

Revised June 2017

Organization / Agency Information

\$35,000

Organization/Agency Name: National Audubon Society Audubon California/Audubon Starr Ranch Sanctuary		
Physical Address: 100 Bell Canyon Road	City/State/Zip Trabuco Canyon, CA 92679	
Mailing Address: Same as above	City/State/Zip	
CEO or Director: Meghan Hertel	Title: Interim State Co-Director , Audubon California	
Phone: 415-644-4600	Fax:	Email: bmccormack@audubon.org
Contact Person: Sandy DeSimone Title: Director of Research and Education, Starr Ranch		
Phone: 949-858-0309	Fax: 949-858-1013	Email: sdesimone@audubon.org
Web Site Address: http://www.starranch.org		Tax ID: 13-1624102

Program / Grant Information

Interest Area: ☐ Animal Protection ☐ Education ☒ Environment ☐ Health ☐ Human Dignity

Program/Project Name: Conserving Riparian and Oak Woodlands at Audubon Starr Ranch Sanctuary			Amount of Grant Requested: \$35,000
Total Organization Budget: \$7,698,843 *Audubon California	Per 990, Percentage of Program Service Expenses (Column B/ Column A x 100): 81.8% *National Audubon Society	Per 990, Percentage of Management & General Expenses Only (Column C/ Column A x 100): 4.2% *National Audubon Society	Per 990, Percentage of Management & General Expenses and Fundraising (Column C+D/ Column A x 100): 14% *National Audubon Society
Purpose of Grant Request (one sentence): The purpose of the project is to conserve oak and riparian woodlands that are threatened by drought, pest and disease on the 4,000 acre Audubon Starr Ranch Sanctuary through monitoring, applied research, and habitat restoration activities.			
Program Start Date (Month and Year): July 1, 2017 Program End Date (Month and Year): June 30, 2018			
Gimbel Grants Received: List Year(s) and Award Amount(s) June 1, 2012 – May 31, 2013: \$20,000 February 1, 2014 – January 31, 2015: \$25,000 June 1, 2015 – May 31, 2016: \$25,000			

Signatures

Board President / Chair: (Print name and Title) Allison Harvey Turner, Board President Audubon California	Signature:	Date:
Executive Director/President: (Print name and Title) Meghan Hertel, Interim State Co-Director	Signature: <i>Meghan Hertel</i>	Date: 6/14/17

2017 S.L. Gimbel Foundation Fund APPLICATION

Narrative

I. Organization Background

A) History, mission and/or purpose of your organization

Located in Orange County bordering the Santa Ana Mountains, the Audubon Starr Ranch Sanctuary preserves 4,000 acres of oak and riparian woodland, coastal sage scrub, chaparral, and grassland. It has been operated since 1973 by Audubon California, the state field program of the National Audubon Society. Starr Ranch's mission is to offer innovative approaches to land management and environmental education that will influence the way Southern Californians appreciate, conserve, and manage wildlands, instilling a love of nature, involving people of all ages in wildlife research, and providing a model of sustainable land management. At Starr Ranch, we invest in and lead place-based conservation that benefits the area we serve by developing cutting-edge new habitat restoration and community engagement techniques that can serve as a model for other preserves in Southern California.

B) Past organizational accomplishments (last three years)

Habitat restoration is a long-term commitment for Starr Ranch and our goal is to restore 1,600 acres (40% of the ranch) by 2020. To date, 700 acres have been restored thanks in part to past support from the S.L. Gimbel Foundation. We have also completed projects to build our resilience to ecological change including adding a new sustainable source of water for irrigating new plantings – a rain catchment tank, full now thanks to this winter's rains! – and reduced the risk of wildland fire by replanting areas along our main access road with fire-resistant native cactus.

Our research-based approach to wildland conservation has been recognized as a model for other land managers and has received awards from the U.S. Fish and Wildlife Service and the California Invasive Plant Council. The expertise of Research and Education Director Sandy DeSimone is sought after by many professional groups to share findings from Starr's innovative work. Some of what we have piloted here has been adopted at other preserves, such as our early research into how to implement "climate-smart" land management or initiating a new kind of monitoring called "phenological" or seasonal monitoring, which looks at how plants and wildlife are responding to current climatic changes, with monitoring done by community scientists (i.e., volunteers). In addition, work to establish and test new protocols to monitor upland coast live oak woodlands has helped Orange County get a better handle on the emerging tree dieback and pest issue plaguing our Southern California forests.

C) Key programs and activities and communities you serve.

Three key program areas help us achieve our mission: vibrant youth, family and adult education programs; ecological research and community science work; and habitat restoration. This programming is unique in the region in that it integrates research into community-based restoration efforts. Both the restoration and education programs offer opportunities to work alongside Starr Ranch's scientists, helping to tackling real-world research questions. Last year we served 4,000 visitors, mostly from Orange and northern San Diego counties. Our popular habitat restoration program – Weed Warriors – attracted 800 people to restore areas along Bell Creek, and close to 200 community members helped with research and monitoring projects. Approximately 2,200 students in grades K-12 came for educational programming. We are especially proud that many youth participate in our programs over several years – and we are now seeing high school graduates go on to college

programs in science. One parent shared with us that: *“Starr Ranch’s amazing educational program has been a primary influence in my son’s life. He says he likes Starr Ranch best because of its relevance to real life. There is nothing else like it out there.”*

While the heavily-urbanized area around Starr Ranch is predominately Caucasian and relatively affluent, we have been able to attract more diverse high school and college students for service-learning opportunities and have engaged disabled adults in hands-on habitat restoration projects.

II. Project Information:

A) Statement of Need

Starr Ranch is home to an extraordinary concentration and diversity of species, in a region recognized as a global conservation priority. Many of the spectacular native habitats in Southern California, like coastal sage scrub, for example, are found nowhere else in the world. Once the dominant ecosystem in our region, it is now one of the most threatened habitat types in the world and the most endangered habitat type in the United States. Yet it supports several animal species unique to California, including the federally-listed threatened Coastal California Gnatcatcher, a songbird. Starr Ranch also protects 660 acres of riparian and coastal live oak woodlands that provide habitat to many wildlife species, but are increasingly threatened by drought, pests and diseases.

Stressed by five years of drought, trees in Southern California are increasingly dying along with an invasion of tree pests and diseases. Climate change researchers predict we will see extended periods of drought punctuated by brief, but intense, rainfall events. This certainly has been the case this year! During one recent storm, the waters of Bell Creek rose so high that our seasonal staff of eight had to be evacuated for safety. However, over the past five years the drought caused high numbers of dead and dying oak trees all over the Ranch and tree pests and diseases are being seen all over the region.

Tree boring insects are decimating oaks and sycamores across the Southern California, which are the two dominant tree species on Starr Ranch. These insects attack both healthy and drought-stressed trees alike, but do the most damage to the latter. Although these pests and other diseases have not yet been observed at Starr, they have been detected within 2.5 miles of the Ranch, so it is only a matter of time before we see them here. Typically tree pests and diseases impact riparian woodlands first, areas that are home to the widest range of wildlife species in addition to supporting clean air and water.

While we don’t yet know the true impact of this ecological threat, we have seen that long-term bird banding data collected in Starr Ranch’s woodlands indicate a steady decline in species captured since 2013, in what was the second season of drought. Many species absent from the Ranch during this period including the Lazuli Bunting, Hooded Oriole, and Warbling Vireo – many of which previously depended on our woodlands for a portion of their lifecycles. While some land managers are rushing to respond to the massive tree die-offs with extensive tree removal programs in an effort to reduce hazards to human and reduce risk of wildfire, tree removal and disposal to destroy pests is expensive (at more than \$1,000 per tree) and birds especially rely on dead and dying trees for nesting and foraging, so forest management planning needs to take into account multiple costs and benefits.

B) Project Description

Our proposed project aligns with S.L. Gimbel Foundation’s priority program area to promote environmental protection and enhancement. It addresses community needs to protect Southern California woodlands by contributing data on the conditions in riparian and oak woodlands on the Ranch, piloting restoration efforts of oak woodlands, and sharing findings with other regional land

managers and conservancies. Grant funds will support Starr Ranch's Research and Education Director who will oversee the project, working with two seasonal interns and a seasonal ornithologist who join Starr Ranch's research staff to get their first professional experience in land management after completing college. In addition to their wages, seasonal interns also receive free accommodation, living in historic, original ranch structures built in the 1930s.

C) Project Goal, Objectives, Activities and Expected Outcomes

Goal: Conserve Audubon Starr Ranch Sanctuary's 660 acres of native oak and riparian woodlands in Orange County, California, which are threatened by tree pests and diseases that are spreading in response to climatic changes, especially drought.

Objective: Assess conditions of 60 acres of coast live oak woodlands and 232 acres of riparian woodlands, monitor for presence of tree pests and diseases, and advance oak woodland restoration.

Activities:

- Assess conditions within 60 acres of coast live oak woodlands on Starr Ranch and correlate with survey data about bird population and distribution to understand how woodland conditions are impacting wildlife.
- Monitor 232 acres of riparian woodlands along the five-mile long riparian corridor, Bell Creek, to watch for signs of tree pests and disease. If trees affected by pests and diseases are found, then we will record additional data on affected tree location and conditions as well as gather insect samples to send to researchers at the University of California at Riverside.
- Devise and implement protocols and techniques for collecting, storing, and planting acorns and collecting oak seedlings for transplanting and for monitoring the health of plantings.
- Share monitoring data with other Southern California land managers, including the SoCal Emerging Tree Pests Working and the Natural Resources/Urban Forestry Shot Hole Borer Coalition of Southern California.

Outcome: We expect to complete assessments of all 60 acres of oak woodlands and 232 riparian woodlands, with correlated wildlife surveys for the 60 upland acres. We expect to plant between 50 – 100 oak acorns and 25 – 50 seedlings to test oak restoration techniques, hoping to establish at least 50 new oak seedlings from acorns and at least 25 seedlings from transplants.

Evaluation: By using Geographic Information System (GIS) technology and a database, we will track number of woodland acres assessed and monitored. Quantitative data to be collected from the 60 acres of oak woodlands includes tree height and diameter, canopy fullness, understory composition, numbers of seedlings and juveniles, tree health, along with bird data. If affected trees are found, we will additionally produce a map of diseased trees with photomonitoring information. We will track the number of acorns collected and seedlings transplanted and use the data to refine restoration protocols. Monitoring data and a report of findings will be shared with Southern California land managers participating in regional and county tree pest working groups to increase understanding of this ecological crisis. These data can also help inform forestry practices that may benefit or harm birds, such as logging or leaving snag trees important to cavity nesting and foraging species.

D) Timeline

The start date of the project is July 1, 2017 for a 12-month period. Seasonal interns are on staff for eight months of the year, between October – May and will complete the woodland monitoring work between October – March, coordinating with bird surveys that will be conducted during the breeding season roughly between March – May. Collecting acorns and transplanting native oak seedlings will occur over the winter months between November and March. All evaluation work is completed by June 30, 2018.

E) Target Population

Starr Ranch annually serves 2,000 - 4,000 visitors through environmental education, community science, and robust volunteer programs. Starr Ranch's habitat restoration work benefits Orange County and Southern California by protecting natural resources and contributing to innovative and future-oriented land management techniques. This grant will help employ a seasonal ornithologist and two seasonal interns – all recent college graduates seeking their first professional experience in land management. Their work may engage community science volunteers, which number between 150 – 200 people depending on projects underway.

F) Projects in the Community

Starr Ranch shares monitoring data and research findings with scientists and land managers through conferences, publications, and professional meetings and contributes data to state, national and international databases. Community partners working on tree die-off include land managers and agency representatives to two tree pest working groups (one for Orange County, one for Southern California). We work closely with insect and disease researchers at UC Riverside and are hosting the annual meeting of the SoCal Emerging Tree Pest Working Group at Starr Ranch next month. We also hosted talks by experts on the topic and invited leaders of the Homeowner Associations for the communities abutting Starr Ranch earlier this year. For this project, we will engage two seasonal interns, recent college graduates, who are getting their first professional experience in land management and conservation. Overall, Starr Ranch engages 500 - 1,000 volunteers each year for habitat restoration and community science projects.

G) Use of Grant Funds

Grant funds will be used to support the salary and personnel costs of the Research and Education Director, the seasonal ornithologist, and two seasonal interns.

III. Project Future

A) Sustainability

Starr Ranch is supported by a restricted endowment, and receives donor support through several annual appeals and a growing major gift program. We have long term restoration grants from the National Resources Conservation Service and the South Orange County Integrated Regional Water Management Program. Habitat restoration and innovative land management are a long-term commitments for Starr Ranch.

IV. Governance, Executive Leadership and Key Personnel/Staff Qualifications

A) Governance

Audubon Starr Ranch Sanctuary is managed by Audubon California, the state field program of the National Audubon Society. With the expertise and infrastructure support of the national and state organizations, Starr Ranch is able to focus on restoring and conserving this 4,000 acre sanctuary and serving local community needs. Funds generously given in support of the Audubon Starr Ranch Sanctuary are restricted to use by Starr Ranch to meet local needs. Audubon California is governed by an Advisory Board of Directors. The Board helps direct the work of the state field program and to ensure its financial sustainability. Standing committees include executive, finance, development, chapters, marketing and communications, nominating and governance, and conservation. The Board meets four times a year, and decisions require a quorum and majority vote.

B) Management

Dr. Sandra DeSimone, Director of Research and Education, will lead the project. She received a Ph.D. in Ecology from University of California, Davis, and a degree in Education from Indiana University, Bloomington. We anticipate hiring a seasonal ornithologist and two seasonal intern for this and other research and restoration projects on Starr Ranch.

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Provide a detailed line-item budget for your project by completing the table below.

A breakdown of specific line item requests and attendant costs should include:

- 1) Line item requests for materials, supplies, equipment and others:
 - a. Identify and list the type of materials, supplies, equipment, etc.
 - b. Specify the unit cost, number of units, and total cost
 - c. Use a formula/equation as applicable. (i.e. 40 books @ \$100 each = \$4000)
- 2) Line item requests for staff, compensation, benefits:
 - a. Identify the position; for each position request, specify the hourly rate and the number of hours (i.e. \$20/hr x 20 hours/week x 20 weeks = \$8,000)
 - b. For benefits, provide the formula and calculation (i.e. \$8,000 x 25% = \$2,000)

V. Project Budget and Narrative

A) Budget Table

Line Item Request	Line Item Explanation	Support From Your Agency	Support From Other Funders	Requested Amount From Gimbel/TCF	Line Item Total of Project
Director of Research and Education	\$35/hour x 10 hours /week x 52 weeks = \$17,680	\$8,180	\$0	\$9,500	\$17,680
Seasonal Interns (2 interns)	\$15/hour x 20 hours /week x 36 weeks x 2 interns = \$21,600	\$2,340	\$0	\$19,260	\$21,600
Seasonal Ornithologist	\$15/hour x 6 hours/week x 36 weeks = \$3,240	\$0	\$0	\$3,240	\$3,240
Benefits	For full-time employees - \$17,280 x 33% = \$5,834 + for seasonal employees - \$24,840 x 18% = \$4,471, for a total of \$10,305	\$7,305	\$0	\$3,000	\$10,305
Overhead & Occupancy	Calculated @ 40% at the rate of full-time, year-round staff salary of \$17,680 = \$7,072	\$7,072	\$0	\$0	\$7,072
TOTALS:		\$24,897	\$0	\$35,000	\$59,897

B) Narrative:

Starr Ranch requests a \$35,000 grant in support of our woodlands monitoring and restoration project costing **\$59,897** with a **\$24,897** contribution of agency funds. **The project budget has been reallocated per guidance from the Fund, specifically reallocating overhead and occupancy figures. Numbers in red show changes from the original project budget.** Funds will support research staff and interns who will carry out the project as follows:

- Director of Research and Education Dr. Sandy DeSimone oversees the work of the seasonal employees in monitoring, research and education and is a full-time, year-round employee of Audubon. She is also responsible for sharing research and monitoring findings with other land management agencies, such as through the SoCal Emerging Pests Committee. She **is paid at the rate of \$35/hour and will spend 10 hours a week over 52 weeks on the project. No change in allocation.**
- Seasonal interns – two seasonal interns will carry out the project, paid at the rate of \$15/hour for 20 hours a week over 36 weeks. **We have allocated slightly more of their time to the fund request.**
- Seasonal ornithologist – a seasonal ornithologist will be responsible for completing bird population surveys in woodland areas to correlate with woodland health and conditions. This position paid at the rate of \$15/hour and is estimated to devote 6 hours a week over 36 weeks. **We have allocated slightly more of this staff persons' time to the fund request.**
- Benefits include cost paid to full-time, year-round employees, estimated at 33% of salary costs. **Not included in the original proposal are the costs of payroll taxes paid on seasonal employee salaries, estimated at 18%, which adds \$4,471 to overall project costs. No change in allocation in the grant budget.**
- Overhead and occupancy includes finance, legal, human resources, insurance, IT services, rent, utilities and telephone, estimated at 40% of total salaries. **We are not including the cost of overhead and occupancy in our request of support to the S.L. Gimbel Fund.**

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VI. Sources of Funding: Please list your current sources of funding and amounts.

These sources of funding are for Audubon Starr Ranch Sanctuary's operating expenses for the complete program offering. However, these do not support the proposed project. General support funding is being currently used to help support this project.

Secured/Awarded

Name of Funder: Foundation, Corporation, Government	Amount
Natural Resources Conservation Service	\$253,000
Orange County Transportation Authority	\$220,000
Wells Fargo Foundation	\$10,000
Boeing Employees Community Fund	\$5,000
San Diego Gas & Electric – Environmental Champions	\$5,000

Pending

Name of Funder: Foundation, Corporation, Government	Amount	Decision Date
Orange County Community Foundation	\$5,000	Spring 2017
Western Digital	\$15,000	Spring 2017

Note: both grants have been awarded since submitting our proposal.

Diversity of Funding Sources: A financially healthy organization should have a diverse mix of funding sources. Complete those categories that apply to your organization using figures from your most recent fiscal year.

Funding Source	Amount	% of Total Revenue	Funding Source	Amount	% of Total Revenue
Contributions	\$68,293,675	69%	Program Fees	\$6,393,290	6%
Fundraising/Special Events	\$3,035,433	3%	Interest Income	\$6,712,116	7%
Corp/Foundation Grants			Other: Royalties, rents and sales	\$3,104,367	3%
Government Grants	\$9,224,008	9%	Other: Misc	\$1,624,121	1%

Notes: *This diversity of funding sources list is based on National Audubon Society. Audubon California is the state field office of National Audubon, and manages the Audubon Starr Ranch Sanctuary. Contributions include individual giving as well as foundation and corporate grants. Other miscellaneous income includes advertising, list rental, news ads, etc.*

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VII. Financial Analysis

Agency Name: National Audubon Society

Most Current Fiscal Year (Dates): From July 1, 2015 To: June 30, 2016

This section presents an overview of an applicant organization's financial health and will be reviewed along with the grant proposal. Provide all the information requested on your **entire organization**. Include any notes that may explain any extraordinary circumstances. Information should be taken from your most recent 990 and audit. **Double check your figures!**

Form 990, Part IX: Statement of Functional Expenses

1) Transfer the totals for each of the columns, Line 25- Total functional expenses (page 10)

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
\$96,905,279	\$79,328,981	\$4,104,432	\$13,471,866

2) Calculate the percentages of Columns B, C, and D, over A (per totals above)

- Program services (B) – A general rule is that at least 75% of total expenses should be used to support programs
- Management & general administration (C) – A general rule is that no more than 15% of total expenses should be used for management & general expenses
- Fundraising (D) – A general rule is that no more than 10% of total expenses should be used for fundraising

(A) Total Expenses	(B) Program service expenses	(C) Management & general expenses	(D) Fundraising expenses
	Columns B / A x 100	Columns C / A x 100	Columns D / A x 100
Must equal 100%	81.8%	4.2%	14%

3) Calculate the difference between your CURRENT year budget for management & general expenses and your previous management & general expenses per your 990 (Column C)

Percentage of Organization's Current Total Budget used for Administration – <small>*Using NAS' June 30, 2016 990 Form</small>	Column C, Management & general expenses per 990 above <small>*Using NAS' June 30, 2015 990 Form</small>	Differential
4.2 %	6.9 %	2.7%

If the differential is above (+) or below (-) 10%, provide an explanation:

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Quick Ratio: Measures the level of liquidity and measures only current assets that can be quickly turned to cash. A generally standard Quick Ratio equals 1 or more.

Cash	+ Accounts Receivables	/Current Liabilities	= Quick Ratio
\$50,807,038	\$ 8,145,961	40,649,397	1.45

Excess or Deficit for the Year:

Excess or (Deficit) Most recent fiscal year end	Excess or (Deficit) Prior fiscal year end
\$ 5,177,621	\$9,174,960

Notes:

The excess or (deficit) is calculated on changes to net assets from operating activities as reported in the Financial Audit for National Audubon Society.

VIII. Application submission check list:

	<u>Submit FOUR (4) Copies: 1 ORIGINAL (WITH ORIGINAL SIGNATURES) and 3 copies, collated and stapled together of the following:</u>		<u>Submit ONE (1) Copy:</u>
X	Completed Grant Application Form (cover sheet, narrative), budget page and budget narrative (see sample) and sources of funding, financial analysis page	X	A copy of your current 501(c)(3) letter from the IRS
X	Your current operating budget and the previous year's actual expenses (see sample Budget Comparison)	X	A copy of your most recent year-end financial statements (audited if available; double-sided)
X	Part IX only of the 990 form, Statement of Functional Expenses (one page). If you completed a 990-EZ, fill out the attached Part IX, Functional Expenses of the 990 form using figures from your 990-EZ	X	A copy of your most recent 990 (double-sided)
X	For past grantees, a copy of your most recent final report.	X	A list of your Board members and their affiliations

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	2,608,642.	2,608,642.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	8,163.	8,163.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	716,679.	716,679.		
4 Benefits paid to or for members	0.			
5 Compensation of current officers, directors, trustees, and key employees	2,038,218.	1,409,331.	483,794.	145,093.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.			
7 Other salaries and wages	37,205,232.	31,520,726.	685,952.	4,998,554.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	2,837,550.	2,381,052.	84,580.	371,918.
9 Other employee benefits	4,232,420.	3,551,518.	126,158.	554,744.
10 Payroll taxes	3,011,400.	2,526,933.	89,762.	394,705.
11 Fees for services (non-employees):				
a Management	0.			
b Legal	205,235.	90,888.	36,267.	78,080.
c Accounting	146,175.		146,175.	
d Lobbying	123,341.	123,341.		
e Professional fundraising services. See Part IV, line 17.	1,570,147.			1,570,147.
f Investment management fees	578,584.		578,584.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	9,346,259.	9,148,985.		197,274.
12 Advertising and promotion	732,126.	520,799.		211,327.
13 Office expenses	13,279,797.	10,102,645.	109,611.	3,067,541.
14 Information technology	867,379.	669,945.	146,386.	51,048.
15 Royalties	183,388.	183,388.		
16 Occupancy	3,642,973.	3,090,792.	345,142.	207,039.
17 Travel	2,699,884.	2,376,720.	77,711.	245,453.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
19 Conferences, conventions, and meetings	816,164.	508,757.	70,854.	236,553.
20 Interest	0.			
21 Payments to affiliates	0.			
22 Depreciation, depletion, and amortization	3,212,530.	2,786,357.	425,783.	390.
23 Insurance	1,485,545.	1,266,362.	117,119.	102,064.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a MEMBERSHIP FULFILLMENT	1,050,688.	666,304.	55,290.	329,094.
b SERVICE BUREAUS	1,484,261.	1,077,225.	182,880.	224,156.
c MAGAZINE PRINTING	618,788.	614,192.		4,596.
d COMMISSIONS	251,936.	203,118.		48,818.
e All other expenses	1,951,775.	1,176,119.	342,384.	433,272.
25 Total functional expenses. Add lines 1 through 24e	96,905,279.	79,328,981.	4,104,432.	13,471,866.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720)	9,325,000.	6,184,000.		3,141,000.



Audubon CALIFORNIA

220 Montgomery St, Suite 1000
San Francisco, CA 94104-3443
Tel: 415-644-4600
ca.audubon.org

August 2, 2017

Celia Cudiamat, Executive VP of Programs
S. L. Gimbel Foundation Fund of
The Community Foundation
3700 Sixth Street, Suite 200
Riverside, CA 92501

Dear Celia,

On behalf of the Board of Directors of Audubon California, thank you for the S. L. Gimbel Foundation Fund of the Community Foundation's generous grant of \$35,000 in support of efforts to conserve oak and riparian woodlands threatened by drought, pest and disease at Audubon's Starr Ranch Sanctuary.

Attached is the signed grant agreement, as you requested. We are deeply grateful for the S. L. Gimbel Foundation Fund's continued partnership and look forward to sharing our success with you over the next year.

Most sincerely,

Meghan Hertel
Interim State Co-Director

Encl.



Strengthening Inland Southern California through Philanthropy

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July 21, 2017

S. L. Gimbel Foundation Fund

Ms. Meghan Hertel
Interim State Co-Director, Audubon California
National Audubon Society
Audubon California
100 Bell Canyon Rd.
Trabuco Canyon, CA 92679

Dear Ms. Hertel:

Congratulations! A grant has been approved for **National Audubon Society** in the amount of **\$35,000.00** from the S.L. Gimbel Foundation. **The performance period for this grant is August 1, 2017 to July 31, 2018.** Additional funding beyond the performance period is not guaranteed. It is highly recommended that alternative funding sources be sought accordingly. The grant is to support the following as specified in your proposal:

Conserve oak and riparian woodlands threatened by drought, pest, and disease

This grant is subject to the terms outlined in the enclosed Grant Agreement. After you have reviewed the terms and conditions of the Grant Agreement, **please sign and date the agreement and return with original signature to The Community Foundation by Friday, August 25, 2017.** Be sure copy the signed agreement for your records. Funds will be released upon receipt of the signed Grant Agreement.

A condition of this grant is that you agree to submit the Grant Evaluation Form which includes a narrative report and fiscal report. **The Grant Evaluation is due by August 15, 2018** and a copy will be available online.

We wish you great success and look forward to working with you during the grant performance period. If you have any questions, please feel free to contact me at 951-241-7777.

Sincerely,

Celia Cudiamat
Executive Vice President of Programs

18770 National Audubon Society

20170380 GIMB





Strengthening Inland Southern California through Philanthropy

3700 Sixth Street, Suite 200
Riverside, CA 92501
P: 951-684-4194
F: 951-684-1911

www.thecommunityfoundation.net

**S. L. Gimbel Foundation Fund
Grant Agreement**

Organization: National Audubon Society
Grant Amount: \$35,000.00 Grant Number: 20170380
Grant Period: August 1, 2017 to July 31, 2018 (Evaluation Due: August 15, 2018)
Purpose: Conserve oak and riparian woodlands threatened by drought, pest, and disease

1. Use of Grant Funds

Grant funds must be expended within the grant period, for the purpose and objectives described in your grant proposal. Grant funds may not be expended for any other purpose without prior written approval by The Community Foundation. If there are significant difficulties in making use of the funds as specified in your proposal, or if the grant funds cannot be spent within the grant period, notify us in writing promptly.

Formal requests for extensions or variances must be submitted to the Foundation's Board of Directors for approval a minimum of 60 days before the end of the grant period.

Requests for variances or extensions are reviewed on a case-by-case basis and approved by the Board of Directors. If a request is denied, unused funds must be immediately refunded to the Foundation.

Grant funds will not be expended for any political or lobbying activity or for any purpose other than one specified in section 170(c)(2)(b) of the Code.

2. Payment of Grant Funds

The grant funds will be paid in full by the Foundation upon receipt of the signed Grant Agreement. Challenge grant funds will be paid in full upon receipt of the signed Grant Agreement and upon receipt of documentation providing evidence that condition(s) of the challenge grant has/have been met.

3. Certification and Maintenance of Exempt Organization Status

This grant is specifically conditioned upon Grantee's status as an eligible grantee of The Community Foundation. The Foundation has obtained a copy of the Grantee's IRS determination letter. Grantee confirms that it has not had any change in its legal or tax-exempt status, and shall notify the Foundation immediately of any such change.

4. Final Report and Records

The Grantee will submit the Grant Evaluation report per the deadline set forth in the award letter. This report includes a narrative on outcomes based on goals and objectives set forth in the grant proposal and an expenditure report documenting use of grant funds. If equipment was purchased, copies of receipts need to be included.

5. Grantee's Financial Responsibilities

Grantee will keep records of receipts and expenditures of grant funds and other supporting documentation related to the grant at least four (4) years after completion of the grant and will make such records of receipts, expenditures and supporting documentation available to the Foundation upon request, for the purpose of conducting financial audits, making verifications, and investigations as deemed necessary concerning the grant.

6. Publicity

The Community Foundation appreciates publicity for the grant in all relevant published materials, such as brochures, newsletters and annual reports. The credit line of "Made possible in part by a grant from **The Community Foundation, Strengthening Inland Southern California through Philanthropy**" is suggested. The Grantee will allow the Foundation to review and approve the content of any proposed publicity concerning the grant prior to its release, upon request. When your donors are listed in printed materials, include the Foundation in the appropriate contribution size category. Sending a brief press release to your local paper is appreciated. Please email Charee Gillens, our Marketing & Communications Officer, at cgillens@thecommunityfoundation.net with copies of any printed or publicity materials that highlight the grant. When publishing our name, please note the "The" at the beginning of our name is a legal part of our name. It should always be used and capitalized. Attaching a logo is also appreciated. Our logo can be downloaded on our website at www.thecommunityfoundation.net.

Grantee agrees to allow the Foundation to include information about this grant in the Foundation's periodic public report, newsletter, news releases, social media postings, and on the Foundation's website. This includes the amount and purpose of the grant, any photographs you have provided, your logo or trademark, and other information and materials about your organization and its activities.

7. Indemnification

In the event that a claim of any kind is asserted against the Grantee or the Foundation related to or arising from the project funded by the Grant and a proceeding is brought against the Foundation by reason of such claim, the Grantee, upon written notice from the Foundation, shall, at the Grantee's expense, resist or defend such action or proceeding, at no cost to the Foundation, by counsel approved by the Foundation in writing.

Grantee hereby agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Foundation, its offices, directors, employees, and agents, from and against any and all claims, liabilities, losses, and expenses (including reasonable attorneys' fees) directly, indirectly, wholly, or partially arising from or in connection with any act or omission by Grantee, its employees, or agents in applying for or accepting the Grant, in expending or applying the Grant funds or in carrying out any project or program supported by the Grant, except to the extent that such claims, liabilities, losses, and expenses arise from or in connection with any bad faith act or omission by the Foundation, its officers, directors, employees, or agent.

8. Termination

The Community Foundation may terminate this agreement, modify or withhold payments under this grant award, require a total or partial refund of any grant funds, or all at any time, if, in the Community Foundation's judgment: a) The Community Foundation is not satisfied with the quality of the Grantee's progress toward achieving the project goals and objectives; b) the Grantee dissolves or fails to operate; c) the Grantee fails to comply with the terms and conditions of this agreement; d) the Grantee fails to comply with the requirements of any law or regulation applicable to you, the Foundation, or this grant.

9. Limitation of Support

This Agreement contains the entire agreement between the parties with respect to the Grant and supersedes any previous oral or written understandings or agreements.

I have read and agree to the terms and conditions of the Grant Agreement.



Signature

Meghan Hertel

Printed Name

7/27/2017

Date

Interim State Co-Director

Title

Organization: National Audubon Society

Grant Number: 20170380

Handwritten initials and dates: AC, 8/10/17, AV, 8.10.17



Strengthening Inland Southern California through Philanthropy

BOARD OF DIRECTORS

August 30, 2017

S. L. Gimbel Foundation Fund

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Ms. Meghan Hertel

Interim State Co-Director, Audubon California

National Audubon Society

Audubon California

100 Bell Canyon Rd.

Trabuco Canyon, CA 92679

Dear Ms. Hertel:

The Community Foundation is pleased to enclose a grant check for \$35,000.00 from the S. L. Gimbel Foundation, a component fund at The Community Foundation. By cashing the grant check, you are agreeing to the conditions stated under the *Terms of Grant* which you have signed and returned. **The completed Grant Evaluation form is due by August 15, 2018** and will be available online on The Community Foundations website under Grants/Forms. Please note that any grant variances or extensions must be requested in writing and in advance. Any remaining grant funds must be returned to The Community Foundation at the end of the grant period.

We greatly appreciate any help you can give us in publicizing the grant. Please use the following credit in any grant announcements or materials funded by the grant: *"National Audubon Society is supported by a grant from The S. L. Gimbel Foundation."* You may send us copies of articles printed in local papers, stories in your agency newsletter, annual report, press releases, and other publications for our files.

Please feel free to contact me at 951-241-7777 should you have any questions.

Sincerely,


Celia Cudiamat

Executive Vice President of Programs

20170380

42768

GIMB2



The Community Foundation
Strengthening Inland Southern California through Philanthropy
3700 SIXTH STREET, SUITE 200
RIVERSIDE, CA 92501
951-241-7777 / FAX 951-684-1911

CITIZENS BUSINESS BANK
A Financial Services Company
3695 Main Street, Riverside, CA 92501
90-3414/1222

Check Fraud
Protection for Business

42768

PAY * Thirty-Five Thousand and no/100 *

TO THE
ORDER OF

DATE

08/25/2017

AMOUNT

\$ ****35,000.00

National Audubon Society
Audubon California
100 Bell Canyon Rd.
Trabuco Canyon, CA 92679

S.L. Gimbel
P. Brown - Hinds
AUTHORIZED SIGNATURE

⑈042768⑈ ⑆122234149⑆ 244124437⑈

The Community Foundation

18770 National Audubon Society

08/25/2017 042768

42768

20170380	08/11/2017	Conserve oak and riparian woodlands threatened by	35,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		35,000.00

CHECK TOTAL: \$ ****35,000.00

The Community Foundation

18770 National Audubon Society

08/25/2017 042768

42768

20170380	08/11/2017	Conserve oak and riparian woodlands threatened by	35,000.00
GIMB	S.L. Gimbel Foundation Advised Fund		35,000.00

CHECK TOTAL: \$ ****35,000.00