



2015 S.L. Gimbel Foundation Fund Grant Application

Internal Use Only:
Grant: 20150829

Organization / Agency Information

<i>Organization/Agency Name:</i> Neighborhood Centers Inc.		
<i>Physical Address:</i> 4500 Bissonnet, Suite 200		<i>City/State/Zip</i> Bellaire, TX 77401
<i>Mailing Address:</i> P.O. Box 271389		<i>City/State/Zip</i> Houston, TX 77277-1389
<i>CEO or Director:</i> Angela Blanchard		<i>Title:</i> President and Chief Executive Officer
<i>Phone:</i> (713) 667-9400	<i>Fax:</i> (713) 669-5286	<i>Email:</i> ablanchard@neighborhood-centers.org
<i>Contact Person:</i> Melissa Milios Davis		<i>Title:</i> Director, Institutional Giving
<i>Phone:</i> (713) 669-5351	<i>Fax:</i> (713) 669-5286	<i>Email:</i> mdavis@neighborhood-centers.org
<i>Web Site Address:</i> www.neighborhood-centers.org		<i>Tax ID:</i> 23-7062976

Program / Grant Information

Interest Area: Animal Protection Education Environment Health Human Dignity

<i>Program/Project Name:</i> Dementia Care Day Center			<i>Amount of Grant Requested:</i> \$25,000
<i>Total Organization Budget:</i> \$272,382,609	<i>Per 990, Percentage of Program Service Expenses (Column B/ Column A x 100):</i> 94.9%	<i>Per 990, Percentage of Management & General Expenses Only (Column C / Column A x 100):</i> 4.7%	<i>Per 990, Percentage of Management & General Expenses and Fundraising (Column C+D / Column A x 100):</i> 5.1%
<i>Purpose of Grant Request (one sentence):</i> To improve the quality of life of seniors living with Alzheimer's disease and other forms of dementia and to provide respite and support to their family caregivers.			
<i>Gimbel Grants Received: List Year(s) and Award Amount(s)</i> No previous Gimbel grants awarded			

Signatures

<i>Board President / Chair: (Print name and Title)</i> Emmanuel T. Ballases, Chairman	<i>Signature:</i> Emmanuel T. Ballases	<i>Date:</i> 9/14/15
<i>Executive Director/President: (Print name and Title)</i> Angela Blanchard	<i>Signature:</i> Angela Blanchard	<i>Date:</i> 9/2

ORGANIZATIONAL BACKGROUND

History, mission and purpose: Neighborhood Centers Inc. is a nationally recognized, Houston-based nonprofit that exists to keep the region a place of opportunity for everyone. Our mission is to bring resources, education and connection to emerging communities. Our agency was established in 1907 by Alice Graham Baker – grandmother of former Secretary of State James A. Baker, III – as part of the nationwide settlement house movement. Today our holistic approach to community development continues to create enduring change that promotes self-reliance, community stability and economic growth – leading researchers from the Brookings Institution to conclude: "Every American city can learn from the way Neighborhood Centers is embracing Houston's future and weaving it into Houston's history." We leverage private support from philanthropic institutions and individuals to augment 37 public funding streams – allowing us to create and deliver nimble, sustainable programs that meet the ever-changing aspirations of the families and businesses in our region.

Recent accomplishments and key activities: In 2015 our reach spans more than 70 service sites throughout Texas, primarily in Greater Houston. These include five community centers, 23 early childhood centers, four charter schools, 11 Workforce Solutions career offices, 19 senior centers and one Dementia Care Day Center. We served nearly 600,000 people in 2014 – more than double the number we served just five years ago. In each community, we build on the aspirations, strengths and existing assets of community members to design programs that impact three interconnected objectives:

O U R O B J E C T I V E S		
INCREASE EDUCATIONAL ATTAINMENT	IMPROVE FINANCIAL WELL-BEING	STRENGTHEN COMMUNITY ENGAGEMENT & CONNECTION
O U R P R O G R A M S		
<ul style="list-style-type: none"> • Early Childhood Education • Community Charter Schools (K-8) • Youth Leadership & Skills • Adult Language Learning • Adult Basic Education • Health and Wellness 	<ul style="list-style-type: none"> • Career Offices • Entrepreneurship Incubator • Community Credit Union • Free Income Tax Preparation • Veterans Support • Utility Assistance 	<ul style="list-style-type: none"> • Civic Engagement • Voter Registration • Immigration and Citizenship Legal Services • Senior Services & Caregiver Support
O U R I M P A C T*		
<ul style="list-style-type: none"> • 98% of our Head Start students are kindergarten-ready, versus 85% of pre-k students nationally • Students in our charter schools for 2+ years achieve math gains greater than the national average • \$55M potential lifetime earnings gain for students fluent in English with career goals 	<ul style="list-style-type: none"> • 130,000 residents found jobs through our career offices • \$234M in refunds from our volunteer income tax program; direct savings of \$36.3M for filers since 2009 • \$1.4M in members' savings accounts at our affiliated Promise Credit Union, up from \$771K in 2010 	<ul style="list-style-type: none"> • 4,000+ new neighbors received immigration assistance • 933 have attained citizenship since 2010 – leading to increased earnings potential of more than \$18M each year • 185,000 volunteer hours donated, equivalent to more than 100 workers

** 2014 figures, unless otherwise noted*

Communities and populations served: Neighborhood Centers works with youth, adults and seniors primarily in low-income communities throughout Greater Houston. In 2014, more than 75% of the individuals we served earned less than \$25,000 per year, and 96% earned less than \$50,000 annually. We serve slightly more females (54%) than males (46%), across a diverse range of races (41.5% Hispanic, 33.3% African-American, 19.3% Caucasian, 2.8% Asian/Pacific Islander, 0.8% Native American, 2.3% other). Likewise, our programs engage pre-school children (ages 0-6, 12.3%), youth (ages 7-12, 7.2%), teens (ages 13-18, 4.0%), adults (ages 19-26, 14.8%; ages 27-45, 37.7%; ages 46-59, 15.6%) and seniors (ages 60+, 8.4%).

Governance: Neighborhood Centers' Board of Directors includes some of Houston's most distinguished business people, former government officials, and dedicated community leaders. Our Board has four committees: *Program Impact*, which guides and evaluates the program; *Finance, Administration and Compliance*, which oversees our agency's financial affairs; *Growth and Opportunities*, which provides strategic guidance for sustainability; and *Nominating and Governance*, which oversees Board engagement and new members. All Board actions and decisions are made through recommendations from these committees.

PROJECT INFORMATION

Statement of Need: The Houston and Southeast Texas Chapter of the Alzheimer's Association estimates that there are more than 56,000 individuals with Alzheimer's in Harris County and the surrounding region – approximately 10% of individuals over the age of 65. In fact, Texas ranks third among the 50 states in the number of Alzheimer's cases diagnosed, and second in the number of related deaths. As Baby Boomers retire and the aging population continues to grow, so will the number of individuals impacted by Alzheimer's and other forms of dementia. The disease not only impacts seniors – through progressive loss of memory, confusion, inability to communicate, and eventually the inability to manage daily living tasks such as eating, bathing, and dressing – but also their caregivers, who often suffer physically, emotionally and financially. Caregivers are more likely to have diabetes, high cholesterol, high blood pressure and heart disease. Female caregivers aged 50 or older are 12% more likely to exhibit moderate to severe depression, and a recent national study found that the personal sacrifice of caregiving costs up to \$660,000 in lost wages over the course of a caregiver's lifetime.

Project Goal, Objectives and Activities: Since 1987, the goal of Neighborhood Centers' Dementia Care Day Center has been to improve quality of life for seniors living with Alzheimer's disease and other forms of dementia and their family caregivers. We also provide leadership in our region to make Houston more dementia-capable.

Objective I: To provide a safe, welcoming place for individuals living with Alzheimer's disease and other forms of dementia.

Activities: A staff-to-participant ratio of 1:6 is maintained at all times, and individuals diagnosed with any type of dementia are eligible to attend. The Day Center is licensed by the Texas Department of Aging and Disability Services, and a licensed nurse administers medications, monitors vital signs, and ensures proper nutrition at snack and meal times. Certified Nursing Assistants and personal care aides provide assistance with toileting, eating

and ambulating as these daily tasks often become unmanageable for individuals with dementia. Throughout the day, individuals participate in therapeutic recreation – carefully planned activities that encourage active participation, exercise or other forms of physical activity. Activity programming is developed by a certified Activity Director and ensures that individuals have the opportunity to maximize their independence, develop meaningful connections with others, and enhance positive feelings of self-worth.

Objective II: To provide an opportunity for respite for their family caregivers.

Activities: Neighborhood Centers' central intake receives nearly 13,000 calls each year, many from caregivers seeking referrals for senior activities, home care, health care, senior housing and nursing homes, as well as caregiver respite and emotional support. Our specialists are trained not only to address the requests of the caller, but also to screen for caregiver needs. We provide specific caregiver services including respite through both the Dementia Care Day Center and home care services; consultation and referral services; educational seminars; and Powerful Tools for Caregivers – a free, six-week series of classes to help caregivers build coping skills and learn techniques for self-care that reduces the stress of caring for an older loved one. In addition, through a federal grant establishing the Houston Alliance to Address Dementia, we recently hired a caregiver specialist to provide intensive, ongoing consultation services to the growing number of local families dealing with dementia.

Objective III: To lead a coalition of partners in making Houston more dementia-capable.

Activities: In October 2014, Neighborhood Centers was awarded a three-year federal grant to establish the Houston Alliance to Address Dementia. The target population includes individuals at risk for or diagnosed with dementia living alone, individuals who have intellectual and developmental disabilities along with cognitive impairment, and the caregivers of those with dementia. The initiative will 1) provide healthcare personnel and other community providers with training on identification of dementia and tools for proper response and referrals; 2) establish one dedicated case manager and one caregiver support specialist focused on dementia cases; and 3) in partnership with the local Alzheimer's Association, provide education for caregivers of those living with dementia.

Additional Project Details: The timeline for this project is ongoing. Annually, the Dementia Care Day Center serves 160 individuals with dementia and 314 family caregivers. Neighborhood Centers is one of only two adult care day centers in Houston serving neighbors with Alzheimer's and dementia, and the only one serving seniors with advanced stages of the disease. We utilize approximately 790 volunteers annually at the Day Center, representing more than 3,400 total volunteer hours, in roles ranging from administrative to client interactions to caregiver support.

Outcomes and Evaluation: Neighborhood Centers incorporates various data tools (e.g.: pre- and post-tests, participant surveys) and metrics (e.g.: demographic, participation and completion data) to evaluate the outcomes (short- and mid-term) and long-term impact of programs. Annual output targets for the Day Center include:

- Provide at least 160 individuals with dementia with therapeutic, failure-free activities, nutritious meals, nursing and health services, and assistance with personal care.
- Provide more than 123,000 hours of respite to caregivers.
- Provide at least 75 caregivers a total of 115 hours of consultation to address their needs.

We will know that we are making an impact by achieving these and other outcomes:

- At least 68% of seniors will report a reduction in isolation.
- At least 50% of seniors will report having meaning and purpose in their lives.
- At least 85% of caregivers will report improved ability to manage care of an older loved one.
- At least 95% of attendees will engage in activities addressing three of four vital quality of life domains (physical activity, safety, cognitive stimulation and social engagement).

Use of Grant Funds and Project Future: Generous support from the S.L. Gimbel Foundation Fund would be used to underwrite the cost of supplies for the Dementia Care Day Center's daily therapeutic activities that engage individuals with dementia cognitively, physically and socially. One example is the "Day Center Gives Back" program, in which individuals at the Dementia Care Day Center contribute their time and talents to local causes – fostering their much-needed sense of purpose by connecting with others in our community. Activities include weekly cooking classes, where participants learn new recipes and prepare healthy meals alongside Junior League volunteers, or regular art classes that involve painting, drawing and photography. Participants may choose to donate their artwork to Neighborhood Centers, for display in the Day Center, as holiday gifts to students in our schools, or as thank you gifts to volunteers and supporters.

Neighborhood Centers is fully committed to the long-term sustainability of the Dementia Care Day Center. We have a long track record of attracting and leveraging private dollars to augment public funds through a diverse range of activities, including grant proposals, annual giving campaigns, special events and volunteerism. In 2014 alone, 9,082 volunteers donated a total of more than 185,000 hours of their time and talent to our programs.

Key Staff and Qualifications: *Jane Bavineau* has nearly 30 years of experience in the field of gerontology and is Vice President of the Sheltering Arms Senior Services division of Neighborhood Centers. During Bavineau's tenure, she founded and served as the executive director of Care for Elders, a local partnership of more than 80 organizations dedicated to improving the care and services provided to older adults and family caregivers in Houston and Harris County. She also served as the project director for two national demonstration projects, and in 2007, was selected as one of 10 Practice Change Fellows in the country. In 2004 Bavineau was named Social Worker of the Year by the local chapter of the National Association of Social Workers, and in 2006 she received the Advocate of the Year Award from the University of Texas' Health Science Center School of Nursing. Bavineau is a member of 15 city, county, and state-level councils dedicated to improving the lives of senior adults.

Katie Scott manages Dementia Care Day Center operations for Neighborhood Centers and also serves as the project director for the Houston Alliance to Address Dementia. Before joining Neighborhood Centers in 2011, Scott worked for the Alzheimer's Association developing and implementing community workshops, seminars, and conferences for both formal and informal caregivers as well as dementia care professionals. She holds a Bachelor's degree from Rice University and a Master of Public Health from the University of Texas, specializing in health promotion and behavioral sciences. Her academic focus included enhancing the quality of direct care worker training, reducing the stigma associated with Alzheimer's disease, and reducing stress associated with caregiving for individuals with dementia.

PROJECT BUDGET

Line Item Description	Support From Your Agency	Support From Other Funders	Requested Amount From TCF	Line Item Total of Project
Staff Salaries and Wages		\$418,204		\$418,204
Fringe Benefits		\$102,460		\$102,460
Contracted Services		\$36,626		\$36,626
Supplies		\$47,563	\$25,000	\$72,563
Advertising, Duplicating, and Printing		\$4,263		\$4,263
Equipment Purchases and Maintenance		\$3,000		\$3,000
Subscriptions, Books, Postage and Shipping		\$2,800		\$2,800
Mileage and Automobile Expenses		\$7,000		\$7,000
Telephone		\$5,086		\$5,086
Professional Development		\$3,500		\$3,500
Direct Assistance		\$5,600		\$5,600
Depreciation	\$6,545			\$6,545
Facilities	\$292,144	\$1,572		\$293,716
Management and General	\$121,823			\$121,823
TOTALS:	\$420,512	\$637,674	\$25,000	\$1,083,186

Line Item Explanations for Gimbel Foundation request: SUPPLIES

Groceries for Day Center Cooking Classes:
 $\$100 \text{ per participant} \times 160 \text{ participants} = \$16,000$

Art Supplies for Day Center Art Classes:
 $\$56.25 \text{ per participant} \times 160 \text{ participants} = \$9,000$

SOURCES OF FUNDING

Secured/Awarded	Amount
Program Revenues	\$420,512
United Way of Greater Houston	\$295,494
U.S. Dept. of Veteran Affairs	\$145,400
U.S. Dept. of Health and Human Services (via Area Agency on Aging)	\$97,086
John P. McGovern Foundation	\$50,000
TOTAL:	\$1,008,492

Pending	Amount	Decision Date
S.L. Gimbel Foundation	\$25,000	January 2016
Neighborhood Centers Board Member (anon.)	\$20,000	December 2015
LSF Foundation	\$15,000	December 2015
Other Contributions & Special Event Revenue	\$14,694	December 2015
TOTAL:	\$74,694	

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total Revenue	Funding Source	Amount	% of Total Revenue
Contributions	\$11,400,006	4.3%	Program Fees	\$1,746,697	0.7%
Fundraising/ Special Events	\$986,700	0.4%	Interest Income	\$348,911	0.1%
Corp/Foundation Grants	\$427,800	0.2%	Other:	\$1,033,383	0.4%
Government Grants	\$247,327,776	93.9%			

Notes: These figures reflect our 2014 fiscal year, as reflected in the enclosed audited financial statement.

FINANCIAL ANALYSIS

Agency Name: Neighborhood Centers Inc.

Most Current Fiscal Year (Dates): From: January 1, 2013 To: December 31, 2013

This section presents an overview of an applicant organization's financial health and will be reviewed along with the grant proposal. Provide all the information requested on your **entire organization**. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. **Double check your figures!**

Form 990, Part IX: Statement of Functional Expenses

1) Transfer the totals for each of the columns, Line 25- Total functional expenses (page 10)

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
\$ 240,600,102	\$ 228,327,406	\$ 11,341,325	\$ 931,371

2) Calculate the percentages of Columns B, C, and D, over A (per totals above)

- Program services (B) – A general rule is that at least 75% of total expenses should be used to support programs
- Management & general administration (C) – A general rule is that no more than 15% of total expenses should be used for management & general expenses
- Fundraising (D) – A general rule is that no more than 10% of total expenses should be used for fundraising

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
	Columns B / A x 100	Columns C / A x 100	Columns D / A x 100
Must equal 100%	94.9%	4.7%	0.4%

3) Calculated the difference between your CURRENT year budget for management & general expenses and your previous management & general expenses per your 990 (Column C)

Percentage of Organization's <u>Current</u> Total Budget used for Administration	Column C, Management & general expenses per 990 above	Differential
4.5%	4.7%	-0.2%

If the differential is above (+) or below (-) 10%, provide an explanation:

Quick Ratio: Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$4,967,583	\$11,514,639	\$20,766,327	0.79

Note: The source of these numbers is our 2014 year-end financial statements. The measure of cash includes cash and investments, as the investments are liquid cash equivalents.

Excess or Deficit for the Year:

Excess or (Deficit) Most recent fiscal year end	Excess or (Deficit) Prior fiscal year end
(\$313,315)	\$8,931,699

Note: The source of these numbers is the Consolidated Statement of Activities from our 2014 year-end financial statements.

APPLICATION SUBMISSION CHECKLIST

	<u>Submit FOUR (4) Copies: 1 ORIGINAL (WITH ORIGINAL SIGNATURES) and 3 copies, collated and stapled together of the following:</u>		<u>Submit ONE (1) Copy:</u>
X	Completed Grant Application Form (cover sheet, narrative (3 pages maximum), budget and sources of funding, financial analysis page	X	A copy of your current 501(c)(3) letter from the IRS
X	A list of your Board members and their affiliations	X	A copy of your most recent year-end financial statements (audited if available; double-sided)
X	Your current operating budget and the previous year's actual expenses	X	A copy of your most recent 990 (double-sided)
X	Part IX only of the 990 form, Statement of Functional Expenses (one page). If you completed a 990-EZ, fill out the attached Part IX, Functional Expenses of the 990 form using figures from your 990-EZ		
N/A	For past grantees, a copy of your most recent final report.		



People transforming communities **FOR GOOD.**

2015-2016 BOARD OF DIRECTORS

BOARD OFFICERS

Mike Ballases, Chair	Accord Business Funding
Gwen Emmett, Vice Chair	Community Leader
Tommy Inglesby, Vice Chair	Halliburton
Ann Kennedy, Vice Chair	Community Leader
Margaret Kripke, Ph.D., Vice Chair	Cancer Prevention and Research Institute of Texas
Nancy Mitchell, Finance & Administration Co-Chair	Community Leader
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Terrylin G. Neale, Assistant Secretary	University of Houston Foundation

BOARD DIRECTORS

Debbie Adams	Phillips 66
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Matthew Barnes	Family and Community Engagement Specialists
Mitzi Bartlett	SEARCH Homeless Services
Deborah Byers	EY
Immanuel Capdeville, Sr.	Deville Enterprises
Alberto P. Cardenas, Jr.	Vinson & Elkins
D. Mark DeWalch	DeWalch Technologies, Inc.
Michael Dokupil	SFC Capital Management
Robert Flexon	Dynegy
W. Merrill Glasgow	Murphy & Co., Inc.
Hank Holmes	Cadence Bank
Bill Jayroe	Hunter International Partners
Javier Maldonado	Community Leader
Stan Marek	Marek Family of Companies
Eric Marin	Sirius Solutions LLLP
Charlie Prioleau	Community Leader
Carleton Riser	Transwestern Development Company
Massey Villarreal	Precision Task Group
Frazier Wilson, Ed.D.	Shell Oil Company

LIFE MEMBERS

Joanne Baker	Community Leader
Sylvia Capetillo	Community Leader
Jonathan Day	Andrews Kurth LLP
Tom Sanders	Sanders Enterprises
Don Turkleson	Gulf Coast Energy Resources, LLC

Neighborhood Centers Inc. – 2015 Proposal to S.L. Gimbel Foundation Fund
Agency Budget Comparison

	Actuals	Budget	Variance
	Most Recently Completed Year	Projections Current Year	
	2014	2015	
REVENUE			
Government Grants	247,327,776	255,130,219	7,802,443
United Way	6,817,722	5,561,456	-1,256,266
Contributions	4,582,284	8,036,246	3,453,962
Program Fees	2,164,677	1,999,980	-164,697
Rental Income	484,614	765,535	280,921
Other	3,201,485	376,794	-2,824,691
Use of Agency Reserves	313,515	512,379	198,864
Total Revenue	264,892,073	272,382,609	7,490,536
EXPENSES			
Personnel			
Salaries, Wage, Fringe Benefits	59,383,643	65,691,331	6,307,688
Total Personnel	59,383,643	65,691,331	6,307,688
General Program/Administrative			
Contracted Services	4,615,232	7,451,345	2,836,113
Supplies	3,537,546	2,551,155	-986,391
Advertising, Duplicating and Printing	437,403	812,962	375,559
Equipment and Maintenance	1,246,812	1,497,766	250,954
Subscriptions, Books, Postage and Shipping	242,127	331,451	89,324
Utilities and Storage	1,364,357	1,460,421	96,064
Mileage and Automobile Expense	537,178	692,466	155,288
Telephone	697,626	962,684	265,058
Professional Development	629,962	650,659	20,697
Occupancy and Insurance	9,280,156	7,379,823	-1,900,333
Direct Assistance	180,176,491	180,927,109	750,618
Depreciation	2,263,992	1,483,825	-780,167
Bank Charges and Interest	273,936	489,612	215,676
Other	205,612	0	-205,612
Total General Program/Administrative	205,508,430	206,691,278	1,182,848
Total Expenses	264,892,073	272,382,609	7,490,536
Revenue Less Expense	0	0	0

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX.

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.	319,359.	319,359.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22.	167,531,102.	167,531,102.		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees.	1,951,840.	0.	1,722,182.	229,658.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	431,312.	111,589.	319,723.	0.
7 Other salaries and wages.	40,332,551.	35,125,253.	4,865,604.	341,694.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	2,115,667.	1,601,683.	481,032.	32,952.
9 Other employee benefits.	4,273,335.	3,961,708.	287,246.	24,381.
10 Payroll taxes.	3,147,961.	2,505,768.	598,137.	44,056.
11 Fees for services (non-employees):				
a Management.				
b Legal.	152,284.	58,357.	90,343.	3,584.
c Accounting.	241,769.		241,769.	
d Lobbying.				
e Professional fundraising services. See Part IV, line 17.	27,000.			27,000.
f Investment management fees.				
g Other. (If line 11g amt exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	5,178,398.	3,731,012.	1,380,100.	67,286.
12 Advertising and promotion.	81,145.	22,333.	57,887.	925.
13 Office expenses.	3,946,531.	3,722,106.	189,316.	35,109.
14 Information technology.				
15 Royalties.				
16 Occupancy.	5,497,045.	5,417,719.	21,037.	58,289.
17 Travel.	393,974.	364,689.	22,093.	7,192.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19 Conferences, conventions, and meetings.	159,869.	73,756.	68,671.	17,442.
20 Interest.	163,351.	111,291.	52,060.	
21 Payments to affiliates.				
22 Depreciation, depletion, and amortization.	1,415,464.	1,305,194.	98,446.	11,824.
23 Insurance.				
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <u>Equipment Purchases</u>	2,402,161.	2,000,186.	401,975.	
b <u>Professional Development</u>	325,223.	239,434.	66,502.	19,287.
c <u>Other</u>	261,235.	124,867.	125,676.	10,692.
d <u>Pension Termination Costs</u>	251,526.		251,526.	
e All other expenses.				
25 Total functional expenses. Add lines 1 through 24e.	240,600,102.	228,327,406.	11,341,325.	931,371.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				



Department of the Treasury
Internal Revenue Service

P.O. Box 2508
Cincinnati OH 45201

In reply refer to: 0248360116
Dec. 04, 2014 LTR 4170C 0
23-7062976 000000 00

00037588

BODC: TE

NEIGHBORHOOD CENTERS INC
% KIRK RUMMEL
4500 BISSONNET SUITE 200
BELAIRE TX 77401



030348

Person to Contact: Ms. Harper
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your request of Nov. 24, 2014, regarding the tax-exempt status of NEIGHBORHOOD CENTERS INC.

Our records indicate that a determination letter was issued in April 1970, granting this organization exemption from Federal income tax under section 501(c)(3) of the Internal Revenue Code.

Our records also indicate this organization is not a private foundation within the meaning of section 509(a) of the Code because it is described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to this organization as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to the organization or for its use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website www.irs.gov/eo for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

If you have any questions, please call us at the telephone number shown above.

0248360116
Dec. 04, 2014 LTR 4170C 0
23-7062976 000000 00
00037589

NEIGHBORHOOD CENTERS INC
% KIRK RUMMEL
4500 BISSONNET SUITE 200
BELAIRE TX 77401

Sincerely yours,

Susan M. O'Neill

Susan M. O'Neill, Department Mgr.
Accounts Management Operations



September 4, 2015

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Angela Blanchard

Ms. Celia Cudiamat, Executive Vice President-Programs
The Community Foundation
3700 Sixth St., Suite 200
Riverside, CA 92501

Dear Ms. Cudiamat,

Thank you very much for your invitation to present the enclosed proposal for support of Neighborhood Centers Inc. and our Dementia Care Day Center. Because of visionary investors like the S.L. Gimbel Foundation, our organization is able to offer elders in our region a comprehensive continuum of care. Our Day Center improves the quality of life of seniors living with Alzheimer's disease and other forms of dementia, and also provides much-needed respite and support to their family caregivers.

The S.L. Gimbel Foundation has a legacy of support for underserved communities throughout our nation, and I welcome this opportunity to stand alongside you in supporting Greater Houston's seniors and their caregivers.

I strongly endorse this request as a top priority for our Agency. If I can answer any questions you may have, please feel free to contact me directly at your convenience.

Thank you for your kind consideration of the enclosed proposal.

Best regards,

Ray Chung
Senior Vice President & Chief Strategy Officer

Enclosures



November 24, 2015

Ms. Celia Cudiamat
Executive Vice President of Programs
The Community Foundation
3700 Sixth Street, Suite 200
Riverside, CA 92501

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PRESIDENT AND CEO

Angela Blanchard

Dear Ms. Cudiamat,

Thank you very much for the \$25,000 grant from the S.L. Gimbel Foundation. This generous investment will further our work to improve the quality of life for seniors living with Alzheimer's and other forms of dementia as well as provide respite and support to their family caregivers. The signed grant agreement indicating our acceptance of your gift is enclosed. I also want to express my appreciation for your invitation to apply, and for the assistance you provided to my colleague Melissa Davis in helping us craft a proposal that highlights the close alignment between the Gimbel Foundation's priorities and Neighborhood Centers' continuum of care for older adults and their caregivers.

We look forward to keeping you updated on our successes throughout the grant period, and will complete the requested Evaluation Report by October 31, 2016.

Thank you again for your generous investment in our senior services.

Best regards,

Angela Blanchard
President and CEO

Enclosure: Grant agreement

This serves as the tax receipt for your gift. In accordance with IRS guidelines, Neighborhood Centers Inc. did not provide any goods or services to you as part of this contribution. Neighborhood Centers Inc. is a tax-exempt, nonprofit organization as described in section 501(c)(3) of the IRS Code. Our tax identification number is 23-7062976.

2015 S.L. Gimbel Foundation Fund

Grant Agreement

Organization: Neighborhood Centers, Inc.
Grant Amount: \$ 25,000 **Grant Number:** 20150829
Grant Period: November 15, 2015 through October 15, 2016
Purpose: To improve the quality of life of seniors living with Alzheimer's disease and other forms of dementia and to provide respite and support to their family caregivers.

1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its tax-exempt status, and shall notify the Foundation immediately of any such change.

4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request.

6. Publicity

The Community Foundation recommends publicity for the grant and acknowledging The Community Foundation in internal correspondence, brochures as appropriate; newsletters, annual reports and email blasts or e-newsletters.

The credit line of "Made possible in part by a grant from the "S.L. Gimbel Foundation Advised Fund at The Community Foundation – Inland Southern California" is suggested. When your donors are listed in printed materials, include the S.L. Gimbel Foundation Advised Fund at The Community Foundation in the appropriate contribution size category. When

publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching our logo is also appreciated. Our logo can be downloaded from our website at www.thecommunityfoundation.net.

7. Indemnification

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission by Grantee, its employees, or agents in applying for or accepting the Grant, in expending or applying the Grant funds or in carrying out any project or program supported by the Grant, except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

8. Termination

The Community Foundation may terminate this agreement, withhold payments, or both at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement.

9. Limitation of Support

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

I have read and agree to the terms and conditions of the Grant Agreement.

Angela Blanchard
Signature

11/23/15
Date

Angela Blanchard
Printed Name

President & CEO
Title

Organization: 21922 Neighborhood Centers, Inc.
Grant Number: 20150829



The
Community
Foundation

Strengthening Inland Southern California through Philanthropy

S. L. Gimbel Foundation Fund

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Diane Valenzuela

Dr. Jonathan Lorenzo Yorba
President and CEO

October 29, 2015

Angela Blanchard
President/ CEO
Neighborhood Centers, Inc.
4500 Bissonnet
Suite 200
Bellaire, TX 77401

Dear Ms. Blanchard:

Congratulations! A grant has been approved for **Neighborhood Centers, Inc.** in the amount of **\$25,000** from the S.L. Gimbel Foundation. The **performance period for this grant is November 15, 2015 to October 15, 2016.** Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

To improve the quality of life of seniors living with Alzheimer's disease and other forms of dementia and to provide respite and support to their family caregivers.

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, please sign and date the enclosed copy and return the original copy to The Community Foundation within the next two weeks. Please retain a copy of the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. The **Grant Evaluation is due by October 31, 2016** and a copy will be available online.

We wish you great success and look forward to working with you during the grant performance period.

If you have any questions, please call me at 951-241-7777 ext. 114 or email me at ccudiamat@thecommunityfoundation.net.

Sincerely,

Celia Cudiamat
Executive Vice President of Programs

21922 Neighborhood Centers, Inc. 20150829

GIMB5



Confirmed in Compliance
with National Standards for
U.S. Community Foundations

3700 Sixth Street, Suite 200 Riverside, California 92501
P: 951.241.7777 F: 951.684.1911 www.thecommunityfoundation.net



The
Community
Foundation

Strengthening Inland Southern California through Philanthropy

S. L. Gimbel Foundation Fund

BOARD OF DIRECTORS

December 30, 2015

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President/ CEO

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Vice Chair of the Board

Neighborhood Centers, Inc.
4500 Bissonnet

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Chief Financial Officer

Suite 200
Bellaire, TX 77401

Sergio Bohon
Secretary of the Board

Dear Ms. Blanchard:

Glenda Bayless

Dr. Paulette Brown-Hinds

Rabbi Hillel Cohn

James Cuevas
Immediate Past Board Chair

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Stanley Grube

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Dr. Fred Jandt

Andrew Jaramillo

Dr. Albert Karnig

D. Matthew Pim

Teresa Rhyne

Dr. Henry Shannon

Tamara Sips

Beverly Stephenson

Randall Tagami

Diane Valenzuela

The Community Foundation is pleased to enclose a grant check for **\$25,000** from the S. L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the grant check, you are agreeing to the conditions stated under the *Terms of Grant* which you have signed and returned. The completed Grant Evaluation form is due by **October 31, 2016** and will be available online on The Community Foundations website under Grants/Forms. Please note that any grant variances or extensions must be requested in writing and in advance. Any remaining grant funds must be returned to The Community Foundation at the end of the grant period.

We greatly appreciate any help you can give us in publicizing the grant. **Please use the following credit in any grant announcements or materials funded by the grant: "The (name of project/program) is supported by a grant from The S. L. Gimbel Foundation."** You may send copies of articles printed in local papers, stories in your agency newsletter, annual report, press releases, and other publications for our files.

If you have any questions, please contact me at 951-241-7777.

Sincerely,

Celia Cudiamat
Executive Vice President of Programs

20150829

40055

GIMB5

Dr. Jonathan Lorenzo Yorba
President and CEO



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U.S. Community Foundations

3700 Sixth Street, Suite 200 ~ Riverside, California 92501
P: 951.241.7777 ~ F: 951.684.1911 ~ www.thecommunityfoundation.net

The Community Foundation
 Strengthening Inland Southern California through Philanthropy
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PAY * Twenty-Five Thousand and no/100 *

TO THE ORDER OF

DATE

11/06/2015

AMOUNT

\$****25,000.00

Neighborhood Centers, Inc.
 4500 Bissonnet, Suite 200
 P.O. Box 271389
 Houston, TX 77277



Celia Cudrinat
Jonathan Lanza Galan
 AUTHORIZED SIGNATURE

Security features. Details on back.

⑈040055⑈ ⑆22234149⑆ 244124437⑈

The Community Foundation

40055

21922 Neighborhood Centers, Inc.

11/06/2015 040055

20150829	10/28/2015	Provide respite and support for family caregivers of	25,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		25,000.00

CHECK TOTAL: \$****25,000.00

The Community Foundation

40055

21922 Neighborhood Centers, Inc.

11/06/2015 040055

20150829	10/28/2015	Provide respite and support for family caregivers of	25,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		25,000.00

CHECK TOTAL: \$****25,000.00