



2017 S.L. Gimbel Foundation Fund Grant Application

Internal Use Only:

Grant

20170102

GRANT ID: 23695

Organization / Agency Information

Organization/Agency Name: CALDERA		
Physical Address: 1127 NW Davis Street		City/State/Zip Portland, OR 97209
Mailing Address: 224 NW 13 th Avenue, Suite 304		City/State/Zip Portland, OR 97209
CEO or Director: Brian Detman		Title: Executive Director
Phone: 503-937-3063	Fax:	Email: Brian.Detman@CalderaArts.org
Contact Person: Michelle Meyer		Title: Development Director
Phone: 503-937-3065	Fax:	Email: Michelle.Meyer@CalderaArts.org
Web Site Address: www.CalderaArts.org		Tax ID: 94-3235649

Program / Grant Information

Interest Area: ☐ Animal Protection ☒ Education ☐ Environment ☐ Health ☐ Human Dignity

Program/Project Name: Caldera Youth Mentoring Program			Amount of Grant Requested: \$20,000
Total Organization Budget: \$2,364,868	Per 990, Percentage of Program Service Expenses (Column B/ Column A x 100): 82.51%	Per 990, Percentage of Management & General Expenses Only (Column C / Column A x 100): 8.42%	Per 990, Percentage of Management & General Expenses and Fundraising (Column C+D / Column A x 100): 17.49%
Purpose of Grant Request (one sentence): The purpose of this grant request is to fund our Youth Mentoring Program that brings innovative, year-round arts and environmental opportunities to middle and high schoolers in underserved communities in Portland and Central Oregon.			
Program Start Date (Month and Year): July 1, 2017		Program End Date (Month and Year): June 30, 2018	
Gimbel Grants Received: List Year(s) and Award Amount(s) N/A			

Signatures

Board President / Chair: (Print name and Title) Wes Lawrence, Board Chair	Signature: 	Date: 7/28/17
Executive Director/President: (Print name and Title) Brian Detman, Executive Director	Signature: 	Date: 7/28/17

2017 S.L. Gimbel Foundation Fund APPLICATION Narrative

I. Organization Background

A) What are the history, mission and/or purpose of your organization? How long has the organization been providing programs and services to the community?

Caldera's mission is to be a catalyst for the transformation of underserved youth through innovative, year round arts and environmental programs. We design our curricula to awaken students' creativity and to engage them with the arts and natural environment to broaden their worldview. Our professional teaching artists, mentors, and naturalists teach youth skills in a supportive community using culturally sensitive practices, empowering them to achieve individual success and growth. Founded in 1996 as a summer arts camp for youth from Portland, Caldera has since grown into a year round art and environmental mentoring program for middle and high school students in underserved communities across Central Oregon and the Portland Metro Area.

Caldera believes that the arts awaken the innate creativity of youth and provides various opportunities for students to discover their individual expression and strengths, develop life-skills, and engage with their families and communities. In order to create more opportunities, we contribute to the overall Oregon arts ecosystem through our Artists in Residence (AiR) program and our Arts and Environmental Integration & Teacher Training (AEITT) program. With AiR, we bring visual, performing, literary, and culinary artists from around the world to our Arts Center in Sisters, Oregon where they develop work and teach Caldera youth. These visiting artists expose youth to new ideas and exciting ways of making art. With AEITT, teachers from our partner schools and from across Oregon learn ways to use the arts and nature as tools for core-subject learning from diverse specialists. Through these programs and our Arts Center events, Caldera encourages the creation of art and a broad artistic community that supports our youth.

B) What are some of your past organizational accomplishments (last three years)?

Over 21 years, we have mentored 2,000 youth, and our arts programming has reached another 70,000 students at our arts partner schools. In 2015 Caldera was one of 12 organizations nationwide, and the first Oregon nonprofit so far, to be awarded the National Arts & Humanities Youth Program (NAHYP) Award in a White House Ceremony from First Lady Michelle Obama. Additionally, we have received funding from the National Endowment for the Arts a total of eleven times.

C) What are your key programs and activities? Describe the communities you serve. Include populations, geographic locations served, and relevant statistics.

Caldera's objectives are to empower youth, approximately 300-400 students (ages 11 to young adult), to:

1. Build Skills
2. Strengthen Identity
3. Encourage Community Engagement

Caldera's year round and summer activities provide unique experiences for youth to gain arts and nature mentoring that they would not typically receive. The year begins with convening Portland and Central Oregon youth at our Blue Lake Arts Center in the Cascade Mountains for three overnight summer camp sessions (28 days total), 14 hours of active programming each day. During the school year, mentors and artists enliven the school day with special projects in 60- to 90-minute weekly classes and artist residencies, specially tailored to Caldera middle schoolers at 12 Arts Partner Schools that include: HB Lee Middle School (Gresham), Jason Lee K-8 (Portland), Open School East (Portland), Open School North (Portland), Peninsula School (Portland), Self-Enhancement, Inc. Academy (Portland), Elton Gregory Middle School (Redmond), Jefferson County Middle School (Madras), Obsidian Middle School (Redmond), Pilot Butte Middle School (Bend), Terrebonne Community School (Terrebonne), and Warm Springs K-8 Academy (Confederated Tribes of Warm Springs

Reservation). They present performances, exhibits, and workshops that reach the wider school community. Visiting artists in residence, teaching artists, and creative industry partners (e.g., Atelier 6000, a print-making studio in Bend; Squarespace, a website-design company in Portland; Wieden+Kennedy, advertising company in Portland; etc.) provide sequential, experiential skills training during weekend workshops for our high schoolers, informed by data we gathered on the types of classes and arts fields in which our youth have interest (4-10 hours each). Workshops are held at our Arts Center or at partner locations, like the Portland Art Museum, The Museum at Warm Springs, the Edwin Brown Center, or the Redmond Public Library.

All students receive two weekend “mini-camp” intensives totaling 24 hours of instruction. We’ll conduct final showcases in our students’ communities and host an annual student alumni reception. Our new Young Adult Advocate, a role created in response to services our students requested, works with high schoolers/recent alum to set personal learning and career goals and to assist with college essays, portfolios, financial aid, internships, and college visits. In all, middle schoolers have access to 220 hours of programming, and high schoolers have access to 340 hours.

II. Project Information:

A) Statement of Need

1. Specify the community need you want to address and are seeking funds for.

The Oregon Arts Education Snapshot from January 2016 reported that Oregon schools have reduced or eliminated arts education programs since the 1990s. Arts organization respondents reported lack of space and/or time in schools as a significant challenge. Caldera’s requests \$20,000 that would go towards the in-school portion of our Youth Mentoring Programs in both the Portland Metro Area and Central Oregon. Our long-term collaborations with our partner schools allows us to embed our mentor program in-school, during the school day, which means we have been allowed significant access. This is one of the things that makes Caldera’s programming so unique. By providing quality arts and nature-based mentoring we provide Oregon youth with direct learning opportunities that they otherwise would not receive.

The schools Caldera serves have lower graduations rates than the statewide average of 74%. A 2016 study sponsored by the NEA showed that children and teenagers from “socially and economically disadvantaged backgrounds” who had high levels of arts engagement were more likely to have better grades, test scores, and graduation rates than youth with low levels of arts engagement. However, most Oregon schools, particularly those in the economically-distressed areas Caldera serves, do not have dedicated arts instruction. We know from 20 years of working within these communities that students thrive and become leaders among their peers when they have opportunities that awaken their innate creativity. Caldera fills this need with culturally-sensitive arts & environmental curriculum and a long-term commitment to the individual expression and success of our youth.

B) Project Description

1. Describe your project. How does your project meet the community need? What is unique and innovative about this project?

Geography of We: Our Brimming Atmosphere is a seven-year creative initiative that integrates art-making and environmental learning, and allows youth to build an anthology of connected stories over the seven years they are in Caldera’s mentorship program. They will gain skills, learn to problem-solve and collaborate, and to think critically. *Our Brimming Atmosphere* is the theme for year four arts learning activities that will focus on the central theme of *air*, the origin of the word inspiration; past themes were Land, Wildlife, Sun, and Fire. The project’s Youth will learn that air is both their own and Earth’s living breath. Through a wide variety of interdisciplinary art forms, they’ll learn about volcanic exhailes, clouds of comets, eons of sunlight, and how storms envelop our planet in brimming atmosphere.

Professional mentors and artists in such mediums as film, animation, photo, writing, music, painting, sculpture, design will work with students to explore their identity through our central

linking theme. Students will consider air and atmosphere through art, science, metaphor, and movement. Project activities will range from learning traditional myths about the power of voice and breath, to studying exemplary works of art incorporating wind and weather as major elements, to dyeing with indigo ink that requires oxidation to turn blue and making sourdough bread that require airborne yeast, to exploring music, sound waves, air quality, lichen ecology, sunsets, and northern lights. The project will allow students to explore questions like: What makes you feel light as a feather or free as a bird? What weather patterns do you see in yourself? How does air act as a catalyst? How can we make a world where everyone has clean air?

We'll use the collections of the Portland Art Museum (PAM), the Museum at Warm Springs (MWS), and other arts partners so that students experience exemplary works of art in person. Art works will range from the sculpture/mobile *Snow Flurry III* by Alexander Calder, to international music performances and workshops of One Beat, to weavings and songs from the Confederated Tribes of Warm Springs (MWS).

C) Project Goal, Objectives, Activities and Expected Outcomes

GOAL:

Youth ages 11-18 in underserved communities of Multnomah, Deschutes, and Jefferson counties will gain proficiency in six domains: arts learning, environmental literacy, learning/academic skills, personal development, social skills, and community engagement.

OBJECTIVE:

Provide quality arts & nature instruction to up to 400 underserved youth in Multnomah, Deschutes, and Jefferson counties, so that they will show improvement in our six learning domains.

Activities: Year-round, weekly arts mentoring classes in our partner schools; weekend workshops and “mini-camp” intensives; in-school artist residencies; workshops, performances, and exhibits; and summer arts & nature camp sessions.

OUTCOME:

We expect to provide quality arts & nature instruction to up to 400 underserved youth in 2017-2018, who will show improvement in our six learning domains.

EVALUATION:

Using our program data base and tracking system (Apricot), generate reports based on student self-evaluations and qualitative interviews. Track our role in providing up to 400 youth with quality arts & nature instruction, as well as their self-assessment of improvement across our six domains.

D) Timeline

Start date: July 1, 2017 End date: June 30, 2018

July-Aug 2017: Staff, artists, and mentors create curriculum, lesson plans, and rubrics. 4-day annual training for artists and mentors. 150-200 students study at our arts and nature camp held at our Arts Center in the Central Cascade Mountains. Student evaluations administered.

Sept 2017: Staff and artists examine student assessments and complete evaluation report. Final curriculum planning completed.

Oct 2017-June 2018: Approx. 300-400 core students study in weekly sessions in middle schools, high school weekend workshops, activities with creative industry partners, and sessions for alum. Artist residencies at each of our partner middle schools culminate in school-wide events reaching 2,500 additional students.

Fall 2017: All students join staff, artists and industry partners for weekend arts intensive workshop in Portland and Central Oregon.

Dec 2017: Staff and alumni convene for an annual holiday gathering.

Spring 2018: All students join staff, artists, and industry partners for weekend arts intensive workshop in Portland and Central Oregon.

May-June 2018: High schoolers curate public exhibits and celebration of students' work in each community. 2-day Teacher Training Symposium at Caldera's Arts Center. Student evaluations administered. Summative assessment completed. Final evaluation report produced.

E) Target Population

Our primary audience for our core programs are 300 to 400 Oregon youth, age 11-18. We also reach approx. 2,500 more students through our school-wide projects. 45% of our youth self-identify as White/Non-Hispanic; 17% Multiracial; 14% African American; 11% Hispanic; 8% Native American; 3% Asian American; and 2% Other; 55% of our student population are of color and that is nearly three times the percentage in the overall population statewide. 63% are female, 37% male; 56% urban and 44% rural. 80% of the students at our partner schools are eligible for subsidized lunch programs. A majority of them (about 70%) also come from underserved populations.

F) Projects in the Community

In 2017-18, we will partner with the Oregon Museum of Science & Industry, One Beat, the Portland Art Museum, Wieden+Kennedy, The Museum at Warm Springs, the U.S Forest Service, and many other organizations to offer workshops to our youth. We are also proud to have built longstanding mutually-beneficial relationships with our partner schools that provide classroom space for our weekly arts mentoring sessions. Every year Caldera has approximately 50 volunteers who support our work in a multitude of ways, from instructing and assisting in workshops to assistance with events and mailings.

Caldera has identified the following non-profits as either having similar populations of youth or utilizing the arts to engage young people; however, none of these organizations offer exactly the same services as Caldera: Friends of Children, Big Brothers Big Sisters, P:ear, I Have A Dream, Young Audiences, The Right Brain Initiative, Self Enhancement, Inc., New Avenues for Youth, and Open Signal. We hope to find ways to partner with these organizations in the future.

G) Use of Grant Funds

Our request for \$20,000 will go towards supporting our year-round, weekly mentoring classes at arts partner middle schools in Multnomah, Jefferson, and Deschutes counties. Funds will specifically go towards mentor salaries, artist stipends, supplies, and classroom snacks

III. Project Future

A) Sustainability

Because we commit to our youth for seven years, the sustainability of this project is essential and part of our ongoing, long-term work with youth. We currently maintain a \$8.9M facilities endowment and a board-managed fund of \$1.1M. Staff work closely with an active board committee to diversify funding through individual and corporate support, two annual fundraising events (one in Portland and one in Central Oregon), and expanded foundation and government support. The organization is also executing an ambitious new Advancement Plan for 2017-2020 that aims to raise Caldera's individual annual giving program and program endowments.

IV. Governance, Executive Leadership and Key Personnel/Staff Qualifications

A) Governance

Caldera currently has Board of 14 members, but will be expanding to 20 in the coming few years. Our Board Chair is Wes Lawrence of Sisters, Oregon. Our founder, Dan Wieden, is also an active member of the board. The entire board and staff leadership meet four times a year, including one full-day retreat. Additionally, we have five active committees that meet more regularly, Governance, Programs, Advancement, Finance & Investments, and Facilities, that determines the

overall direction of these areas of the organization. Our board members are split between Portland and Central Oregon, to ensure parity between the regions we serve.

B) Management

- Brian Detman, Executive Director, has more than two decades of professional experience in nonprofit organizations, working with Multnomah County Juvenile Services, Metropolitan Group, I Have A Dream-Oregon, the Portland Jazz Festival, and DePriest Family Jazz. His overall leadership will, in part, ensure the success of the project.
- Elizabeth Quinn, Programs Director, began working in the arts over twenty years ago. She is the founding editor of High Desert Journal and helped start the artist residency, Playa in Summer Lake, OR. She integrates residents from Caldera's Artists in Residence program with our Youth Program and creates partnerships with artists and organizations.
- Karena Salmond, Youth Program Director, brings a decade of arts education experience to Caldera. She served as the Program Director with San Francisco-based Performing Arts Workshop and other out-of-school programs both in the Bay Area and the Midwest.

We have five mentors on our year-round staff, including Kevin Ball (Central Oregon Middle School Mentor), Meg Ball (Young Adult/Alumni Advocate), Aasha Benton (Portland Middle School Mentor), Jessica Lovejoy (Central Oregon High School Mentor), and a Portland High School Mentor position that we are currently hiring for. Our mentors have extensive professional histories in youth development, learning, classroom teaching experience, and/or as working artists, and all of them have extensive experience with the communities that Caldera serves.

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V. Project Budget and Narrative (Do not delete these instructions on your completed form).

A) **Budget Table:** Provide a detailed line-item budget for your **entire** project by completing the table below. Requested line items should be limited to Ten (10) line items. The less the better.

A breakdown of specific line item requests and attendant costs should include:

- 1) Line item requests for materials, supplies, equipment and others:
 - a. Identify and list the type of materials, supplies, equipment, etc.
 - b. **Specify the unit cost, number of units, and total cost**
 - c. Use a formula/equation as applicable. (i.e. 40 books @ \$100 each = \$4000)
- 2) Line item requests for staff compensation, benefits: **Do not use FTE percentages.**
 - a. Identify the position; for each position request, **specify the hourly rate and the number of hours** (i.e. \$20/hr x 20 hours/week x 20 weeks = \$8,000)
 - b. For benefits, provide the formula and calculation (i.e. \$8,000 x 25% = \$2,000)
- 3) Line items on Salaries/Personnel included in budget (contribution or in-kind) but NOT requested from the Gimbel Foundation must be broken down per number 2) above: Provide rate of pay per hour and number of hours.

Line Item Request	Line Item Explanation	Support From Your Agency (via individual donors)	Support From Other Funders	Requested Amount From Gimbel/TCF	Line Item Total of Project
Personnel: 2 full-time mentors; 1 Programs Director; 1 Youth Program Director; 1 Youth Program Operations Manager; + taxes & benefits	<p>Mentor #1: \$20.69/hr x 37.5 hrs/wk x 52 weeks + 20% taxes & benefits = \$48,414.60</p> <p>Mentor # 2: \$20.07/hr x 37.5 hrs/wk x 52 weeks + 20% taxes & benefits = \$46,963.8</p> <p>Programs Director: \$38.46/hr x 40 hrs/wk x 52 weeks + 20% taxes & benefits = \$95,996.16</p> <p>Youth Program Director: \$34.66/hr x 40 hrs/wk x 52 weeks + 20% taxes & benefits = \$86,511.36</p> <p>Youth Program Operations Manager: \$24.10/hr x 37.5 hrs/wk x 52 weeks + 20% taxes & benefits = \$56,394</p>	\$127,704.92	\$196,575.00	\$10,000.00	\$334,279.92

Fees for Teaching Artist Contracting Service	20 teaching artists x \$1985/artists = \$39,700	\$12,200.00	\$20,000.00	\$7,500.00	\$39,700.00
Art Materials & Supplies for classes	Central Oregon: \$4/student x 150 students = \$600 Portland: \$3/student x 150 students = \$450		\$1,050.00		\$1,050.00
Food and Beverage for classes	Central Oregon: \$2/student x 150 students = \$300 Portland: \$1.67/student x 150 students = \$250		\$550.00		\$ 550.00
Travel, Transportation, and Diesel/Gasoline for Mentors to get to middle schools	\$1500 for Central Oregon Mentor; \$150 for Portland Mentor	\$825.00	\$825.00		\$1,650.00
Telephone	\$1,500 for Central Oregon; \$700 for Portland	\$2,200.00			\$2,200.00
Lodging	\$2,000 in Central Oregon \$4,000 in Portland		\$3,500.00	\$2,500.00	\$6,000.00
TOTALS:		\$142,929.92	\$222,500.00	\$20,000.00	\$385,429.92

B) Narrative:

1. Personnel:

- Portland and Central Oregon mentors are full-time Caldera employees who travel to six schools each (12 total) to teach weekly arts mentoring classes. They also travel to Portland office for trainings, planning, and meetings.
 - Programs Director oversees all of Caldera's programs including Youth, Artists in Residence, Teacher Trainings, and Arts Center Rentals to ensure that all programs are connected and serving Caldera's mission. The Programs Director is responsible for incorporating visiting artists in residence as teaching artists in schools.
 - Youth Programs Director manages mentors and with the Programs Director, develops arts and environmental learning projects and curricula.
 - Youth Programs Operational Manager coordinates all aspects of the Youth Program, including coordinating schedules, securing partners, ensuring all student and artist paperwork is submitted, and purchasing food and materials.
2. Art Materials & Supplies: all materials for 52 weeks of arts & nature classes in schools.
 3. Food and Beverage for classes: in-class snack for students during 52 weeks of arts & nature classes.
 4. Travel, Transportation, and Diesel/Gasoline for Mentors to get to middle schools: to remove all barriers to participation, mentors travel to students' schools weekly. Because locations are farther apart in Central Oregon, costs are higher there than in Portland.

5. Telephone: In order to reach students and encourage their participation, mentors communicate with students' parents and with students directly via telephone and text. These costs reflect the amount to buy a dedicated programs phone for mentors, plus their monthly bills.
6. Lodging: Caldera secures accommodations for visiting teaching artists who instruct in-school residencies, workshops, and classes.

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VI. Sources of Funding: Please list your current sources of funding and amounts.

Secured/Awarded

Name of Funder: Foundation, Corporation, Government	Amount
National Endowment for the Arts	\$35,000
Oregon Community Foundation Studios to Schools	\$35,000
US Bank Foundation	\$5,000
Spirit Mountain Foundation	\$15,000
Jackson Foundation	\$5,000
Greenbrier Corporations	\$25,000

Pending

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date
The Collins Foundation	\$15,000	12/15/17
Juan Young Trust	\$10,000	1/31/18
Bloomfield Foundation	\$7,500	10/31/17
Oregon Arts Commission	\$30,000	9/30/17
First Story Fund	\$5,000	8/19/17
Ford Family Foundation Rural Initiative	\$25,000	11/20/17
Pacific Power	\$5,000	12/15/17
Tykeson Family Charitable Fund	\$5,000	12/15/17

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total Revenue	Funding Source	Amount	% of Total Revenue
Contributions	\$1,240,658.02	52.85	Program Fees	\$11,325.52	0.48
Fundraising/Special Events	\$538,258.47	22.93	Interest Income	\$50,593.86	2.16
Corp/Foundation Grants	\$302,682.16	12.89	Other: Misc	\$3,013.66	0.13
Government Grants	\$103,142.37	4.39	Other: Earned	\$97,964.50	4.17

Notes:

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VII. Financial Analysis

Agency Name: GaryMcGee & Co. LLP

Most Current Fiscal Year (Dates): From July 1, 2015 To: June 30, 2016

This section presents an overview of an applicant organization's financial health and will be reviewed along with the grant proposal. Provide all the information requested on your **entire organization**. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. **Double check your figures!**

Form 990, Part IX: Statement of Functional Expenses

1) Transfer the totals for each of the columns, Line 25- Total functional expenses (page 10)

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
\$ 2,525,157	\$ 2,083,458	\$ 212,517	\$ 229,182

2) Calculate the percentages of Columns B, C, and D, over A (per totals above)

- Program services (B) – A general rule is that at least 75% of total expenses should be used to support programs
- Management & general administration (C) – A general rule is that no more than 15% of total expenses should be used for management & general expenses
- Fundraising (D) – A general rule is that no more than 10% of total expenses should be used for fundraising

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
	Columns B / A x 100	Columns C / A x 100	Columns D / A x 100
Must equal 100%	82.5%	8.4%	9.1%

3) Calculate the difference between your CURRENT year budget for management & general expenses and your previous management & general expenses per your 990 (Column C)

Percentage of Organization's <u>Current</u> Total Budget used for Administration	Column C, Management & general expenses per 990 above	Differential
7.7 %	8.4 %	- 0.7 %

If the differential is above (+) or below (-) 10%, provide an explanation:

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Quick Ratio: Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$ 863,529	\$ 9,516	\$ 40,173	21.73

Excess or Deficit for the Year:

Excess or (Deficit) Most recent fiscal year end	Excess or (Deficit) Prior fiscal year end
\$ 411,242	\$ 1,224,772

Notes:

VIII. Application submission check list:

<u>Submit FOUR (4) Copies: 1 ORIGINAL (WITH ORIGINAL SIGNATURES) and 3 copies, collated and stapled together of the following:</u>	<u>Submit ONE (1) Copy:</u>
Completed Grant Application Form (cover sheet, narrative), budget page and budget narrative (see sample) and sources of funding, financial analysis page	A copy of your current 501(c)(3) letter from the IRS
Your current operating budget and the previous year's actual expenses (see sample Budget Comparison)	A copy of your most recent year-end financial statements (audited if available; double-sided)
Part IX only of the 990 form, Statement of Functional Expenses (one page). If you completed a 990-EZ, fill out the attached Part IX, Functional Expenses of the 990 form using figures from your 990-EZ	A copy of your most recent 990 (double-sided)
For past grantees, a copy of your most recent final report.	A list of your Board members and their affiliations

Budget Comparison

	Actuals Most Recently Completed Year (Draft)	Budget Projections Current Year	Variance
	2017	2018	
Income			
Individual Contributions	854,583.41	835,000.00	(19,583.41)
Corporate Contributions	41,149.60	35,000.00	(6,149.60)
Foundation Grants	261,532.56	330,000.00	68,467.44
Government Contributions	103,142.37	55,000.00	(48,142.37)
Gifts In-Kind	129,221.50	123,613.00	(5,608.50)
Program Service Fees	11,325.52	7,400.00	(3,925.52)
Facility Rentals	97,964.50	116,000.00	18,035.50
Miscellaneous Income	3,013.66	-	(3,013.66)
Interest, Dividends, Gains/Losses	593.86	-	(593.86)
Quasi Endowment Release @ 4.5%	50,000.00	51,255.00	1,255.00
Special Event	519,154.47	510,000.00	(9,154.47)
Special Event In-kind Income	19,104.00	39,000.00	19,896.00
Total Income	2,090,785.45	2,102,268.00	11,482.60
Expenditures			
Personnel			
Salaries, Benefits and Taxes	1,340,870.53	1,383,399.52	42,529.00
Fees for Service	236,842.13	184,760.00	(52,082.13)
Total Personnel	1,577,712.60	1,568,159.50	(9,553.10)
General Program/Administrative			
Scholarships	26,000.00	25,000.00	(1,000.00)
Stipends	12,000.00	9,000.00	(3,000.00)
Special Events Expenses	103,842.46	90,000.00	(13,842.46)
Special Events In-Kind Expenses	19,104.00	39,000.00	19,896.00
Supplies and Materials	60,057.55	49,500.00	(10,557.55)
Food and Beverage	50,647.49	44,220.00	(6,427.49)
Telephone & Internet	32,930.76	31,000.00	(1,930.76)
Postage & Shipping	1,735.77	1,651.00	(84.77)
Fees and Licenses	8,379.50	8,933.00	553.50
Printing & Copying	186.16	275.00	88.84
Books & Publications	565.93	300.00	(265.93)
Diesel and Gasoline	3,347.82	5,465.00	2,117.18
Utilities	72,923.00	36,700.00	(36,223.00)
Space Rental	3,625.00	3,700.00	75.00
Travel & Transportation	29,341.95	28,070.00	(1,271.95)
Equipment Rental	13,693.86	14,300.00	606.14
Meetings & Conferences	19,026.75	16,800.00	(2,226.75)

Lodging	14,035.75	11,625.00	(2,410.75)
Insurance	75,744.36	76,200.00	455.64
Dues and Subscriptions	14,665.78	13,779.00	(886.78)
Bad Debt Donations	-	1,000.00	1,000.00
Miscellaneous Expense	(5,536.31)	-	5,536.31
Total General Program/Administrative	556,317.04	506,518.02	(49,799.02)
Total Expenditures	2,134,029.64	2,074,677.52	(59,352.12)
Revenue Less Expense	(43,244.19)	27,590.48	(15,653.71)

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

☒ X

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22	17,500.	17,500.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	107,260.	82,384.	10,223.	14,653.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	949,728.	729,463.	90,523.	129,742.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits	111,864.	85,920.	10,662.	15,282.
10 Payroll taxes	123,347.	94,740.	11,757.	16,850.
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting				
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	262,468.	234,148.	25,680.	2,640.
12 Advertising and promotion				
13 Office expenses	68,631.	58,742.	3,927.	5,962.
14 Information technology				
15 Royalties				
16 Occupancy	55,092.	35,000.	7,979.	12,113.
17 Travel	49,483.	36,663.	5,091.	7,729.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	33,763.	24,501.	3,678.	5,584.
20 Interest	79.		79.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	441,973.	410,593.	30,938.	442.
23 Insurance	75,197.	73,396.	715.	1,086.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a REPAIRS AND MAINTENANCE	107,317.	102,857.	1,771.	2,689.
b MEALS	44,350.	32,147.	4,846.	7,357.
c MISCELLANEOUS	31,131.	23,257.	3,128.	4,746.
d TELEPHONE	25,546.	23,174.	942.	1,430.
e All other expenses	20,428.	18,973.	578.	877.
25 Total functional expenses. Add lines 1 through 24e	2,525,157.	2,083,458.	212,517.	229,182.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

CALDERA

Board of Directors

Updated July 2017

<p>Cindy Campbell (Elected 2000; 3rd term ends 2018) <i>Co-Founder, Friends of the Children – Portland</i> 0841 SW Gaines St., Unit #2304, Portland, OR 97239 Phone: 503.869.0678 CynthiaCampbell28@gmail.com</p>	<p>Dave Chen (Elected 2010; 2nd term ends 2017) <i>Principal, Equilibrium Capital Group</i> 1331 NW Lovejoy St., Suite 850 Portland, OR 97209 Phone: 503.706.3504 chen@eq-cap.com</p>	<p>Karrelle Dixon (Elected 2013; 1st term ends 2016) <i>Director of Growth Markets, Wieden + Kennedy</i> 224 NW 13th Ave. Portland, OR 97202 Phone: (US) 971.678.2911 (UK) 011-44-7787-279007 Karrelle.Dixon@wk.com</p>
<p>Joy Fowler (Elected 2017; 1st term ends 2021) <i>VP, Diversity Program Manager Umpqua Bank</i> 1 SW Columbia St Portland, OR 97258 Phone: 503-515-3993 Jcf1908@gmail.com</p>	<p>Scott Howard (Elected 2017; 1st term ends 2021) <i>Founder Kivel & Howard</i> U.S. Bancorp Tower 111 SW Fifth, Suite 1900 Phone: 503-796-0909 showard@k-hlaw.com</p>	<p>John Jay (Elected 1996; 3rd term ends 2018) <i>President of Global Creative, Fast Retailing</i> 19 NW 5th Ave. Suite 200 Portland, OR 97209 Phone: 646.708.6144 john.jay@fastretailing.com</p>
<p>Keesha Jean-Baptiste (Elected 2017; 1st term ends 2021) <i>Director of Human Resources, Wieden+Kennedy</i> 224 NW 13th Ave, Portland, OR 97209 Phone: 503.937.7377 keesha.jean-baptiste@wk.com</p>	<p>Cristy Lanfri (Elected 2008; 2nd term ends 2016) <i>Arts, Education, & Environmental Advocate</i> 2880 NW Starview Drive Bend OR 97701 Phone: 541.480.9603 lanfri@bendcable.com</p>	<p>Wes Lawrence, Board Chair (Elected 2004; 2nd term ends 2016) <i>Business & Financial Consultant (former KeyBank Region President)</i> PMB 8150 / POB 8000 Black Butte Ranch, OR 97759 Phone: 503.970.1778 weslawrence77@yahoo.com</p>
<p>Barbara McDougall (Elected 2011; 2nd term ends 2017) <i>Children’s Advocate</i> 876 North Shore Road Lake Oswego, OR 97034 Phone: 503.780.6858 mcdougall.barbara@gmail.com</p>	<p>Rene Mitchell (Elected 2008; 2nd term ends 2016) <i>Founder Rene Mitchell Creative</i> 1000 Wall St. #201 Bend, OR 97701 Phone: 541.390.4025 reneasmitchell@gmail.com</p>	<p>Mary Normand, Secretary (Elected 1996; 3rd term ends 2018) <i>Executive Assistant Wieden+Kennedy</i> 224 NW 13th Avenue Portland, OR 97209 Phone: 503.937.7762 maryn@wk.com</p>
<p>Dan Wieden (Elected 1996; 3rd term ends 2018) <i>Chairman, Global Mgmt. Team, Wieden+Kennedy</i> 224 NW 13th Avenue Portland, OR 97209 Phone: 503.937.7786 dan.wieden@wk.com</p>	<p>Dennis Wilde (Elected 2004; 2nd term ends 2016) <i>Co-founder, Popeye’s Girlfriend (Olive Farm); Chief Sustainability Officer, Gerding Edlen</i> 3720 SW Bond Ave, #408 Portland, OR 97239 Phone: 503.720.0066 dennis.wilde@gerdingedlen.com</p>	<p>Bob Woodell (Elected 2013; 1st term ends 2016) <i>Co-founder, Rogue Brewery (formerly COO of Nike; Exec Dir, Port of Portland)</i> PO Box 966 Sisters, OR 97759 Phone: 503.680.8869 r.woodell@comcast.net</p>
<p><i>*Members serve 3-year terms, three consecutive terms maximum, then take one year off</i></p> <p><i>(terms adopted 7.7.11)</i></p>	<p>EMERITUS BOARD MEMBERS:</p>	
		<p>Myrlie Evers-Williams, (Elected 2009) <i>Founder, Medgar Evers Institute</i> 900 E Harrison Ave, Apt. A28-29 Pomona, CA 91767 Phone: 909. 621.0170 M.Everswilliams@gmail.com</p>

INTERNAL REVENUE SERVICE
P. O. BOX 2508
CINCINNATI, OH 45201

DEPARTMENT OF THE TREASURY

Date: **AUG 23 2005**

CALDERA
224 NW 13TH AVE STE 304
PORTLAND, OR 97209-2953

Employer Identification Number:
94-3235649
DLN:
17053085755085
Contact Person:
MS K WILMER ID# 52405
Contact Telephone Number:
(877) 829-5500
Public Charity Status:
170(b)(1)(A)(vi)

Dear Applicant:

Our letter dated June 3, 2001, stated you would be exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code, and you would be treated as a public charity, rather than as a private foundation, during an advance ruling period.

Based on the information you submitted, you are classified as a public charity under the Code section listed in the heading of this letter. Since your exempt status was not under consideration, you continue to be classified as an organization exempt from Federal income tax under section 501(c)(3) of the Code.

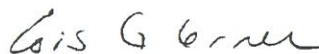
Publication 557, Tax-Exempt Status for Your Organization, provides detailed information about your rights and responsibilities as an exempt organization. You may request a copy by calling the toll-free number for forms, (800) 829-3676. Information is also available on our Internet Web Site at www.irs.gov.

If you have general questions about exempt organizations, please call our toll-free number shown in the heading between 8:30 a.m. - 5:30 p.m. Eastern time.

Please keep this letter in your permanent records.

We have sent a copy of this letter to your representative as indicated in your power of attorney.

Sincerely yours,



Lois G. Lerner
Director, Exempt Organizations
Rulings and Agreements

Letter 1050 (DO/CG)

BOARD OF DIRECTORS

Sean Varner
Chair of the Board

J. Sergio Bohon
Vice Chair of the Board

Pat Spafford, CPA
Chief Financial Officer

Dr. Paulette Brown-Hinds
Secretary of the Board

Rabbi Hillel Cohn

Paul Granillo

Stanley Grube

Dr. Fred Jandt

Andrew Jaramillo

Nefertiti Long

Kirtland Mahlum

Brian McDonald

Meredyth "Charlie" Meredith

Susan Ovitt

Teresa Rhyne

Kathleen Sawa

Philip Savage IV
Immediate Past Board Chair

Dr. Henry Shannon

Tamara Sipos

Beverly Stephenson

Randall Tagami

Diane Valenzuela

Paula Myles
Interim President and CEO

October 23, 2017

S. L. Gimbel Foundation Fund

Mr. Brian Detman
Executive Director
CALDERA
224 NW 13th Avenue, Suite 304
Portland, OR 97209

Dear Mr. Detman:

Congratulations! A grant has been approved for CALDERA in the amount of \$20,000.00 from the S.L. Gimbel Foundation. **The performance period for this grant is November 1, 2017 to November 30, 2018.** Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

Caldera Youth Mentoring Program

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, **please sign and date the agreement and return with original signature to The Community Foundation by Thursday, November 30, 2017.** Be sure to copy the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. **The Grant Evaluation is due by December 15, 2018** and will be available online at:

<https://www.thecommunityfoundation.net/grants/grants/forms>.

We wish you great success and look forward to working with you during the grant performance period. If you have any questions, please feel free to contact me at 951-241-7777.

Sincerely,



Celia Cudiamat
Executive Vice President of Programs

23695 CALDERA

20170702 GIMB





Strengthening Inland Southern California through Philanthropy

3700 Sixth Street, Suite 200

Riverside, CA 92501

P: 951-684-4194

F: 951-684-1911

www.thecommunityfoundation.net

**S. L. Gimbel Foundation Fund
Grant Agreement**

Organization: CALDERA

Grant Amount: \$20,000.00

Grant Number: 20170702

Grant Period: November 1, 2017 to November 30, 2018 (Evaluation Due: December 15, 2018)

Purpose: Caldera Youth Mentoring Program

1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

Grant funds will not be expended for any political or lobbying activity or for any purpose other than one specified in section 170(c)(2)(b) of the Code.

2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its legal or tax-exempt status, and shall notify the Foundation immediately of any such change.

4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request, for the purpose of conducting financial audits, making verifications, and investigations as deemed necessary concerning the grant.

6. Publicity

The Community Foundation appreciates publicity for the grant in all relevant published materials, such as brochures, newsletters and annual reports. The credit line of "Made possible in part by a grant from **"The Community Foundation, Strengthening Inland Southern California through Philanthropy"** is suggested. The Grantee will allow the Foundation to review and approve the content of any proposed publicity concerning the grant prior to its release, upon request. When your donors are listed in printed materials, include the Foundation in the appropriate contribution size category. Sending a brief press release to your local paper is appreciated. Please email Charee Gillins, our Marketing & Communications Officer, at cgillins@thecommunityfoundation.net with copies of any printed or publicity materials that highlight the grant. When publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching a logo is also appreciated. Our logo can be downloaded on our website at www.thecommunityfoundation.net.

Grantee agrees to allow the Foundation to include information about this grant in the Foundation's periodic public report, newsletter, news releases, social media postings, and on the Foundation's website. This includes the amount and purpose of the grant, any photographs you have provided, your logo or trademark, and other information and materials about your organization and its activities.

7. Indemnification

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission by Grantee, its employees, or agents in applying for or accepting the Grant, in expending or applying the Grant funds or in carrying out any project or program supported by the Grant, except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

8. Termination

The Community Foundation may terminate this agreement, modify or withhold payments under this grant award, require a total or partial refund of any grant funds, or all at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement; d) the Grantee fails to comply with the requirements of any law or regulation applicable to you, the Foundation, or this grant.

9. Limitation of Support

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

I have read and agree to the terms and conditions of the Grant Agreement.

Signature

Brian Defman

Printed Name

Organization: CALDERA

Grant Number: 20170702

Date

Title

Executive Director

11/8/17
11/13/17
11/13/17



Strengthening Inland Southern California through Philanthropy

BOARD OF DIRECTORS

November 21, 2017

S. L. Gimbel Foundation Fund

Sean Varner
Chair of the Board

J. Sergio Bohon
Vice Chair of the Board

Pat Spafford, CPA
Chief Financial Officer

Dr. Paulette Brown-Hinds
Secretary of the Board

Rabbi Hillel Cohn

Paul Granillo

Stanley Grube

Dr. Fred Jandt

Andrew Jaramillo

Nefertiti Long

Kirtland Mahlum

Brian McDonald

Meredyth "Charlie" Meredith

Susan Ovitt

Teresa Rhyne

Kathleen Sawa

Philip Savage IV
Immediate Past Board Chair

Dr. Henry Shannon

Tamara Sipos

Beverly Stephenson

Randall Tagami

Diane Valenzuela

Paula Myles
Interim President and CEO

Mr. Brian Detman
Executive Director
CALDERA
224 NW 13th Avenue, Suite 304
Portland, OR 97209

Dear Mr. Detman:

The Community Foundation is pleased to enclose a grant check for \$20,000 from the S. L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the grant check, you are agreeing to the conditions stated under the *Terms of Grant* which you have signed and returned. **The completed Grant Evaluation form is due by December 15, 2018** and will be available online on The Community Foundations website under: <https://www.thecommunityfoundation.net/grants/grants/forms>.

Please note that any grant variances or extensions must be requested in writing and in advance. Any remaining grant funds must be returned to The Community Foundation at the end of the grant period.

We greatly appreciate any help you can give us in publicizing the grant. Please use the following credit in any grant announcements or materials funded by the grant: ***"CALDERA is supported by a grant from The S. L. Gimbel Foundation."*** You may send us copies of articles printed in local papers, stories in your agency newsletter, annual report, press releases, and other publications for our files.

Please feel free to contact me at 951-241-7777 should you have any questions.

Sincerely,

Celia Cudiamat
Executive Vice President of Programs

20170702

43285

GIMB-3



The Community Foundation
Strengthening Inland Southern California through Philanthropy
3700 SIXTH STREET, SUITE 200
RIVERSIDE, CA 92501
951-241-7777 / FAX 951-684-1911

CITIZENS BUSINESS BANK
A Financial Services Company
3696 Main Street, Riverside, CA 92501
90-3414/1222

Check Fraud
Protection for Business

43285

PAY * Twenty Thousand and no/100 *

TO THE
ORDER OF

DATE

11/16/2017

AMOUNT

\$ ****20,000.00

CALDERA
224 NW 13th Avenue, Suite 304
Portland, OR 97209

[Signature]
AUTHORIZED SIGNATURE

⑈043285⑈ ⑆122234149⑆ 244124437⑈

The Community Foundation

23695 CALDERA

11/16/2017 043285

43285

20170702 11/13/2017 Caldera Youth Mentoring Program
GIMB S.L. Gimbel Foundation Advised Fund

20,000.00
20,000.00

CHECK TOTAL: \$ ****20,000.00

The Community Foundation

23695 CALDERA

11/16/2017 043285

43285

20170702 11/13/2017 Caldera Youth Mentoring Program
GIMB S.L. Gimbel Foundation Advised Fund

20,000.00
20,000.00

CHECK TOTAL: \$ ****20,000.00