Description

Preble Street Teen Services, the largest provider of services to homeless and runaway youth in Maine, ages 12-20 years old, is a safety net for youth who have nowhere else to turn—with skilled staff on duty around the clock to meet basic survival needs and provide case management, support, resources, and opportunities for youth to establish stable independent living, continue their education, learn job skills and plan for a healthy future.

Located in downtown Portland, Maine's largest urban area, Teen Services

- are available 24/365, within easy access to schools, public transportation, library, government offices, parks and recreation facilities
- offer street outreach, drop-in services, basic needs, and case management
- use a "youth empowerment" model asserting youth are equipped to prioritize their needs
- includes on-site collaborative partnerships offering health care, mental health and substance use counseling, and educational and vocational support.

Operating two facilities—the Teen Center and the Joe Kreisler Teen Shelter—Teen Services provide safety and support, from basic needs (meals, showers, clothing, storage, etc.) to a full spectrum of support (crisis intervention, information and referral, counseling, positive youth development activities, etc.). Once basic needs are met, staff work with youth to develop the coping and problem solving skills essential for successful goal-planning and growth. We recognize that youth have strengths and resilience which, if properly identified and supported, can help them achieve more stable lives and realize their full potential.

C) Project Goal, Objectives, Activities and Expected Outcomes

GOAL: To provide safe shelter and basic needs to homeless and runaway youth, ages 12-20, in southern Maine, help them improve their safety and well-being, and achieve self-sufficiency.

Objective: Provide overnight shelter and a range of services 24/365 to meet needs of 200 homeless youth, and provide comprehensive services and support to help them reach stability.

Activities:

1. Meet the basic needs of youth, including shelter, meals, showers, clothing, healthcare
2. Provide information, crisis intervention, and connection to services, including physical and mental health care, substance use referrals and treatment, education and vocational opportunities, and housing services
3. Engage with victims of sexual exploitation or human trafficking and connect them to services
4. Provide assessment, case management and needed supports
5. Promote well-being through a collaborative, holistic model based on the accessibility of individual and groups services, activities, and extensive service linkages.
6. Help homeless youth to become self sufficient, live independently in the community, and develop strategies for overcoming obstacles.
7. Promote specific skills and confidence through individual counseling, groups, positive youth development activities, and leadership opportunities.
8. Provide consistent and appropriate supports through trusting long-term relationships with a

caseworker or other stable, caring adults within the family or community.

Expected Outcomes:

1. 100% of youth remain safe and have basic needs met
2. 70% utilize services and activities, engage with a caseworker and create a plan for: a primary health provider, treatment in mental health or substance use, obtaining a high school diploma or GED, or work, training, or higher education
3. 50% participate in individual counseling, groups, or PYD activities
4. 50% achieve stable living situation through family, placement, supportive living or independent living within a year
5. 25% continue primary healthcare, recovery or vocational goals within a year

Evaluation:

Initial success is determined by every youth who is safe and sheltered and has their basic needs met. During intakes staff work with youth to assess the range of youth needs and risk factors, including immediate health or safety issues, history of homelessness, mental health treatment and substance use history; identify plans for safety and stability; and establish short- and long-term goals to build on strengths and overcome challenges. Results are recorded in case files and measure progress toward outcomes, e.g. engaging in mental health counseling, participating in groups, completing a GED, reuniting with families, finding employment, or securing stable housing.

D) Timeline

Preble Street Teen Services is an ongoing program and provides services 24/365, and S.L. Gimbel Foundation funds will support the program during the provided grant period.

E) Target Population

Teen Services expects to serve 200 homeless youth, ages 12-20, who have limited skills, and no resources or natural supports. Many are LGBTQ-identified youth, and many suffer from trauma, health problems, learning disabilities, mental health and substance use disorders, and are a high risk for exploitation, STIs/HIV, and pregnancy.

F) Projects in the Community

Preble Street Teen Services is the sole shelter provider and hub in a coordinated community effort to provide services to difficult-to-serve runaway and homeless youth. Three on-site collaborative partners housed at the Teen Center include:

- ***Teen Health Clinic:*** operated by the City of Portland Public Health Division, provides primary and preventive medical services supplemented by volunteer doctors.
- ***Street Academy:*** operated by Portland Adult Education, provides education options and support, including GED, traditional school re-integration, and vocational and college prep.
- ***Day One Street Program:*** provides individual and group clinical mental health counseling and supportive, low-barrier educational and counseling services to youth using alcohol and other drugs, with a “harm-reduction” philosophy.

Teen Services utilizes volunteers at every meal and to assist with activities such as music programs, yoga classes, writing groups and other enrichment activities.

G) Use of Grant Funds

S.L. Gimbel Foundation funds will be designated to personnel costs to staff the Teen Center and Joe Kreisler Teen Shelter 24/365.

III. Project Future

A) Sustainability

Preble Street works diligently every year to raise a balance of public and private funding for Teen Services. Sources include Federal and State of Maine Departments of Health and Human Services, Maine State Housing Authority, City of Portland, Cumberland County, and the United Way of Greater Portland, as well as private foundations and individual donors.

IV. Governance, Executive Leadership and Key Personnel/Staff Qualifications

A) Governance

The Preble Street Board of Directors, a 21-member voluntary board, meets 10 times per year, more if necessary, and is responsible for determining the agency's mission and purpose; selecting, supporting, and evaluating the Executive Director; ensuring effective organizational planning, adequate resources, legal and ethical integrity; and monitoring and assessing all programs, including Teen Services. Committees include: Executive, Development, Finance, Investment, Nominating and Governance, and Personnel. Board decisions require a quorum (50 percent or more of the Directors) with a majority vote of the Directors within a quorum.

B) Management

Donna Yellen, MSW, Chief Program Officer: Over 30 years of program management and social work experience. Oversees all Preble Street programs.

Joanie Klayman, Director of Social Work: Over 25 years of program management and social work experience. Supervises the Teen Services Director and oversees all Teen Services operations.

Stacey Spaulding, MEd, Teen Services Director: 15 years experience working with youth, including at-risk and homeless populations. Responsible for operations of Teen Services, and supervision of Teen Center and Teen Shelter Supervisors and Team Leaders.

Kiersten Mulcahy, MSW (clinical conditional), Teen Center Supervisor: Shares responsibility for managing the Teen Center and supervises caseworkers, interns, and volunteers.

John Seigny and Samantha Sansom, Teen Center Team Leaders: Provide leadership at the Teen Center, and support for caseworkers engaging youth at the drop-in or on the streets.

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V. Project Budget and Narrative (Do not delete these instructions on your completed form).

A) **Budget Table:** Provide a detailed line-item budget for your **entire** project by completing the table below. Requested line items should be limited to Ten (10) line items. The less the better.

A breakdown of specific line item requests and attendant costs should include:

- 1) Line item requests for materials, supplies, equipment and others:
 - a. Identify and list the type of materials, supplies, equipment, etc.
 - b. **Specify the unit cost, number of units, and total cost**
 - c. Use a formula/equation as applicable. (i.e. 40 books @ \$100 each = \$4000)
- 2) Line item requests for staff compensation, benefits: **Do not use FTE percentages.**
 - a. Identify the position; for each position request, **specify the hourly rate and the number of hours** (i.e. \$20/hr x 20 hours/week x 20 weeks = \$8,000)
 - b. For benefits, provide the formula and calculation (i.e. \$8,000 x 25% = \$2,000)
- 3) Line items on Salaries/Personnel included in budget (contribution or in-kind) but NOT requested from the Gimbel Foundation must be broken down per number 2) above: Provide rate of pay per hour and number of hours.

Line Item Request	Line Item Explanation	Support From Your Agency	Support From Other Funders	Requested Amount From Gimbel/TCF	Line Item Total of Project
Personnel: Teen Services Caseworker	1 caseworker at \$15.54 per hour for 2,080 hours plus benefits at 29%	\$16,697		\$25,000	\$41,697
Personnel: Teen Services program staff	7 caseworkers, 5 housing staff, per diem staffs, program assistant, 2 Team Leaders, a Supervisor and Director	206,973	900,000		1,106,973
Meals	3 meals/day, estimated 20,00 meals per year at a cost of \$2.84 per meal		56,816		56,816
Technology	Average \$752/user for 21 employees		15,789		15,789
Learn to Earn youth stipends	Estimate of 5 youth at \$2,000/youth, earned at an hourly rate of \$7.50		10,000		10,000
Occupancy	Average \$7,255/month		87,065		87,065
Staff Development	Average of \$490 for 21 employees		10,292		10,292
Admin	Allocated admin expenses, based on total program costs		157,863		157,863
Other	Contracts, supplies, fees, licenses, travel, research, insurance, depreciation		127,327		127,327
TOTALS:		\$223,670	\$1,365,152	\$25,000	\$ 1,613,822

B) Narrative:

1. Personnel: Teen Services Caseworker: Provide basic needs for crisis intervention, street outreach, intake and assessment referrals, support youth in achieving stability. 1 caseworker at \$15.54 per hour for 2,080 hours plus benefits at 29%.
2. Personnel: Teen Services program staff: Provide basic needs for crisis intervention, street outreach, intake and assessment referrals, support youth in achieving stability. Provide supervision, direction, and administrative support for Teen Center and JKTS. 7 caseworkers, 5 housing staff, per diem staffs, program assistant, 2 Team Leaders, a Supervisor and Director.
3. Meals: Prepare and provide 3 nutritious meals/day, estimated 20,00 meals per year at a cost of \$2.84 per meal, served to over 200 youth.
4. Technology: Electronic client management database; network maintenance and security, average \$752/user for 21 employees.
5. Learn to Earn youth stipends: Provide youth a supportive work experience in a field that interests them to gain skills and earn money. Estimate of 5 youth at \$2,000/youth, earned at an hourly rate of \$7.50.
6. Occupancy: Electricity, water, heat; repairs and maintenance; trash removal; building supplies, average \$7,255/month.
7. Staff Development: Trainings; first aid; evidence based services; background checks, average of \$490 for 21 employees.
8. Admin: Allocated administrative expenses, based on total program costs
9. Other: Contracts, supplies, fees, licenses, travel, research, insurance, depreciation, including: Contract with Portland Adult Education Street Academy for educational and employment opportunities for youth; A-133 audit, legal fees, and payroll processing fees; general liability and property insurance.

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VI. Sources of Funding: Please list your current sources of funding and amounts.

Secured/Awarded

Name of Funder: Foundation, Corporation, Government	Amount
United Way	\$ 176,927
Federal DHHS	\$ 193,239
State of Maine DHHS	\$ 468,459
Cumberland County	\$ 5,624
City of Portland - CDBG	\$ 21,000
General Assistance/Maine Care Targeted Case Management	\$ 107,169
Maine State Housing Authority	\$ 129,012
Wicklow Fund of the St. Paul Foundation	\$ 20,000
Wildflower Fund	\$ 100,000

Pending

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date
Beim Foundation	\$20,000	10/15/2017
Maine Community Foundation: Welch Charitable Fund	\$30,000	10/31/2017
William Sloan Jelin Charitable Foundation	\$10,000	11/1/2017

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total Revenue	Funding Source	Amount	% of Total Revenue
Contributions	\$2,716,776	20.5 %	Program Fees	\$0	0 %
Fundraising/Special Events	\$0	0 %	Interest Income	\$2,043	0 %
Corp/Foundation Grants	\$1,404,973	10.6 %	Other: In-Kind	\$2,316,658	17.4 %
Government Grants	\$5,774,073	43.5 %	Other:	\$597,718	4.5 %

Notes: United Way income (program funding and designated funds): \$463,759, 3.5%

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VII. Financial Analysis

Agency Name: Preble Street

Most Current Fiscal Year (Dates): From July 1, 2015 To: June 30, 2016

This section presents an overview of an applicant organization's financial health and will be reviewed along with the grant proposal. Provide all the information requested on your **entire organization**. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. **Double check your figures!**

Form 990, Part IX: Statement of Functional Expenses

1) Transfer the totals for each of the columns, Line 25- Total functional expenses (page 10)

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
\$12,332,461	\$11,005,252	\$1,062,055	\$265,154

2) Calculate the percentages of Columns B, C, and D, over A (per totals above)

- Program services (B) – A general rule is that at least 75% of total expenses should be used to support programs
- Management & general administration (C) – A general rule is that no more than 15% of total expenses should be used for management & general expenses
- Fundraising (D) – A general rule is that no more than 10% of total expenses should be used for fundraising

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
	Columns B / A x 100	Columns C / A x 100	Columns D / A x 100
Must equal 100%	89.24%	8.61%	2.15%

3) Calculate the difference between your CURRENT year budget for management & general expenses and your previous management & general expenses per your 990 (Column C)

Percentage of Organization's <u>Current</u> Total Budget used for Administration	Column C, Management & general expenses per 990 above	Differential
7.95%	8.61%	-.66%

If the differential is above (+) or below (-) **10%**, provide an explanation:

N/A

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Quick Ratio: Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$2,342,006	\$814,185	\$724,297	4.4

Excess or Deficit for the Year:

Excess or (Deficit) Most recent fiscal year end	Excess or (Deficit) Prior fiscal year end
\$357,345 FY17	\$(513,642) FY16

Notes:

The FY16 deficit included \$195,480 in investment loss (unrealized), and as a result we deliberately reduced our planned draw from the endowment by \$71,000. Preble Street had also planned a \$255,000 intentional deficit to support a Board decision to increase wages/salaries in order to move the organization to a 40-hour work week (instead of 35), compensate employees with market rates, increase staff retention, and better serve our clients.

VIII. Application submission check list:

	<u>Submit FOUR (4) Copies: 1 ORIGINAL (WITH ORIGINAL SIGNATURES) and 3 copies, collated and stapled together of the following:</u>		<u>Submit ONE (1) Copy:</u>
✓	Completed Grant Application Form (cover sheet, narrative), budget page and budget narrative (see sample) and sources of funding, financial analysis page	✓	A copy of your current 501(c)(3) letter from the IRS
✓	Your current operating budget and the previous year's actual expenses (see sample Budget Comparison)	✓	A copy of your most recent year-end financial statements (audited if available; double-sided)
✓	Part IX only of the 990 form, Statement of Functional Expenses (one page). If you completed a 990-EZ, fill out the attached Part IX, Functional Expenses of the 990 form using figures from your 990-EZ	✓	A copy of your most recent 990 (double-sided)
N/A	For past grantees, a copy of your most recent final report.	✓	A list of your Board members and their affiliations

Preble Street FY 18 Budget to FY 17 Actual for Agency

Fiscal Year July 1- June 30

	FY 17 Agency Actual	FY 18 Agency Budget	FY 17 Actual vs. FY 18 Budget
REVENUES			
PRIVATE SOURCES:			
Annual Campaign	993,258	1,115,757	122,499
Foundations	1,404,973	1,000,000	(404,973)
Major Donors	1,723,518	1,855,243	131,725
Sub-total	4,121,748	3,971,000	(150,748)
OTHER PRIVATE SOURCES:			
Residential Services Grants	94,101	60,975	(33,126)
United Way	375,272	325,272	(50,000)
United Way - Donor Desig.	88,487	86,000	(2,487)
Rental income	12,240	12,240	-
Investment Income	65,378	1,950	(63,428)
Unrealized Investment gain	437,823		(437,823)
Interest Income	2,043		(2,043)
In Kind Goods	2,153,106	2,350,000	196,894
In Kind Services	163,552	150,000	(13,552)
Other Income	37,165		(37,165)
From board reserved fund		93,000	93,000
From 38 Preble operational fund		140,000	140,000
Sub-total	3,429,167	3,219,437	209,730
PUBLIC SOURCES:			
Federal DHHS - Basic Center	205,209	193,239	(11,970)
Veterans Administration	1,987,519	2,100,806	113,287
Anti-Trafficking	232,863	250,000	17,137
HUD-Supportive Housing Grant	288,968	338,094	49,126
State DHHS PATH	260,078		(260,078)
FEMA	20,568	20,568	-
USDA	52,398	24,000	(28,398)
HUD - CLIP funding	509,657	469,588	(40,069)
State of Maine DHHS	473,558	468,459	(5,099)
State of Maine	842,523	842,523	-
Mainecare-Targeted Case Mngt	73,538	137,125	63,587
Maine State Housing Authority	298,934	303,288	4,354
Cumberland County	22,792	23,253	461
City of Portland-CDBG	132,260	127,500	(4,760)
City of Portland	189,000	189,000	0
General Assistance	62,160	68,352	6,192

	FY 17 Agency Actual	FY 18 Agency Budget	FY 17 Actual vs. FY 18 Budget
MeTLC	73,061	309,150	236,089
Sub-total	5,725,084	5,864,945	(139,861)
Total Revenue	13,275,998	13,055,382	220,616
EXPENSES			
Full time staff	5,762,363	6,108,331	345,969
Per diem wages	509,474	329,087	(180,387)
Benefits, PR taxes & Worker's Comp	1,609,946	1,709,908	99,962
Personnel Sub-total	7,881,782	8,147,326	(265,544)
Program Expenses	102,628	50,120	(52,508)
Client Assistance Funds	550,979	551,184	205
Food Costs	262,079	275,000	12,921
Stipends	22,589	28,552	5,963
JVC/Americorps Expense	34,835	44,312	9,477
Payroll Service	28,645	44,187	15,542
Travel	85,154	81,141	(4,013)
Occupancy including land lines & internet	524,996	575,491	50,495
Office Supplies, Printing & Postage	118,233	100,585	(17,648)
Technology	253,940	185,000	(68,940)
Development, Recruitment, Orientation	132,483	101,772	(30,711)
Licensing/dues/fees	18,106	26,073	7,967
Professional Fees	46,415	45,000	(1,415)
Consultants	42,218	55,000	12,782
Research and Evaluation	16,600	60,000	43,400
Subcontract	137,438	272,000	134,562
Insurance	46,948	50,685	3,737
In Kind Goods	2,153,106	2,350,000	196,894
In Kind Services	163,552	150,000	(13,552)
Misc.	6,847		(6,847)
Depreciation	289,079	261,580	(27,499)
Investment in Capital Expenditures		25,000	25,000
Sub Total Other Expense	5,036,871	5,332,682	(295,811)
Total Operating Expense w/admin	12,918,653	13,480,008	(561,355)
Operating surplus (deficit)	357,345	(424,626)	
Less Depreciation		261,580	
		(163,046)	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	138,207.	78,778.	16,585.	42,844.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	5,867,223.	5,090,640.	646,459.	130,124.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	55,125.	45,640.	7,949.	1,536.
9 Other employee benefits	863,690.	723,175.	125,300.	15,215.
10 Payroll taxes	464,831.	384,848.	67,027.	12,956.
11 Fees for services (non-employees):				
a Management				
b Legal	14,622.	14,134.	163.	325.
c Accounting	15,750.	15,224.	176.	350.
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	15,642.		15,642.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	148,740.	125,642.	23,090.	8.
12 Advertising and promotion				
13 Office expenses	100,844.	58,194.	18,370.	24,280.
14 Information technology	122,388.	93,519.	16,878.	11,991.
15 Royalties				
16 Occupancy	528,111.	500,394.	24,121.	3,596.
17 Travel	85,877.	77,664.	7,674.	539.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	287,654.	245,271.	35,883.	6,500.
23 Insurance	39,963.	38,578.	462.	923.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a DONATED GOODS	2,298,602.	2,281,397.	17,205.	
b PROGRAM EXPENSES	692,880.	685,747.	5,582.	1,551.
c FOOD	251,943.	251,943.		
d SUB CONTRACTS	125,490.	125,490.		
e All other expenses	214,879.	168,974.	33,489.	12,416.
25 Total functional expenses. Add lines 1 through 24e	12,332,461.	11,005,252.	1,062,055.	265,154.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

Board of Directors 2017-2018

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Elaine Rosen—Falmouth, Maine
Chair of the Boards, The Kresge Foundation; Assurant, Inc.

Yemaya St. Clair, LCPC-C—Portland, Maine
Mental Health Counselor



Department of the Treasury
Internal Revenue Service

P.O. Box 2508
Cincinnati OH 45201

In reply refer to: 0248674160
Mar. 16, 2011 LTR 4168C E0
01-0418917 000000 00

00013817
BODC: TE

PREBLE STREET
18 PORTLAND ST
PORTLAND ME 04101-2912



026949

Employer Identification Number: 01-0418917
Person to Contact: Mrs. Ryan
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your Mar. 07, 2011, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in October 1990.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website www.irs.gov/eo for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,

S. A. Martin, Operations Manager
Accounts Management Operations



Strengthening Inland Southern California through Philanthropy

BOARD OF DIRECTORS

November 30, 2017

S. L. Gimbel Foundation Fund

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Interim President and CEO

Mr. Mark R. Swann, MSPA

Executive Director

Preble Street

38 Preble Street

Portland, ME 04101

Dear Mr. Swann:

Congratulations! A grant has been approved for **Preble Street** in the amount of **\$25,000.00** from the S.L. Gimbel Foundation. **The performance period for this grant is December 1, 2017 to November 30, 2018.** Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

To provide basic needs, case management to homeless youth

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, **please sign and date the agreement and return with original signature to The Community Foundation by Friday, December 29, 2017.** Be sure to copy the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. **The Grant Evaluation is due by December 15, 2018** and will be available online at:

<https://www.thecommunityfoundation.net/grants/grants/forms>.

We wish you great success and look forward to working with you during the grant performance period. If you have any questions, please feel free to contact me at 951-241-7777.

Sincerely,

Celia Cudiamat

Executive Vice President of Programs

23784 Preble Street

20170864 GIMB

